

**Financial
Projection Module
(FPM)
&
QDB/FPM
User's Manual**

David Geffen School of Medicine
Dean's Office

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I. Introduction

Section Summary

This section describes the history and development of the Financial Projection Module (from now on referred to as “FPM”) and also gives you an overview of the process of getting FPM and QDB/FPM installed on your system and what is needed, resource and access-wise to allow you to fully utilize the program. Contact information for problem solving is also included.

What is FPM?

FPM is a stand-alone application, which by capturing information in a central database serves as an essential tool for projecting future expenses for Plan Faculty, Staff and Other Academic, Non-Salary expenses and Income on all contracts, grants, and other funding sources managed by your department. It works in conjunction with an Excel Addin module called QDB/GLPPP FPM ADHOC REPORTING (referred to as “QDB/FPM”) to provide accurate projected balances on contracts, grants, and other funds to Principal Investigators and Administrators by means of a comprehensive report system.

What is QDB/FPM?

QDB/FPM, available under the Tools menu in Excel, extracts the data from FPM and the campus QDB databases and as requested presents the information to users through a set of comprehensive reports. Please go to section III starting on page 32 for more information.

Benefits of FPM

There are many benefits to using FPM. Among these are

- ✓ FPM holds multiple years' data for contract and grants, which accommodates the time span required for accurate reporting.
- ✓ When used with QDB/FPM it greatly facilitates generating accurate forecasts in monthly reports for PIs or department administrators.
- ✓ Since the data for Plan Faculty is kept from year to year and is maintained year round, at budget time the data becomes part of the Comp Plan Budget Program.
- ✓ Built-in customized roles, access rights, security measures and the approval process ensure the confidentiality of data.
- ✓ Compliance with various University and Federal regulations is improved by pulling in NIH cap flags from the Info-Ed system, individual percentage effort information is captured, and improved projections and forecasting reduces the need for retroactive salary and expense corrections.
- ✓ Chances of error are reduced through the daily “refresh” of information between FPM and the Academic Database maintained by the Dean's Office for Plan Faculty and QDB/EBD for staff.
- ✓ Fund managers and academic personnel staff benefit from many of the features in FPM such as guidance in the selection of benefit rates used for projections, validation of accounts, funds and cost centers, calculation of salary savings and the split on X, Additional X, and Y components of faculty salaries.

Getting Started

Hardware and Software Requirements

While Financial Projection Module (FPM) is a stand-alone application that resides on your desktop or local (C) drive, you will use it in conjunction with the QDB/FPM Excel Add-in. For this

reason your computer should be a 486 IBM Compatible PC with Microsoft Windows 2000 or later and Microsoft Excel 2000 or later

If you experience difficulties using either FPM or QDB/FPM with your computer, please consult your department computer resource person to ensure that your system has adequate resources and that your software applications (Windows and Excel) are up to date.

What's Needed to Get Up and Running With FPM and QDB/FPM

The process of getting FPM installed on your computer and ensuring that it works correctly with QDB/FPM has both access and installation requirements. The first step is to ensure that the user has access to the campus QDB system. Your Departmental Security Administrator (DSA) can submit an on-line System Access Request (SAR) to AIS.

Once the SAR is processed you will be contacted by AIS security to attend a security briefing. Rights will be assigned to you and a password for the QDB will be established. This ensures that once FPM and QDB/FPM are installed you will be able to retrieve data from QDB.

Note: EDB Inquiry controls Security for access to PPQDB; i.e. if you were not given access to EDB Inquiry by your DSA, the PPP portion of the QDB/FPM Excel Addin program will not be available to you.

Besides getting access to QDB you also need to get "set-up" within the FPM security system managed by the Dean's Office. To do this you should send an email to Rita Moreno (rmoreno@mednet.ucla.edu) requesting access to FPM and providing the following information in the body of the email:

- 1) Your department code. This is a four digit number e.g., 1400
- 2) Your QDB/OASIS logon code. This is a 5 digit code for example maxx0 that you get when requesting access to the campus QDB (see above)
- 3) Your telephone extension so that Rita can contact you once you are set up in the FPM security system.

Note: You must copy your supervisor on the email to Rita so that the Dean's Office is aware that you have your supervisor's approval to use FPM.

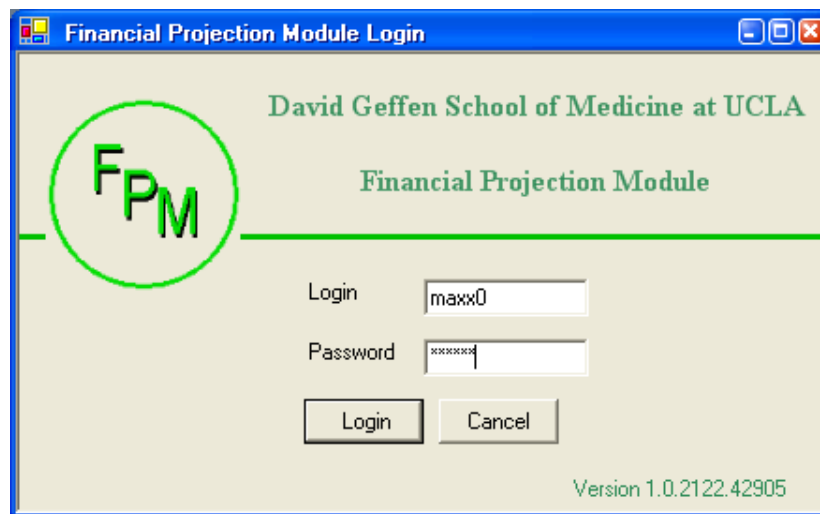
Installation of QDB/FPM and FPM on your computer: You can go to the Dean's Office Website for instructions on installing FPM and QDB/FPM or you can request assistance from your department Computer Resource Consultant (CSC). The url for the Applications section of the Dean's Office web site is http://www.deans.medsch.ucla.edu/Deans/dn_load.htm. From here there are links to the instructions for installing each of the applications. Click on "FPM-Financial Projection Module" for instructions for FPM installation. To install QDB/FPM, click on "All Dean's Office QDB Applications", then in the grid click on doaddins.exe, next to QDB/GLPPP FPM ADHOC.

Automatic Updates: Please note that with these versions of QDB/FPM and FPM you no longer need to install updates, this happens automatically when you start each application and there is a newer version available.

Running the FPM and QDB/FPM Programs and Logging In

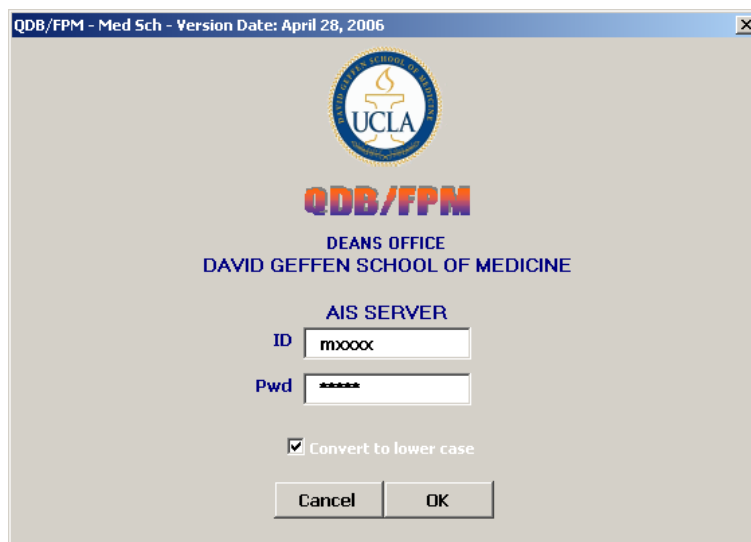
Once the FPM Program is installed on your computer, you can either click on the FPM icon on your desktop if its there or click the “start” button in the lower left hand corner of your Windows screen, then click “Programs”, then “Financial Projection Module”. You will now be on the Financial Projection Module Login screen (see below). Your login ID is the same as your QDB password (a 5 digit code such as maxx0). Initially the FPM security system sets your password to be the same as your logon ID, in this case maxx0. Once you have FPM installed please telephone Rita Moreno (x40272), as you must now synchronize your FPM password with your QDB/FPM password in order to retrieve data from the FPM system. It is highly recommended that you contact Rita to assist you.

!!Caution !!: Your FPM password must be synchronized with and match your QDB/FPM password, otherwise you will not be able to retrieve data or the data will not be correct. Also note that if you change your password in QDB in the future, the password for FPM must also be changed. See page 99 for information on changing your password in FPM.



The screenshot shows a Windows-style window titled "Financial Projection Module Login". Inside the window, on the left, is a green circle containing the letters "FPM". To the right of this, the text "David Geffen School of Medicine at UCLA" is displayed in green, followed by "Financial Projection Module" in a smaller green font. Below this header, there are two input fields: "Login" with the text "maxx0" and "Password" with masked characters "xxxxxx". Below the password field are two buttons: "Login" and "Cancel". In the bottom right corner of the window, the text "Version 1.0.2122.42905" is visible.

To log in to QDB/FPM, go to Excel and under the Tools menu, select the QDB/GLPPP FPM ADHOC REPORTING Add-in. You will see the following screen.



The screenshot shows a window titled "QDB/FPM - Med Sch - Version Date: April 28, 2006". At the top center is the UCLA logo. Below the logo, the text "QDB/FPM" is displayed in large, bold, blue letters. Underneath that, in smaller blue text, are "DEANS OFFICE" and "DAVID GEFGEN SCHOOL OF MEDICINE". Below this, the text "AIS SERVER" is centered. There are two input fields: "ID" with the text "mxxxx" and "Pwd" with masked characters "xxxxxx". Below the password field is a checkbox labeled "Convert to lower case" which is checked. At the bottom are two buttons: "Cancel" and "OK".

Once you log in to QDB/FPM you are taken to the Status Screen. This screen provides important information on the status of QDB/FPM and the ledgers. If you see a warning message on this screen referring to the transition period for the payroll ledgers please see page 16 for more information.



Who To Contact in the Dean's Office if You Have Problems or Questions

Questions about the program

Technical

Mike Hassanvand x4-0772 mhassanvand@mednet.ucla.edu

Financial

Rita Moreno x4-0272 rmoreno@mednet.ucla.edu

Neda Navab x4-0770 nnavab@mednet.ucla.edu

Questions about QDB

Connectivity and Access

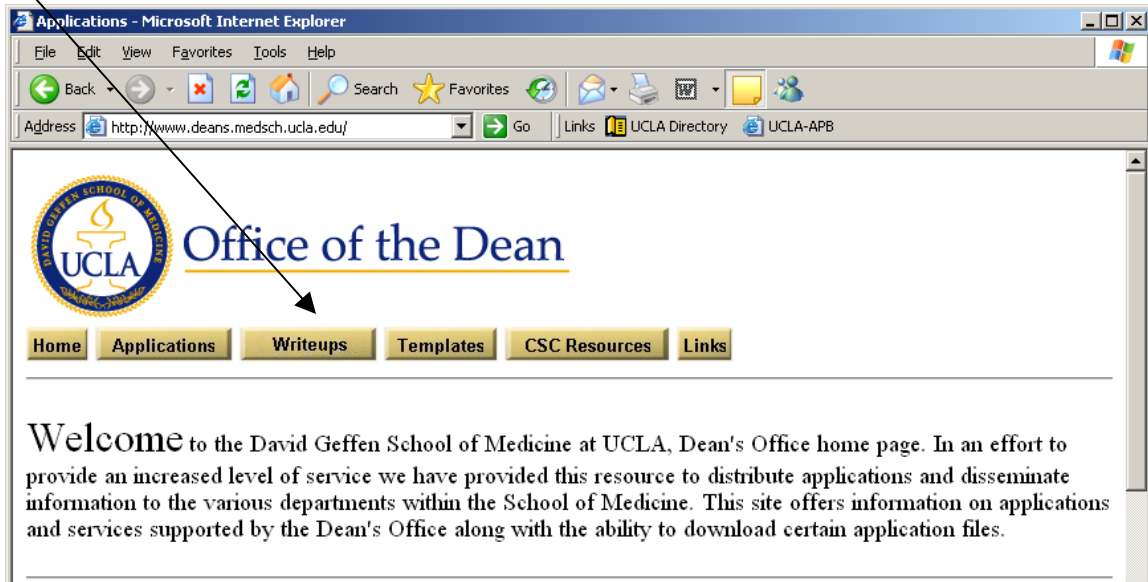
AIS Helpdesk- x 6-6951

NOTE: Please be aware that AIS is responsible for QDB program only to the extent that they maintain the server on which the QDB data resides. If you have questions about either FPM or QDB/FPM please contact Rita at the number listed above.

Other Available Resources: Dean's Office Web Site

On the Dean's Office Website (www.deans.medsch.ucla.edu) you can select the "Writeups" button to access to supplementary information that has been about various topics in QDB/FPM and FPM. All of these writeups have recently been updated and converted to pdf format for easier accessibility. You will need Adobe reader to open them. Please see your departmental support person if you don't have Adobe. Please note that these writeups are also available via

the "Writeups" button (left most button on the bottom of the screen) on the Custom Reports-Main Menu screen in QDB/FPM. The FPM and QDB/FPM Manual is also available in pdf format from the Writeups page.

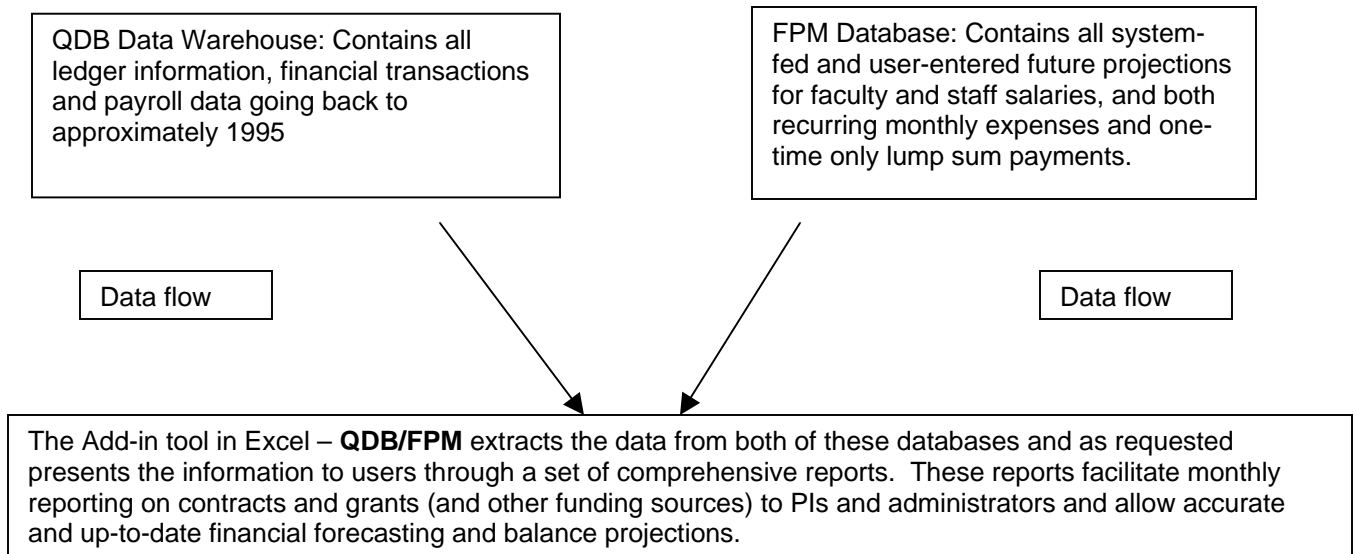


II. Monthly Reporting on Contracts and Grants to PIs

Section Summary

In this section a guide to converting existing reports to FPM and QDB/FPM is provided. A review of the basics for generating monthly summary and detail reports for PIs is also given. For updating projection data please see Section IV starting on page 46. Once reports for PIs are created in QDB/FPM, users should compare these reports to existing ones (if available) and identify discrepancies.

Before getting started, it is important to understand that FPM works in conjunction with QDB/FPM and most reports that we will review incorporate data from both databases. The following graph further clarifies this concept and is more fully explained starting on page 32.



Getting Started: Running Monthly PI Reports using QDB/FPM

Creating a Summary of Funds Report

The Summary of Funds Report is a summarized listing of a group of related funds. For example it could be a list of all FAUs in your Department, a listing of all funds for a particular PI or even all accounts and cost centers for a particular fund. The term FAU stands for Full Accounting Unit and consists of a six digit account number, two digit cost center, five digit fund number, two digit sub object code and (optionally) a six digit project code. The FAU serves as a unique identifier for funding and accounting purposes. In this section we will focus on a listing of all funds for a particular PI. Here are the steps to create this report.

Step 1: Create a Batch Sheet for the first PI to convert.

To get started click on “Chart of Accounts” on the Main Menu in FPM.



You will see the following screen. This is the search screen that allows you to enter the criteria for selecting the contracts and grants for which you wish to create a batch file. In this example we will search for all contracts and grants for the PI whose name is PAXXXXXX. Select the name from the drop down list for the Internal PI field. By typing the first few letters of the PIs last name and clicking the down arrow at the right hand side, a “smart” search is done and the PIs name will appear in the name field. Your Department Code/Name should be highlighted.

Financial Projection Module - Main Menu - Elish Hathaway - [Chart of Accounts]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Search By: Dept Account CC Fund Fund Manager Internal PI

1568 - CARE
1400 - DDSM
1535 - Emergency Med
FPRM - F/Preventive Med
1550 - Family Med

Rchg ID

Search Clear To Excel Batch

Account CC Fund Fund End Project End Dept Balance Rchg ID Expired

PI Internal PI Fund Title Internal Fund Title

Fund Grouping Fund Manager Replace Comments F/A Date

Make sure Excel is open with a blank worksheet. After the PI name is selected from the drop down list, click the “Search” Button. You will then see a listing of the FAUs for that PI in the Data Grid. Click the “Batch” button to send the information to Excel. You will see a worksheet similar to the one shown below.

Microsoft Excel - sample reports for feb 05 ff

File Edit View Insert Format Tools Data Window Help

Add-Ins...
QDB GLPPP AD HOC REPORTING
QDB/R-Net REPORTING
QDB/GLPPP FPM ADHOC REPORTING

	A	B	C		E	F	G
1	Account	CC	Fund	Fund Title			
2	40XXXX	DX	622XX	PHXXXXXX	PAXXXXXX		
3	40XXXX	DX	303XX	FDP-NIH/NIGMXXXX	PAXXXXXX		
4	40XXXX	DX	306XX	FDP NIH GMDXXXX	PAXXXXXX		
5	40XXXX	DX	306XX	NIH DC005XXXX	PAXXXXXX		
6	40XXXX	DX	336XX	FDP-NIH GMXXX	PAXXXXXX		
7	40XXXX	DX	355XX	FIELXXXX	PAXXXXXX		
8	40XXXX	DX	366XX	THEOXXXX	PAXXXXXX		
9							

Step 2: In Excel, from the Main Menu click on “Tools”, then select QDB/GLPPP FPM ADHOC REPORTING. This is the QDB/FPM Add-In.

You will be presented with the Login screen and then the Status screen. After clicking “Next” on the Status Screen you are taken to the Custom Reports Main Menu screen (see below)

Step 3: Choose Summary of Funds and make sure the “Include FPM Funding” box is checked. Then Click “Next”.

You are taken to the FAU for Summary by Sub screen.

Note: FAU stands for “Full Accounting Unit” and is composed of the location, the account, cost center, fund and project code for a funding source. The location for all FAUs at UCLA is 4 so this value is assumed and not entered.

Step 4: From this screen click on “Load” and your FAUs from the batch sheet will get loaded into the program as shown below.

The screenshot shows a window titled "FAU for Summary of Funds". Inside, there is a table titled "Chart Of Accts Batch Summary of Funds Report". The table has five columns: Account, CC, Fund, Fund Title, and PI Name. It contains ten rows of data. Below the table, there are several controls: a "Batch" section with a "Load" button (represented by a floppy disk icon) and a "Collate Reports" checkbox; a "Create Batch" button (represented by a printer icon); a "Summary of Funds Options" section with checkboxes for "PI Version", "Include CSER", "Include project", "Incl. 9H", and "Incl. Curr. Bal"; a checked checkbox for "Convert FAU to upper case"; and a "FPM Included" label. At the bottom are buttons for "Cancel", "< Back", "Next >", and "Finish". On the right side of the window, there is an illustration of a person at a computer and a "Get Last FAU" button.

Account	CC	Fund	Fund Title	PI Name
4413XX	XZ	290XX	FDP-PHS HL46809 Z	ZAMBONI, XAVIER
4413XX	XZ	298XX	FDP-NIH DK073226	ZAMBONI, XAVIER
4413XX	XZ	299XX	FDP-NIH DK065029	ZAMBONI, XAVIER
4413XX	XZ	335XX	FDP-NIH/NIAID 1R0	ZAMBONI, XAVIER
4413XX	XZ	530XX	VRS DNS/WILL ROG	ZAMBONI, XAVIER
7813XX	XZ	530XX	VRS DNS/WILL ROG	ZAMBONI, XAVIER
6213XX	XZ	573XX	NORVASTIS C1CL67	ZAMBONI, XAVIER
6213XX	XZ	576XX	ORTHO BIO 200608:	ZAMBONI, XAVIER
4413XX	XZ	582XX	UUTAH/DHHS 24051	ZAMBONI, XAVIER

Sort Order:

If you use a batch file to create a Summary of Funds report, program sorts the batch file in the order fund number, cost center and then account. Then when the Summary of Funds is created it follows the same order as the batch file. If you do not use a batch file to create a Summary of Funds (by directly inputting FAU data into the QDB/FPM screen) the order is cost center, account, and then fund.

Summary of Funds Options



At the bottom of the FAU for Summary of Funds screen there are a number of options that you can select that will alter the appearance and data contained in the Summary of Funds report. You can select these options either before or after loading the batch file. You can also select these options when you enter FAU information directly into the FAU screen. The options available are PI Version, Include CSER, Include Project (code), Include 9H data and Include Current Balance. In the example shown under Step 5 the only option selected is as below, Include Current Balance.

FAU for Summary of Funds

**Chart Of Accts Batch
Summary of Funds Report**

Account	CC	Fund	Fund Title	PI Name
4413XX	XZ	290XX	FDP-PHS HL46809 G	ZAMBONI, XAVIER
4413XX	XZ	298XX	FDP-NIH DK073226	ZAMBONI, XAVIER
4413XX	XZ	299XX	FDP-NIH DK065029	ZAMBONI, XAVIER
4413XX	XZ	335XX	FDP-NIH/NIAID 1R0	ZAMBONI, XAVIER
4413XX	XZ	530XX	VRS DNS/WILL ROG	ZAMBONI, XAVIER
7813XX	XZ	530XX	VRS DNS/WILL ROG	ZAMBONI, XAVIER
4413XX	XZ	582XX	UTAH/DHHS 24051	ZAMBONI, XAVIER
6213XX	XZ	573XX	NORVASTIS CICL67	ZAMBONI, XAVIER
6213XX	XZ	576XX	ORTHO BIO 200608	ZAMBONI, XAVIER

Batch Processing

 ☐ Collate Reports 

Load Create Batch

Summary of Funds Options

☐ PI Version ☐ Include CSER ☐ Include project ☐ Incl. 9H ☒ Incl. Curr. Bal

☒ Convert FAU to upper case **FPW Included**

Cancel < Back Next > Finish

Step 5: On the next screen, click on “Finish” and your Summary of Funds will appear similar to what is shown below. Each line on the report represents the Total Direct Cost line from the Summary by Sub Report for an individual FAU (this is line 25 on the Summary by Sub report). Note, this report includes the Current Balance as this is the option selected above but the Current Balance option is not yet available for the Summary By Sub report.

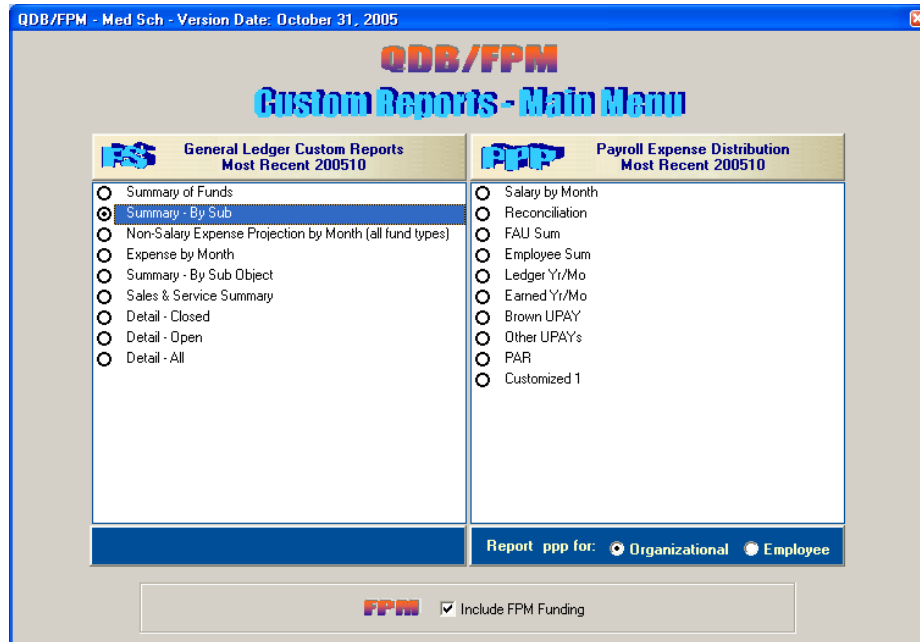
Microsoft Excel - SampleReports_Apr06_EH

File Edit View Insert Format Tools Data Window Help Adobe PDF

</

Summary By Sub

Another report that allows you to include both QDB data (actual past from General Ledgers) and FPM data (future) is the Summary By Sub Report in QDB/FPM. From the Custom Reports- Main Menu select "Summary By Sub" and check the "Include FPM Funding" box. This is an excellent report for getting a "snapshot" of the financial status of a contract or grant.



A sample of a Summary by Sub Report that includes FPM data and is based on the most recent closed ledger month is shown below. This report is very powerful as it combines data from the QDB with that from FPM to give you a projected balance for the contract grant or other fund. Note that the data in columns 1-5 is from QDB and includes ledger data as well as pending appropriations, expenses, encumbrances and memo-liens.

Column 6 is the information that has been fed or entered into FPM. To see the detail of expenses making up the amounts in column 6 please see Step 6 which follows. The heading for column 6 will be either Future to the end date of the budget period (as shown below) or Future to 6/30/06 depending on whether ITD or YTD reporting is selected.

CAUTION: Care should be taken when running this report towards the end of the month and at the beginning of the new month when payroll ledgers close. Payroll ledgers close earlier than the general ledgers and there is an interim period when the payroll data is in transition before it is included in the open table that can affect the results of this report.

Transition Period for Payroll Ledgers

Prior to the current fix, FPM assumed that once the payroll ledgers closed, the current month payroll data moved immediately to the open table and was thus included in column 4 (Expenses) of the Summary by Sub report. Therefore, column 6 (Future) was not including the most recent closed payroll month. However, during the transition period, for a few days the data does NOT go to the open table. Therefore, the reports were potentially missing one month's payroll depending on when the report was run. For example April 2006 payroll ledgers closed on April 28th. On this date and for a few days afterwards the payroll data for April was not in the Open table. Now for the Summary by Sub report, once the payroll ledgers close, FPM checks the Open Table for the current month's data, in this case April. If April's data is not in the Open Table, FPM "pretends" that the April payroll ledgers have not closed and includes April in column 6. A warning message as shown below appears on the status screen when this situation is in effect.

QDB/FPM - Med Sch - Version Date: April 28, 2006

QDB/FPM AD HOC REPORTING



QDB Status

Operational

Most Recent Closed
Ledger Month

March, 2006

Current Fiscal Year
End Process

Most Recent Closed
PPP

April, 2006

Payroll is closed but the expense has not hit the open table yet.
FPM payroll data adjusts for this by setting most recent payroll
month to the previous month.

If FPM finds April in the open table (at the end of the transition period), the warning message disappears and the April payroll is included in column 4 until the General Ledgers close when the data is then included in column 2.

SUMMARY BY SUB (INCEPTION TO DATE): NIH Succinylcholine Study								
Account/Fund:	XXXXXXXX-21000 project:				Budget Year(s):	09/01/04 TO 08/31/06		11/30/05 12:09 PM
Fund Name:	FDP NIH NSXX2808 ZAMBONI 08/06 52.5%				Project End Date:	8/31/2008		
P.I.:	Internal PI Name from FPM Chart of Accounts				Recharge ID:	FA25		
Fund Mgr:	JONES, MARY (from FPM Chart of Accounts)				Overhead Rate:	J 54.50 %		
Sub	General Ledger		Current Expenditures			Future To 8/31/06	Projected Balance	
	Approp. ITD (10/05)	Expenses ITD (10/05)	Approp.	Expenses	Encumbr. & Memo Lien		1-2 +3-4-5-6	
00 Salaries-Academic	339,623.00	264,845.00				87,145.00	(12,367.00)	
01 Salaries-Staff							0.00	
02 Salaries-General								
02 Assistance	362,944.57	230,455.00				123,145.00	9,344.57	
06 Employee								
06 Benefits	140,228.49	72,151.00				35,145.00	32,932.49	
03 Supplies & Expense	84,540.00	54,841.00		707.11	3,443.54	25,145.00	403.35	
04 Equipment	6,100.00	5,315.46					184.54	
05 Special Items	19,185.00	19,215.65				766.00	(796.65)	
07 Special Items							0.00	
08 Unallocated	9,607.94	0.00					9,607.94	
09 Recharge							0.00	
25 Total Direct	962,229.00	647,423.11	0.00	707.11	3,443.54	271,346.00	39,309.24	
9H Overhead	501,968.00	345,145.00	0.00	371.25		135,745.00	20,706.75	
27 Total	1,464,197.00	992,568.11	0.00	1,078.36	3,443.54	407,091.00	60,015.99	
29 COMMENTS	Need to submit report to agency 11/31/2006							

Text depends on
whether ITD or YTD
report is selected

Recent updates to the Summary by Sub Report:

Based on feedback from users the information provided in the heading for the Summary by Sub report has been extensively revised to provide more useful data. Among the changes:

- Internal Fund Title (Nickname) for study is extracted from Chart of Accounts and included in first line of heading
- Fund Number of FAU is bolded
- Internal PI name is extracted from Chart of Accounts
- Project End Date has been added
- Recharge ID added
- The heading for Column 6 has been revised to show either the budget end date if ITD reporting is used or 6/30 of current fiscal year if YTD is selected
- This report extracts the comment entered in the Chart of Accounts module in FPM and adds it to bottom of Summary by Sub report. The comment is also included in the Summary of Funds report.

Note: The program is designed to provide the most up to date report based on the most recent closed ledgers, data in the open table, and future data coming from FPM. If you are several months behind in your reporting, we strongly recommend that you don't try to recreate old months' reports because it is impossible to programmatically recreate complete old reports and it takes too long to do it manually and besides there isn't much value in doing that. Instead, focus on the most current report. For all the months, past and recent, be sure to review all detail payroll and non-payroll expenses on the ledgers to make sure they are all correct. If for some reason you still need to run a Summary By Sub report for a month prior to the current closed month, you will need to uncheck "Include FPM" and you will only get data in columns 1 and 2.

Detail of Future Data – Payroll (Salary by Month Reports)

Step 6: Go back to QDB/FPM and choose "Salary by Month" payroll report, check Report ppp "Organizational" and make sure the box "Include FPM Funding" is checked.

QDB/FPM - Med Sch - Version Date: April 26, 2006

QDB/FPM

Custom Reports - Main Menu

General Ledger Custom Reports Most Recent 200603	Payroll Expense Distribution Most Recent 200603
<input type="radio"/> Summary of Funds	<input checked="" type="radio"/> Salary by Month
<input type="radio"/> Summary - By Sub	<input type="radio"/> Reconciliation
<input type="radio"/> Non-Salary Expense Projection by Month (all fund types)	<input type="radio"/> FAU Sum
<input type="radio"/> Expense by Month	<input type="radio"/> Employee Sum
<input type="radio"/> Summary - By Sub Object	<input type="radio"/> Ledger Yr/Mo
<input type="radio"/> Sales & Service Summary	<input type="radio"/> Earned Yr/Mo
<input type="radio"/> Detail - Closed	<input type="radio"/> Brown UPAY
<input type="radio"/> Detail - Open	<input type="radio"/> Other UPAYs
<input type="radio"/> Detail - All	<input type="radio"/> PAR
	<input type="radio"/> Customized 1

Report ppp for: ☒ Organizational ☐ Employee

☒ Include FPM Funding

Writeups DO Macros Monthly Batch Go to ADHOC Cancel < Back Next > Finish

On the screens that follow indicate your Department Code and then the FAU for which you need the detailed salary information. You will then be on the Date for Salary by Month screen as seen below.

Date for Salary by Month Screen:

On this screen (see below) are various options for selecting the date range for your report. Here is a summary of these options:

- 1) Select the date range from the drop down lists
- 2) All Actual option gives all available QDB past actual data for your selected FAU
- 3) Match Future Sum by Sub – Fund End Date gives all future data until the end of the current contract or grant year.
- 4) Match Future Sum by Sub – Fiscal Year gives all future data until the end of the current fiscal year
- 5) Current Grant Year defaults to the beginning and ending months of the current contract or grant period and so can include both past and future data. There will be separate columns for past and future data. Note the future data will be highlighted by a dark border on the report.
- 6) Current Fiscal Year defaults to July of the current fiscal year as the starting month and June of the current fiscal year as the ending month. Similar to #5 the report can include both past and future data with separate subtotal columns for past and future.

To deselect any of the check box options, just click on the selected check box and it will get deselected and the date options at the top will be reactivated.

Date for Salary by Month

MOST RECENT CLOSED PPP

MARCH, 2006

Enter a date range on which to report.

Choose 'All' in order to get all available QDB data for your selected FAU.
Type over the Year entry to change it if the year value is not in the list

EITHER select here

From: March 2006

To: March 2006

OR here

☐ All Actual

☐ Match Future Sum by Sub - Fund End Date

☐ Match Future Sum by Sub - Fiscal Year

☐ Current Grant Year

☐ Current Fiscal Year

Date Source

☒ Ledger Year/Month (paid) ☐ Pay Period Ending (earned)

FPM Included

Cancel < Back Next > Finish

On the next screen click on "Finish". You will get the first report shown below. If you have included projected retroactive salary adjustments you will get another report automatically which is the second report shown below.

For a complete explanation of all variations of Salary by Month reports, please refer to page 33.

Salary by Month Report: Regular Salary

The sample below is the report with the "Current Grant Year" option. Note that Salary information is at the top of the page with Benefit information below it. A special border highlights future information.

SampleReports_Apr06_EH																
A	B	C	D	E	F	G	H	I	J	K	L	M	O	P	Q	R
1	Payroll: Salary by Month All-All-29XXX Paid From October 2005 to September 2006 date: 4/27/2006															
2	Salary - Reported on Led YM - Current Grant Year															
3																
4	Sub	Employee Name	Employee ID	200512	200601	200602	200603	200604	200605	200606	200607	200608	200609	Actual Total	Future Total	Total Salary
7	02	JOHNSON,BARRY	123456789		8,792	5,667	5,667	5,667	5,667	5,667	5,667	5,667	5,667	20,126	34,002	54,128
8		TOWER,JESSICA	987654321			2,077	2,106	1,792	1,792	1,792	1,792	1,792	1,792	4,183	10,752	14,935
9		CONNORS,THOMAS	222255555	7,471	2,299	2,299	2,299	2,500	2,500	2,500	2,500	2,500	2,500	14,368	15,000	29,368
10	02	Total		7,471	11,091	10,043	10,071	9,959	9,959	9,959	9,959	9,959	9,959	38,677	59,754	98,431
11	Total	Salary		7,471	11,091	10,043	10,071	9,959	9,959	9,959	9,959	9,959	9,959	38,677	59,754	98,431
12																
13	Benefits - Reported on Led YM - Current Grant Year															
14		Employee Name	Employee ID	200512	200601	200602	200603	200604	200605	200606	200607	200608	200609	Actual Total	Future Total	Total Benefits
17		JOHNSON,BARRY	123456789		1,654	1,380	1,379	1,360	1,360	1,360	1,360	1,360	1,360	4,413	8,160	12,574
18		TOWER,JESSICA	987654321			711	734	305	305	305	305	305	305	1,444	1,828	3,272
19		CONNORS,THOMAS	222255555	505	518	340	513	563	563	563	563	563	563	1,876	3,375	5,251
20	Total	Benefits		505	2,172	2,430	2,626	2,227	2,227	2,227	2,227	2,227	2,227	7,733	13,363	21,097
21																

Salary by Month Report: With Retroactive Salary Adjustments

The following is a sample report that includes retroactive corrections. The report is similar to the one above (without retroactive adjustments) except that there is an additional column to the left of the Actual Total column called "Retro" which has the total amount of the retroactive adjustment for each employee.

Salary - Reported on Led YM - Current Grant Year																
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	Payroll: Salary by Month 44XXXX-XX-29XXX Paid From January 2006 to December 2006 date: 4/27/2006															
2	Salary - Reported on Led YM - Current Grant Year															
3																
4	Sub	Employee Name	Employee ID	200601	200602	200603	200604	200605	200606	200607	200608	200612	Retro	Actual Total	Future Total	Total Salary
7	00	SMITH,JOSEPH	456456456	3,001	3,001	3,001								9,003	0	9,003
8	00	Total		3,001	3,001	3,001								9,003	0	9,003
9	02	TALBOT,ROBERTA	987987987	2,876	2,876	3,392	0	0	0	0	0	0	(17,772)	9,144	(17,772)	(8,628)
10	02	Total		2,876	2,876	3,392	0	0	0	0	0	0	(17,772)	9,144	(17,772)	(8,628)
11	Total	Salary		5,877	5,877	6,393	0	0	0	0	0	0	(17,772)	18,147	(17,772)	375
12																
13	Benefits - Reported on Led YM - Current Grant Year															
14		Employee Name	Employee ID	200601	200602	200603	200604	200605	200606	200607	200608	200612	Retro	Actual Total	Future Total	Total Benefits
17		TALBOT,ROBERTA	987987987	644	1,176	1,243	0	0	0	0	0	0	(7,018)	3,062	(7,018)	(3,956)
18		SMITH,JOSEPH	456456456	532	532	532								1,597	0	1,597
19	Total	Benefits		1,176	1,708	1,775	0	0	0	0	0	0	(7,018)	4,659	(7,018)	(2,359)
20																

In addition, for those FAUs that have retroactive adjustments there is an additional report with the retroactive detail as below.

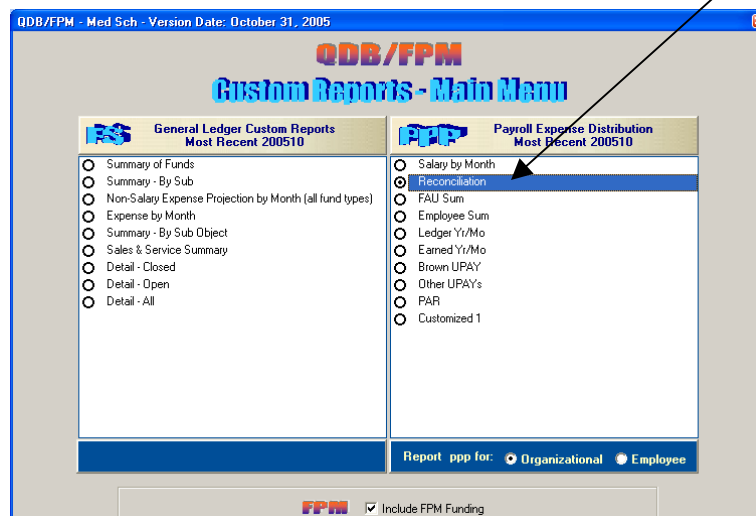
Note: When you click “Finish” to create the report, you “land” on the sheet with the retroactive detail on it. To see the regular salary click on the tab next to the tab you’re on (to the right).

Tip: You can run a report for the entire department that shows all pending retroactive payments by going to the FPM program and selecting “Retro Salary” from the Overall Reports menu. After you select Retro Salary you can either select Plan Faculty or Staff and Other Academic (or run both) as needed.

Salary - Reported on Led YM - Current Grant Year										
A	B	C	D	E	F	G	H	I	J	K
1	Payroll: Salary by Month 44XXXX-XX-29417 Paid From January 2006 to December 2006 date: 4/27/2006									
2	Salary - Reported on Led YM - Current Grant Year									
3	Retro									
4	Sub	Employee Name	Employee id	200510	200511	200512	200601	200602	200603	Total Salary
7	02	TALBOT,ROBERTA	987987987	(2,962)	(2,962)	(2,962)	(2,962)	(2,962)	(2,962)	(17,772)
8	02	Total		(2,962)	(2,962)	(2,962)	(2,962)	(2,962)	(2,962)	(17,772)
9	Total	Salary		(2,962)	(2,962)	(2,962)	(2,962)	(2,962)	(2,962)	(17,772)
10										
11	Benefits - Reported on Led YM - Current Grant Year									
12	Employee Name	Employee id	200510	200511	200512	200601	200602	200603	Total Benefits	
15	TALBOT,ROBERTA	987987987	(1,134)	(1,134)	(1,186)	(1,186)	(1,186)	(1,192)	(7,018)	
16	Total	Benefits	(1,134)	(1,134)	(1,186)	(1,186)	(1,186)	(1,192)	(7,018)	
17										

Payroll Reconciliation Report

As part of the process of running your monthly PI reports using FPM and QDB/FPM, you will want to verify that the amount you projected in FPM matches what actually got paid. The Payroll Reconciliation Report compares the most recent closed month's salary (i.e., pay) from the Payroll Expense Distribution information with the salary that had been entered in FPM for that particular month and provides a variance column. Positive or negative variances are flags indicating that either the projection in FPM or the actual payment were erroneous. Depending on the situation, necessary steps will need to be taken to prevent future variances. To get the Reconciliation Report, go to the Custom Reports-Main Menu screen in QDB/FPM and select “Reconciliation”. The program will automatically include FPM data for this report.



Exclusions from the Reconciliation Report: This report excludes the following DOS codes: VAC, TVP, SKL, CMP, CTA, and CTO. IAP is included but will always show up as zero \$\$.

On the following screen you should enter your Department Code. On the same screen it indicates that the Staffing Group is "All". This cannot be changed. Click "Next". The next screen is the FAU for Reconciliation screen. Enter the FAU you are reporting on (or click "Get Last FAU" to retrieve the most recent FAU). Click Next. The next screen is the Date for Reconciliation screen. On it shows the Date Range for the report which is set as the most recently closed ledger month. It is grayed indicating that it cannot be changed. It is provided to let you know which month it's reporting on. On this screen you also select the Date Source as either Ledger Year/Month (paid) or Pay Period Ending (earned). It is recommended that Pay Period Ending (earned) be selected for this report. For a full explanation of the difference between these two date sources please see the section in the appendix starting on page 113. Click "Next". On the next screen is the listing of fields included in the report, again this is for your information as the list cannot be edited. Click "Finish" and you will get the following report.

Microsoft Excel - Book1 (version 1) [Recovered]

Reconciliation Report - Payroll, Dept=18XX-All - Proposed Funding - Reported on Led YM Since September 2005 - Date: 10/26/2005

1 Reconciliation Report - Payroll, Dept=18XX-All - Proposed Funding - Reported on Led YM Since September 2005 - Date: 10/26/2005

Employee ID	Employee Name	Dept	Fund	Account	CC	Project	DOS	Actual Salary	Projected Salary (Excl. Retros)	Variance
208127510	Employee 1	18XX	202XX	43XXXX	DT	WHISOX	REG	7,037	7,037	0
208127511	Employee 2	18XX	202XX	43XXXX	DT	WHISOX	REG	4,113	4,113	0
208127512	Employee 3	18XX	202XX	43XXXX	DT	WHISOX	OTS	178		178
208127513	Employee 4	18XX	202XX	43XXXX	RX	WHISOX	REG	3,100		3,100
208127514	Employee 5	18XX	202XX	43XXXX	RX	WHISOX	REG	1,575		1,575
208127515	Employee 6	18XX	202XX	43XXXX	XK	WHISOX	OTP		716	(716)
208127516	Employee 7	18XX	202XX	43XXXX	XK	WHISOX	REG	0	3,547	(3,547)
208127517	Employee 8	18XX	202XX	43XXXX	XK	WHISOX	REG	1,638	1,620	18
208127518	Employee 9	18XX	202XX	43XXXX	MM	WHISOX	REG	3,398	2,954	443
208127519	Employee 10	18XX	202XX	43XXXX	MM	WHISOX	REG	4,347		0
208127520	Employee 11	18XX	202XX	43XXXX	DT	WHISOX	REG	2,098	2,098	0
208127521	Employee 12	18XX	202XX	43XXXX	DT	WHISOX	OTS	395		395

Payroll Recon. - All(1) / Sheet2 / Sheet3 /

CAPS NUM

Future Data – Non Salary Detail Expenses

It is important to note that unlike salary information where data is already in FPM, for non-salary expenses, it is up to you to enter future projections. Please see page 82 for detailed information on how to enter future non-salary projection of expenses in FPM. Once you have entered your non-salary projection of expenses proceed to Step 7 below.

Step 7: Return to QDB/FPM and choose "Non-Salary Expense Projection by Month" from the Custom Report-Main Menu screen to see and compare the details of the non-salary expenses projected in your current reports to what is in FPM. The program will automatically include FPM data for this report and is specifically designed to give the user information on projected i.e., "future" data. It does not provide information on past expenses. Click Next.



On the following screen enter the FAU for your report.

Entering the time period for the report: On the Date for Non-Salary Expense Projection by Month you have various options from which to choose. The report start date defaults to the month after the most recent closed ledger month. You then select the end month for the report (all in the future). You can select a particular month from the "To" field or you can select either "Match Future Sum by Sub-Fund End Date" (this would be the best option for a contract or grant) or "Match Future Sum by Sub-Fiscal Year" if you want the projection to end on June 30th of the current fiscal year. After selecting the date source click on "Finish".

Below is a sample of the report you will get. Note that for each sub you will see the description and the projected expense on a per month basis. One-time only (lump sum) expenses appear in the first month of the report.

Reported on Match Future Sum by Sub - Fund End Date																
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	NON-SALARY PROJECTION BY MONTH ALL-ALL-2XXXX 12/5/2005															
2	Reported on Match Future Sum by Sub - Fund End Date															
4	Sub	Category	Description	F&A	200511	200512	200601	200602	200603	200604	200605	200606	200607	200608	Grand Total	
5	03	Computing Service	Network		39	39	39	39	39	39	39	39	39	39	390	
6		Space Rental	10940 Wilshire lease	N	4,500										4,500	
7		Telephone	Broadband		200	200	200	200	200	200	200	200	200	200	2,000	
8			Phone		100	100	100	100	100	100	100	100	100	100	1,000	
9	03	Total			4,839	339	339	339	339	339	339	339	339	339	7,890	
10	05	Travel	China trip: TC, AM, JG, SD, DO		20,000										20,000	
11	05	Total			20,000										20,000	
12	07	Subcontracts	UCSF	N	28,700										28,700	
13	07	Total			28,700										28,700	
14	Grand Total				53,539	339	339	339	339	339	339	339	339	339	56,590	

You can use the Non-Salary Projection by Month Report to compare what's in FPM with what is projected on your current reports. Any variances should be corrected.

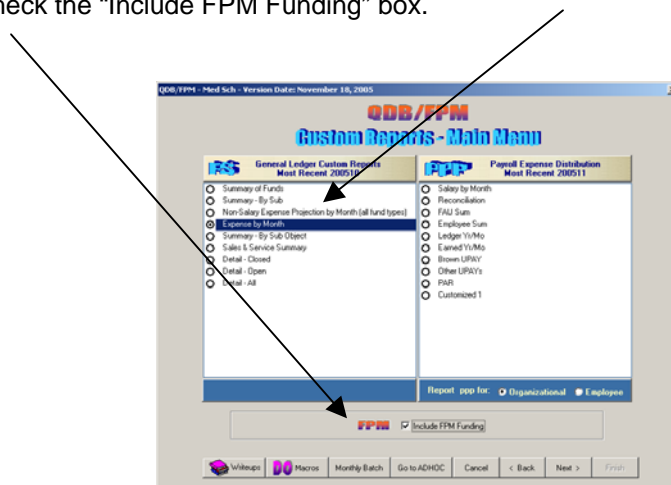
“What if” Scenarios for Non-Salary Projection by Month Reports

You can use the DO macro feature in QDB/FPM to test out various “What if” scenarios. After you have created a Non-Salary Projection by Month Report, select DO Macros from the Custom Reports- Main screen. Select the macro for your report. The macro creates an editable report from your pivot table. Please see page 40 for more information on using the DO Macro feature.

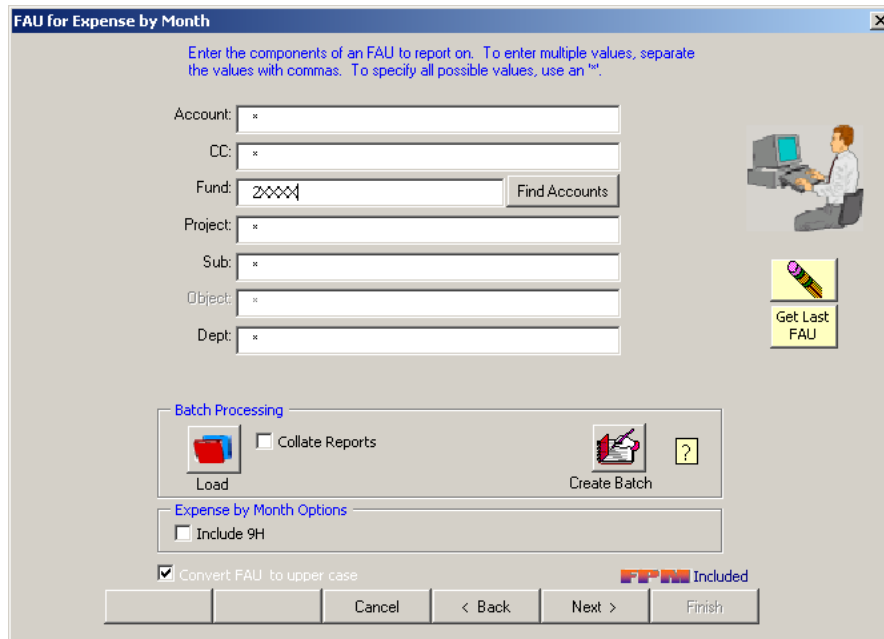
Expense by Month Report

Another useful report to include with your monthly packet to PIs is the Expense by Month Report. This report differs from the Non-Salary Expense by Month report in three important features 1) both past and future data can be included in this report and 2) ALL subs are included and 3) For past actual data, detail amounts down to the sub-object level are given.

From the Custom Reports-Main Menu screen select “Expense by Month”. If you wish past AND future data check the “Include FPM Funding” box.



On the screen that follows indicate the FAU for which you would a report (see below). Note that you have the option of including 9H information in the report (default is to exclude 9H data). Click Next.



FAU for Expense by Month

Enter the components of an FAU to report on. To enter multiple values, separate the values with commas. To specify all possible values, use an *.

Account: *

CC: *


Fund: 20000 Find Accounts


Project: *

Sub: *




Object: *

Dept: *



 Get Last FAU

Batch Processing

 Load ☐ Collate Reports  Create Batch 

Expense by Month Options

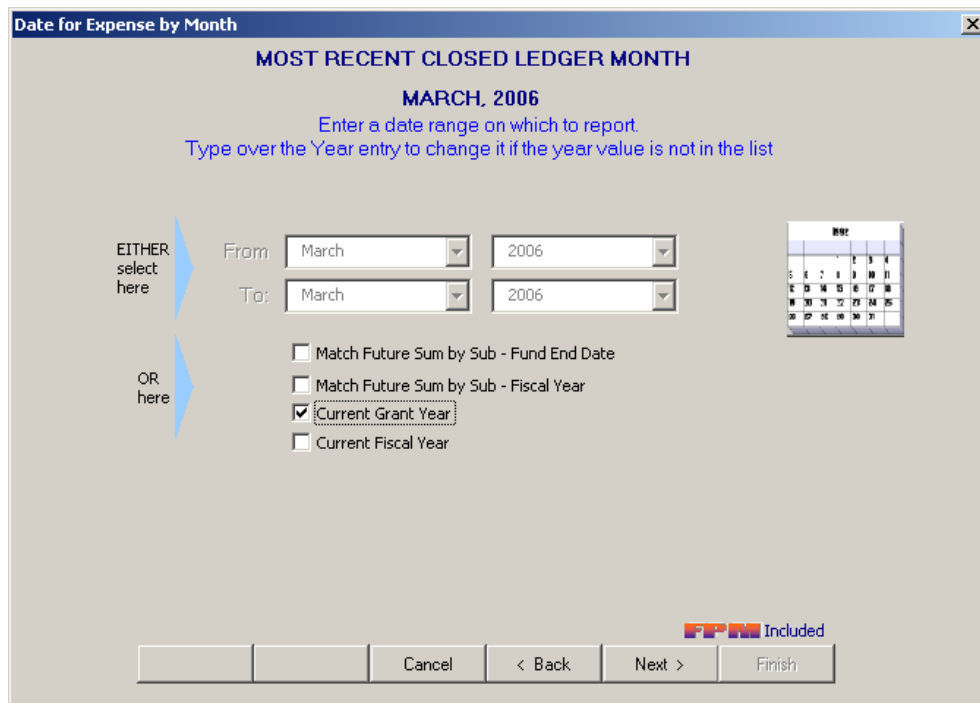
☐ Include 9H

☒ Convert FAU to upper case

FPM Included

Cancel < Back Next > Finish

Similar to the payroll report that includes FPM data you will then be taken to the Date for Expense by Month screen shown below.



Date for Expense by Month

MOST RECENT CLOSED LEDGER MONTH


MARCH, 2006

Enter a date range on which to report.
Type over the Year entry to change it if the year value is not in the list

EITHER select here

From: March 2006

To: March 2006



OR here

☐ Match Future Sum by Sub - Fund End Date

☐ Match Future Sum by Sub - Fiscal Year

☒ Current Grant Year

☐ Current Fiscal Year

FPM Included

Cancel < Back Next > Finish

On this screen indicate the time period that you wish to report on, keeping in mind that this report allows you to include both past and future data. You can enter a specific time period by using the "From" and "To" drop down lists or you can select one of the other options. Select an option by

clicking on the appropriate box. You can change the choice by unclicking the box. Clicking one of the option boxes will disable the date boxes at the top and will automatically choose 1) the month after the most recently closed ledger to the end of the grant year, 2) the month after the most recently closed ledger to the end of the current fiscal year, 3) the current grant budget period or 4) the current fiscal year (June to July). Click Finish on the next screen.

Selecting the option, Current Grant Period, gives the date range from December 2005 to November 2006 and running the report on April 26th 2006 gives the following results (note: columns for June to September are hidden to allow report to fit on page). This report includes past and future data. (Note that there are columns for Actual Total, Future Total and the total of all expenses.) Data for the future is highlighted with a border.

Microsoft Excel - SampleReports_Apr06_EH																
File Edit View Insert Format Tools Data Window Help Adobe PDF																
B8 = O4 Equipment																
EXPENSE BY MONTH REPORT ALL-ALL-29XXX FROM DECEMBER 2005 TO NOVEMBER 2006 Date: 4/26/2006																
Reported on Current Grant Year																
Cost	Sub	Sub-Object Title	200512	200601	200602	200603	Exp+EML (Open Items)	Retro	200604	200605	200606	200607	Actual Total 1	Future Total 2	Total 1+2+EML	
Direct	00 Academic		61,734	73,250	76,547	77,628		19,161	73,096	73,096	58,315	58,315	289,159	527,692	816,851	
	02 Staff		78,613	90,180	84,069	81,630			77,848	78,222	73,870	72,288	334,493	603,842	938,335	
	03 Supplies		13,083	13,844	2,493	7,872	3,598						37,292	0	40,891	
	04 Equipment						14,472						0	0	14,472	
	05 Trav/Stp		1,907	284	460	8,983	1,491						11,634	0	13,125	
	06 Benefits		26,718	24,884	21,098	28,724		3,180	27,802	27,865	23,773	23,504	101,423	205,215	306,639	
	07 Special								4,200				0	4,200	4,200	
	08 Unalloc								(311,249)				0	(311,249)	(311,249)	
Direct Total			182,054	202,443	184,666	204,838	19,561	22,341	(128,303)	179,183	155,859	154,107	774,002	1,029,700	1,823,263	

Expanding the data to show detail:

There is a special feature in this report that allows you to view the detail of past (QDB) expenses down to the sub-object level. If you double click on a particular sub, for example sub 3, the report changes to show past detail by sub-object as shown below. Many PIs find this report very useful. Double-clicking again returns the original report.

SampleReports_Apr06_EH																
EXPENSE BY MONTH REPORT ALL-ALL-29XXX FROM DECEMBER 2005 TO NOVEMBER 2006 Date: 4/26/2006																
Reported on Current Grant Year																
Cost	Sub	Sub-Object Title	200512	200601	200602	200603	Exp+EML (Open Items)	Retro	200604	200605	200606	200607	Actual Total 1	Future Total 2	Total 1+2+EML	
Direct	00 Academic		61,734	73,250	76,547	77,628		19,161	73,096	73,096	58,315	58,315	289,159	527,692	816,851	
	02 Staff		78,613	90,180	84,069	81,630			77,848	78,222	73,870	72,288	334,493	603,842	938,335	
	03 Supplies	ADVERTISING-RECRUITMENT-PROCUREMENT					1,547									
		BOOKS & MAPS FOR DEPT USE					0									
		CLOTHING & UNIFORMS						74								
		COMP SOFTWARE LICENSE/RENTAL FEES				95										
		COMPUTER SOFTWARE (ACQUISITION) <\$5000			81	108										
		COMPUTING SUPPLIES OR HARDWARE (<\$200)				266										
		DEMURRAGE						14								
		FOOD & BEVERAGE, BUSINESS CONF & MTGS		75	32											
		FREIGHT AND SHIPPING-OUTGOING		158		235		27	(3)							
		GASES-COMPRESSED						37								
		GUEST LECTURERS			11,000	12,000										
		LAB/SHOP INSTRUMENTS AND SUPPLIES			712	563	5,294	3,224								
		LEASE/RENTAL - COPYING EQUIPMENT				370	88	100								

“What if” Scenarios for Expense by Month Reports

You can use the DO macro feature in QDB/FPM to test out various “What if” scenarios. After you have created an Expense by Month Report, select DO Macros from the Custom Reports- Main Menu screen. Select the macro for your report. The macro creates an editable report from your pivot table. Please see page 40 for more information on using the DO Macro feature. The Expense by Month Report can include both past and future data. If you run the DO Macro with this report, the past data in the editable version is protected and cannot be changed.

New Contract or Grant

Addition to Department Chart of Accounts

When EFM sets up a new fund this information is entered into the Financial Table and a fund number is assigned. An account and cost center is initially linked to this fund to create a Full Accounting Unit (FAU). This FAU uniquely identifies this contract or grant or other funding source. Note that additional accounts and cost centers can also be linked to a fund. This fund (FAU) information feeds into the FPM Chart of Accounts. However it should be noted that FAUs with zero balances would not be displayed in FPM. This applies in particular to Clinical Trials which may sometimes have delays between when the FAU is set up in the fund table and when the first funds are appropriated.

When funds are transferred from another Department an Account and CC will be linked to the original fund and the funds will be appropriated to the newly created FAU. This new FAU will then appear in the Department Chart of Accounts when the data feed from the financial table is done (on a daily basis).

Assignment of Fund Manager and entering Fund Nickname, Main PI and Internal PI

Once a new FAU is fed to the FPM database from the Financial Table and appears in the Department Chart of Accounts, the following information should be entered assigned for that new FAU, an Internal Fund Name (“Nickname”), Internal PI, and Fund Manager.

Each department should establish individual guidelines on how the Department Chart of Accounts will be maintained and updated. Typically the Department Manager is responsible for the accuracy of the data in the Chart of Accounts. If the Manager of a Department assigns this responsibility to someone else, it is important that regular reviews of the information take place.

Here is what should be entered for each new FAU:

Fund Manager: The name of the fund manager who will be managing the FAU and generating reports for the PI

Internal Fund Title: The nickname that is used for the grant, e.g., Mice Project, Atrovent Study etc. The best source for this information is the PI.

Internal PI: The internal PI is the PI in your department that is overseeing the funds in a particular FAU or group of FAUs. This may or may not be the Main PI for the project. For example if a program project grant is submitted by a PI named Professor Ted Jones, and then Professor Jones has funds transferred for salary for a PI in your department named Christopher Collins, then Ted Jones is the Main PI and Christopher Collins is the Internal PI for your Department’s funding.

To enter the data, go to the Chart of Accounts tab in FPM and select your Dept from the drop down list. Also select “None Assigned” from the drop down list for the fund manager field. This will give a listing of all FAUs in the Department that are not “assigned” to fund managers. The new fund should be in this list.

In the data grid that appears select the FAU for which you wish to make entries.

The fields that are editable are in white. These include the Internal PI, the Internal Fund Title, the Fund Manager, and the Comments fields. Select the Internal PI and the Fund Manager from the drop down lists. By default the Internal Fund Title is the same as the Fund Title but you can change this to an internal nickname simply by typing over the default name. You can add any comments pertinent to the FAU in the Comments field.

It is important that all FAUs for a Department be assigned to a fund manager and that regular checks of the "None Assigned" FAUs be done to identify new funds.

Updating/Changing Information in Chart of Accounts

Please see the section that starts on page 89 for full information on the Chart of Accounts Module.

Generating Summary of Funds Report for New Contracts and Grants

Full information on generating the "batch" sheet and the Summary of Funds report is described from page 11 to page 16. Your new award will be automatically included in the report.

Summary by Sub Report: Validating FAU information and appropriations.

When you receive notification that new funding has been appropriated, it is valuable to check that the funding is in FPM Chart of Accounts. Once that is verified, it is useful to run the Summary by Sub Report in QDB/FPM and verify by checking with the final budget for the contract or grant, and the Award Synopsis that the appropriations are correct.

Plan Faculty Salaries

Typically the academic personnel enter the plan faculty funding information for the upcoming fiscal year during the budget process. However, fund managers may be asked to update FPM with funding changes and to make corrections.

Here is a basic guide. Select Plan Faculty from the Income and Expense drop down menu on the FPM main menu screen. Use the find feature to select an existing faculty member or click on Add on the Find screen to enter a new faculty member.

You will get the following entry screen.

Financial Projection Module - Main Menu - Eilish Hathaway - [Plan Faculty]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

Partial Month ☐ Begin LYM 200507 End LYM 200606 eg. 200506 12 months Comments

Direct Pay ☐ Account 431201 CC 1A Fund 61027 Fund End 12/31/2025 Project

Source SNS_01 Salary 75,000 % of Salary 0.4098 Monthly Salary 6,250.00 Estimated Dist % Pay Component Automatic

Delete Add

	Begin	End	Salary	Monthly	Source	Account	CC	Fund	Project	NIH CAP	Comments
▶	200507	200606	75,000	6,250	SNS_01	431201	1A	61027		0	
	200507	200606	34,380	2,865	NIH_01	222222	1B	29423		12	
	200507	200606	6,000	500	CPL_04	111111	1A	62165		0	
	200510	200511	2,000	1,000	PCG_01	444444	1A	79054		12	
	200601	200606	10,000	1,667	DP_03					0	
★	200607	200706	50,000	4,167	DP_03					0	

Reports by Indiv. EDB Upload History

2 of 4 Find PENDING Submit

Information has to be entered for each distribution line of faculty funding. The fields and data entry for this screen and the other plan faculty information is detailed starting on page 46. Academic personnel and fund managers need to coordinate information in order to ensure that the current funding information is entered. Fund managers should consult the budget for guidelines on the percentage allowed for each plan faculty. All plan faculty being paid from a particular contract and grant should be entered into FPM. See page 46 for a complete guide to entering plan faculty salaries into FPM.

Staff and Other Academic Salaries

As for plan faculty, fund managers should work with staff personnel and academic personnel (who handle "Other Academic" EBD entries) and with the PI to identify all those who should be funded from a particular grant. There is detailed information on entering the information into FPM starting on page 70.

Here is a basic guide. Select Staff and Other Academic from the Income and Expense drop down menu on the FPM main menu screen. Use the find feature to select an existing staff or other academic or click on Add on the Find screen to enter a new staff or other academic. You will get the following entry screen.

Financial Projection Module - Main Menu - Eilish Hathaway - [Staff & Other Academic Salary Projections]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 1 Name: BRUIN, JOELLE

Distributions Salary Increases Benefit Rate Retro

Begin Date End Date Title Code DOS Type Comments
 9/1/2005 8/31/2006 e.g. 6/30/05 1234 REG

Account CC Fund Fund End Project End Project Sub
 431268 VV 2XXXX 000000 MICE 02

Dist % Rate Code Monthly Rate Hourly Rate Monthly Salary
 0.4000 A 3,000.00 0.00 1,200.00

Delete Add

Dept	Begin Date	End Date	Account	CC	Fund	Project	Sub	Comments	DOS	Monthly \$	Dist %	Title	U
1400	9/1/2005	8/31/2006	1 431268	VV	2XXXX	MICE	02		REG	1,200.00	0.4000	1234	Ei
1400	9/1/2005	8/31/2006	1 431268	XX	21XXXX	RATS	02		REG	1,800.00	0.6000		Ei

Comments

31 of 307 Find Reports by Indiv. No Refresh QDB Dist. Import Notify

Information in FPM is "Refreshed" daily from EDB/QDB so that new employees or funding changes for staff that have previously been entered in EDB are automatically updated in FPM unless the "No Refresh" button has been checked in FPM for an employee. However, funding information can also be entered manually into FPM. It is important that every employee who should be paid from a funding source is included in the FPM projection. The fields and data entry for this screen and the other Staff and Other Academic information is detailed starting on page 70. Staff and Academic personnel and fund managers need to coordinate information in order to ensure that the current funding information is entered. Fund managers should consult the budget for guidelines on the percentage allowed for each person. All staff and other academic being paid from a particular contract and grant should be entered into FPM in order to project the correct ending balance.

Caution: Academic and staff salaries typically constitute the largest expense on a contract or grant. It is very important that the projections for salaries are correct and that errors are identified promptly and fixed.

Other Expense Projections

All expenses that are anticipated to be paid from a contract or grant need to be projected in FPM. There are two major categories of expense other than salaries. These are recurring monthly expenses and one-time lump sum expenses. Information on these is below.

Recurring Monthly Expenses

Recurring monthly expense are those that can be anticipated to occur on a regular and routine basis for the course of a grant. Examples of these are DLAM charges if animals are used in the studies or Chemicals needed to run the experiments. There is detailed information on entering these expenses starting on page 82. Here is a brief synopsis.

To access the Non-Salary Expenses component of the program, on the menu bar, click on "Income & Expenses", and then choose "Income & Non-Salary Expenses". The top section of the screen is the "Search" area. The bottom of the screen is the grid where search results get displayed. The middle section is for editing existing records and adding new ones. To find previous entries, indicate your search criteria and click on "Search".

The screenshot shows the 'Financial Projection Module - Main Menu - Eilish Hathaway - [Income & Non-Salary Expense]' window. The menu bar includes 'Income & Expense', 'Chart of Accounts', 'C&G Data', 'Overall Reports', 'Utilities', and 'Fiscal Year: 2005-06'. The 'Search By:' section has input fields for 'Account', 'CC', 'Fund' (containing '21572'), 'Project', and 'Sub', with 'Search' and 'Clear' buttons. A 'To Excel' button is also present. The 'Edit:' section contains fields for 'Account', 'CC', 'Fund', 'Fund End', 'Project End', 'Project', 'Sub', 'Category', 'Description', 'Amount' (set to '0'), 'Begin LYM', and 'End LYM' (with 'eg. 200506' as an example). It also includes checkboxes for 'Retro/Adj.', 'Monthly', 'Lump Sum', and 'Assess F&A', along with an 'Add' button. At the bottom, a table grid is visible with columns: Dept, Account, CC, Fund, Project, Sub, Category, Description, Amount, Lump Sum, F&A, Retro, and Begin.

Complete the information in each field for each expense. Click on "Monthly" to indicate a recurring expense. Complete information on the data entry and what is required for each field is on page 82.

One-time Lump Sum Expenses

Lump sum expenses are those that are anticipated to occur only once or very irregularly. An example of a lump sum expense is new piece of equipment that may be needed or is anticipated being needed in the future. Lump sum expenses are entered very similarly to recurring ones except that you check "Lump Sum" instead of "Monthly". Please see starting on page 82 for additional information.

CAUTION! When you are preparing monthly reports that provide information from both QDB and FPM such as the Summary by Sub report (see page 16), recurring expenses are automatically updated in FPM. So for example if the most recent closed ledger was September and the grant ended the following May, FPM would only include the recurring expenses from October through May. However, lump sum expenses have to be manually deleted from FPM during the reconciliation process when the expense has actually hit the ledger. FPM does NOT automatically update these expenses. If for some reason only part of a retroactive and/or lump sum correction hit the ledgers, FPM should be updated to only show the amount that is still outstanding.

Income for Contracts and Grants

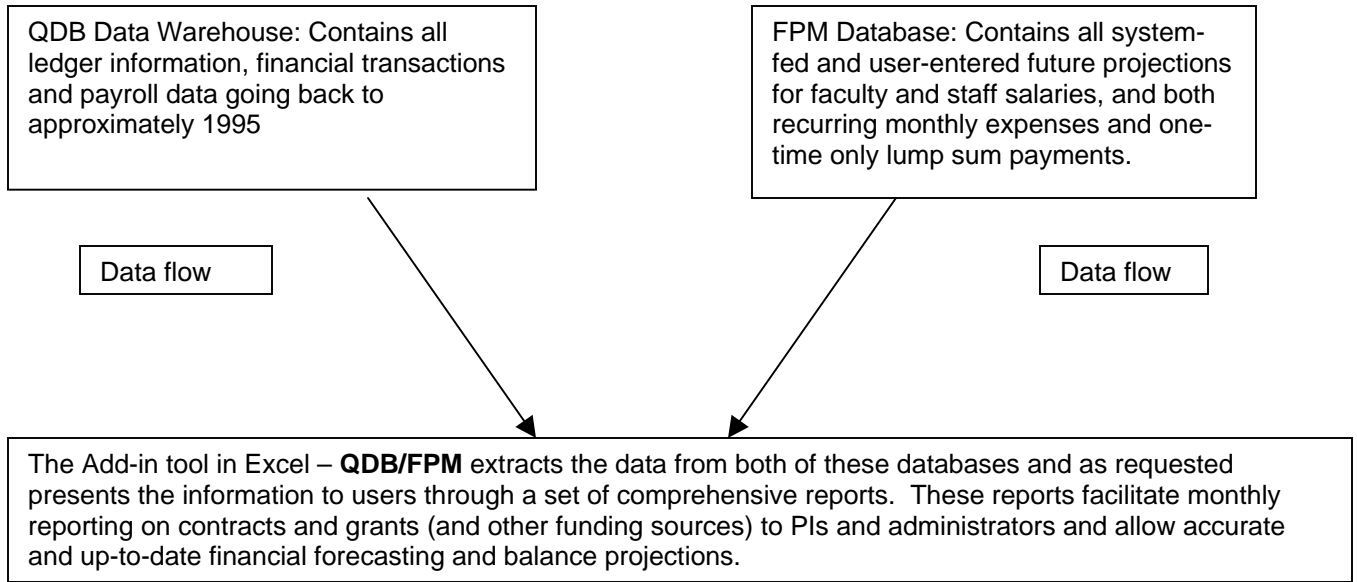
Please see information starting on page 86 for details of entering anticipated revenue.

III. FPM Working In Conjunction With QDB/FPM

Section Summary

This section describes how FPM works in conjunction with QDB/FPM and reviews the reports that incorporate data from both databases.

Two Databases: How do they co-exist?



QDB/Main Menu:

Reports that combine QDB and FPM Data

For Monthly Reports to PIs

(those that have filled circles next to them)



The reports selected above are available through QDB/FPM that integrate data from the QDB warehouse with the information in FPM. Note that when a report that can include FPM is selected from the Custom Reports-Main Menu screen in QDB/FPM there is an option box that says "Include FPM Funding" that appears. The option is not visible for reports that do not include FPM funding.

For Summary of Funds Report – see explanation on page 11
For Summary by Sub Report – see explanation on page 16
For Non-Salary Expenses Report – see explanation on page 22
For Expense by Month Report –see explanation on page 24
For Sales and Service Summary – see explanation below
For Salary by Month – see explanation below
For Reconciliation – see explanation on page 21

Other Reports

Salary by Month Reports (Various Options)

From the Custom Reports-Main Menu select the Salary by Month Option

QDB/FPM - Med Sch - Version Date: October 31, 2005

QDB/FPM
Custom Reports - Main Menu

General Ledger Custom Reports
Most Recent 200510

- ☐ Summary of Funds
- ☐ Summary - By Sub
- ☐ Non-Salary Expense Projection by Month (all fund types)
- ☐ Expense by Month
- ☐ Summary - By Sub Object
- ☐ Sales & Service Summary
- ☐ Detail - Closed
- ☐ Detail - Open
- ☐ Detail - All

Payroll Expense Distribution
Most Recent 200510

- ☒ Salary by Month
- ☐ Reconciliation
- ☐ FAU Sum
- ☐ Employee Sum
- ☐ Ledger Yr/Mo
- ☐ Earned Yr/Mo
- ☐ Brown UPAY
- ☐ Other UPAYs
- ☐ PAR
- ☐ Customized 1

Report ppp for: ☒ Organizational ☐ Employee

FPM ☒ Include FPM Funding

Writeups DO Macros Monthly Batch Go to ADHOC Cancel < Back Next > Finish

Click here to include future funding.

Select Salary by month option.

Select Organizational report.

Enter your department code and FAU on the next two screens.

Then click Next to go to the Date for Salary By Month screen. The options available on this screen are very important. You can enter a customized date range by selecting both a From and a To Month from drop down lists. In the example below the date range June 2004 to May 2005 has been entered so this report would include both past and future data. Also note the option of selecting Ledger Year/Month (paid) or Pay Period Ending (earned) as your date source. For more information on selecting the date source please see the section in the Appendix starting on page 113.

DATE SOURCE

☒ Ledger Year/Month (paid)
 ☐ Pay Period Ending (earned)

Select either "paid" or "earned" date source.

If you wish to match your salary report to the Summary by Sub projections you can select one of the other two options depending on whether your report is based on budget period or fiscal year. To get the projection from the month after the most recently closed ppp through the fund end date, select

Match Future Sum by Sub-Fund End Date- you will not get any past salary information.

To get the projection from the month after the most recently closed ppp through the end of the current fiscal year, select

Match Future Sum by Sub-Fiscal Year- you will not get any past salary information.

In addition, there are two new options, Current Grant Year and Current Fiscal Year which set the date ranges as the current contract period or the current fiscal year.

Note that if any of the five check boxes are selected, the customized date fields are no longer available.

To deselect any of the check box options, just click on the selected check box and it will get deselected and the date options at the top will be reactivated.

DATE SOURCE

☒ Ledger Year/Month (paid)
 ☐ Pay Period Ending (earned)

This is a slide from the financial forum where this was explained.

Salary by Month - Sub B Issue

RESOLVED

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Click Next to go to the next screen which is the new “Payroll Reports by Salary By Month” screen. A listing of different variations of Salary By Month standard reports is provided in a box at the right hand side. To assist you in selecting the report you wish, the fields that vary on each report are listed. Each report includes the fields in the menu PLUS the three fields Led YM (or Earned YM if that option was selected earlier), Gross Earnings and Total Benefits. The following are two examples of standard reports from the list. In each example the fields enclosed in a circle are those included in every standard report. In example 1 the fields enclosed in a box correspond to the menu choice “Sub, emp, fund”. In the second example the fields enclosed in a box correspond to the menu choice “Cc, fund, sub, emp”.

Example 1 = Option 2

Payroll Reports by Salary by Month

To remove a field from your report, select it from the Report Fields and then press the REMOVE button.

To change the order of the items in your report, select an item from the Report Fields, then use the Up or Down buttons to move the item accordingly in the box.

To add an item from Payroll Expense Distribution Fields to your report, Click it.

Payroll Expense Distribution

- Account
- CC
- DOS
- Earned YM
- Employee ID
- Employee Name
- Fund
- Gross Earnings
- Led YM
- Title Code
- Total Benefits

Report Fields

- Sub
- Employee Name
- Fund
- Led YM
- Gross Earnings
- Total Benefits

Report

Salary by Month

- Sub, emp, UID
- Sub, emp, fund
- Sub, fund, emp
- CC, fund, sub, emp
- Proj, sub, cc, emp
- Emp, cc, proj, sub
- Emp, proj, sub
- Sub, emp, cc, proj

Subtotal/Grouping levels: 0

PAR Writeup Go to ADHOC Cancel < Back Next > Finish

Example 2 = Option 4

Payroll Reports by Salary by Month

To remove a field from your report, select it from the Report Fields and then press the REMOVE button.
To change the order of the items in your report, select an item from the Report Fields, then use the Up or Down buttons to move the item accordingly in the box.
To add an item from Payroll Expense Distribution Fields to your report, Click it.

Payroll Expense Distribution

Account
CC
DOS
Earned YM
Employee ID
Employee Name
Fund
Gross Earnings
Led YM
Object
Project
Sub
Title Code
Total Benefits

Report Fields

Remove
Remove All
Up
Down

CC
Fund
Sub
Employee Name
Led YM
Gross Earnings
Total Benefits

If Report Fields are changed, future data will be excluded

Report

Salary by Month

Sub, emp, UID
Sub, emp, fund
Sub, fund, emp
CC, fund, sub, emp
Proj, sub, cc, emp
Emp, cc, proj, sub
Emp, proj, sub
Sub, emp, cc, proj

Subtotal/Grouping levels:
0

PAR Writeup Go to ADHOC Cancel < Back Next > Finish

Warning message whenever future data is included.

You can also customize the report as before by adding or removing fields and/or adjusting the order of the fields. However, if you create a custom report, you cannot include future data i.e., you must enter a date range in the drop down boxes that ends with the most recently closed ledger. The following are examples of the output from the above examples.

Option 2 Report

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
1	Payroll: Salary by Month All-JX-57XXX Paid From July 2004 to January 2005 Proposed Funding date: 3/1/2005													
2	Salary - Reported on Led YM Note: No future funding requested in this report													
3														
4	Sub	Employee Name	Fund	200407	200408	200409	200410	200411	200412	200501	Total			
7	00	BOGART,HUMPHREY	57XXX	2,256	2,256	2,256	2,256				9,025			
8		BACALL,LAUREN	57XXX	4,733	4,733	(9,467)					0			
9	00	Total		6,990	6,990	(7,210)	2,256				9,025			
10	01	ASTAIRE,FRED	57XXX	696							696			
11		ROGERS,GINGER	57XXX					3,265	4,225	4,225	11,715			
12	01	Total		696				3,265	4,225	4,225	12,410			
13	02	KOWALSKI,STANLEY	57XXX	186	186	186	269	269	269	269	1,633			
14		DUBOIS,BLANCHE	57XXX		(1,731)						(1,731)			
15	02	Total		186	(1,545)	186	269	269	269	269	(98)			
16	Total Salary			7,871	5,444	(7,025)	2,525	3,534	4,494	4,494	21,338			
17	Note: When Sub is the first field both salary and benefits appear on the same page. Otherwise they are on separate pages.													
18	Benefits - Reported on Led YM													
19		Employee Name	Fund	200407	200408	200409	200410	200411	200412	200501	Total			
22		BOGART,HUMPHREY	57XXX	134	134	134	134				535			
23		BACALL,LAUREN	57XXX	5	5	2	3	3	3	3	23			
24		ASTAIRE,FRED	57XXX	118							118			
25		ROGERS,GINGER	57XXX		(19)						(19)			
26		KOWALSKI,STANLEY	57XXX	503	80	(583)					0			

Option 4 Report

A16																			
	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	R	S	T
1	Payroll: Salary by Month All-JX-57XXX Paid From July 2004 to June 2005 Proposed Funding date: 3/1/2005																		
2	Salary - Reported on Led YM																		
3																			
4	CC	Fund	Sub	Employee Name	200407	200408	200409	200410	200411	200412	200501	200502	200503	200504	200505	200506	Actual Total	Future Total	Total Salary
7	JB	57XXX	00	BOGART,HUMPHREY	2,256	2,256	2,256	2,256									9,025	0	9,025
8				BACALL,LAUREN	4,733	4,733	(9,467)										0	0	0
9			01	ASTAIRE,FRED	696												696	0	696
10				ROGERS,GINGER					3,265	4,225	4,225						11,715	0	11,715
11			02	KOWALSKI,STANLEY	186	186	186	269	269	269	269	269	269	269	269	269	1,633	1,346	2,979
12				DUBOIS,BLANCHE		(1,731)											(1,731)	0	(1,731)
13	JB Total				7,871	5,444	(7,025)	2,525	3,534	4,494	4,494	269	269	269	269	269	21,338	1,346	22,683
14	Total Salary				7,871	5,444	(7,025)	2,525	3,534	4,494	4,494	269	269	269	269	269	21,338	1,346	22,683
15																			
Option 4 Report-Salary																			

Salary by Month Report with groupings by Project Code

Options on the Salary by Month listing provide two reports that may be especially useful for departments and areas that focus on or use project codes to manage their funds. If you select the report "Proj, sub, fund, emp" you get the fields Project code, Sub, Fund, Employee Name, LED YM, Gross Earnings and Total Benefits. The report "Proj, sub, cc, emp" gives Project code, Sub, cost center, employee name, Led YM, Gross Earnings and Total Benefits. Both of these reports also have the option of selecting pay period ending as the pay source.

Payroll Reports by Salary by Month

To remove a field from your report, select it from the Report Fields and then press the REMOVE button.

To change the order of the items in your report, select an item from the Report Fields, then use the Up or Down buttons to move the item accordingly in the box.

To add an item from Payroll Expense Distribution Fields to your report, Click it.

Payroll Expense Distribution

- Account
- CC
- DOS
- Earned YM
- Employee ID
- Employee Name
- Fund
- Gross Earnings
- Led YM
- Object
- Project
- Sub
- Title Code
- Total Benefits

Report Fields

- Project
- Sub
- Fund
- Employee Name
- Led YM
- Gross Earnings
- Total Benefits

Report

Salary by Month

- Sub, emp, UID
- Sub, emp, fund
- Sub, fund, emp
- CC, fund, sub, emp
- Proj, sub, fund, emp**
- Proj, sub, cc, emp
- Emp, cc, proj, sub
- Emp, proj, sub
- Sub, emp, cc, proj

Retroactive adjustments and the Salary by Month Report

If there are retroactive adjustments pending for a faculty or staff person included in the Salary by Month Report you will get a column called "Retro" to the left of the "Actual Total" column with the total retroactive salary adjustments. In addition you will get another sheet that gives detail of the retroactive adjustment. Please see page 20 for a sample that includes retroactive adjustments.

Sales and Service Report

A Sales and Service fund allows Departments to create a source of revenue by providing products or services to other campus entities, and under certain circumstances to organizations outside the University. The accounting for Sales and Service activities is different from other types of funding sources. These differences arise from the need to account for various revenue types (primarily internal recharges and deposits to a revenue account). There is a report available in QDB/FPM that specifically addresses these needs. From the Custom Reports-Main Menu screen select Sales & Service Summary.

QDB/FPM - Med Sch - Version Date: November 18, 2005

QDB/FPM Custom Reports - Main Menu

General Ledger Custom Reports Most Recent 200511

- ☐ Summary of Funds
- ☐ Summary - By Sub
- ☐ Non-Salary Expense Projection by Month (all fund types)
- ☐ Expense by Month
- ☐ Summary - By Sub Object
- ☒ Sales & Service Summary
- ☐ Detail - Closed
- ☐ Detail - Open
- ☐ Detail - All

Payroll Expense Distribution Most Recent 200511

- ☐ Salary by Month
- ☐ Reconciliation
- ☐ FAU Sum
- ☐ Employee Sum
- ☐ Ledger Yr/Mo
- ☐ Earned Yr/Mo
- ☐ Brown UPAY
- ☐ Other UPAYs
- ☐ PAR
- ☐ Customized 1

Report ppp for: ☒ Organizational ☐ Employee

☒ Include FPM Funding

Note that you have the option of including FPM data for these funds. This is important because selecting or deselecting FPM data will impact the date range choice.

From the main menu you are taken to the FAU for Sales and Service Summary. Enter the fund number. The report is designed to provide an overall summary of a sales and service fund so the fund number is the only number entered.

FAU for Sales & Service Summary

Enter the components of an FAU to report on. To enter multiple values, separate the values with commas. To specify all possible values, use an *.

Fund: 62000 Find Accounts

Project: +

Get Last FAU

Batch Processing

☐ Collate Reports

Load Create Batch

Sales & Service Summary Options

☐ Include CSER

☒ Convert FAU to upper case

Cancel < Back Next > Finish

FPM Included

Click Next to continue and enter the date range on which to report. If FPM has been selected (as above), your fields in the Date screen will be grayed, you will NOT be able to report on a prior ledger month, only on the current ledger month. If FPM is not selected you can select a prior ledger month. However if you do, columns B and C will be blank and of course column E will be blank because you did not request FPM data. Click Finish when done.

You get the Sales and Service Fund Status Report. This report provides a comprehensive overview of the fund by giving information on the carry forward balance, the revenue received, and similar to the other Summary by Sub report provides information on current expenses and future projections (when FPM data is included). Entering expenses is done the same way as for other funds. Information on entering income data into FPM for Sales and Service funds starts on page 86. As you will note, there is no "Appropriations" column. This is because for Sales and Service funds, the focus is on the "Financials" column of the Fund Run Summary. Unlike other fund types, the appropriations column does not reflect the funds that are available for expenditure, it is the budget which might or might not be up to date.

ITEMS	GEN. LEDGER INCR/DECR November 95	POST GL TRANSACTIONS Rev & Exp	POST GL TRANSACTIONS Jumb & Memo Lic	DETAILS REF FDR PERMANENT (A - B - C)	FUTURE INCR/DECR (D - E)	TOTALS (B - E)
(1) Prior Year Fund Balance	101,254.00			101,254.00		101,254.00
INCOME						
Revenue	25000X 4,641.40	600.00	0.00	5,241.40		5,241.40
Recharge Income	5241.00 2,581,495.00	0.00	0.00	2,581,495.00		2,581,495.00
(2) Total Income	2,586,736.40	600.00	0.00	2,586,736.40	0.00	2,586,736.40
EXPENDITURES						
Salaries: Academic	SUB 00 32,350.40	0.00	0.00	32,350.40	42,391.69	75,142.09
Salaries: Staff Carees	SUB 01 381,214.00	0.00	0.00	381,214.00	69,450.00	1,050,672.00
Salaries: Gen. Assistance	SUB 02 89,104.00	0.00	0.00	89,104.00	69,541.00	158,645.00
Employee Benefits	SUB 06 (Excl VAC) 65,145.00	0.00	0.00	65,145.00	25,124.00	100,269.00
Supplies & Expense	SUB 02 25,145.00	3,161.01	12,411.00	40,717.01		40,717.01
Equipment	SUB 04 0.00	0.00	0.00	0.00		0.00
Special Items	SUB 05 0.00	0.00	0.00	0.00		0.00
Special Items	SUB 07 74,514.75	77,051.89	0.00	151,566.65		151,566.65
Unallocated	5241.00 1,258,444.00	0.00	7,500.00	1,265,944.00		1,265,944.00
(3) Total Expenditures	2,525,717.35	80,212.81	19,911.00	2,625,840.96	217,116.69	2,842,957.65

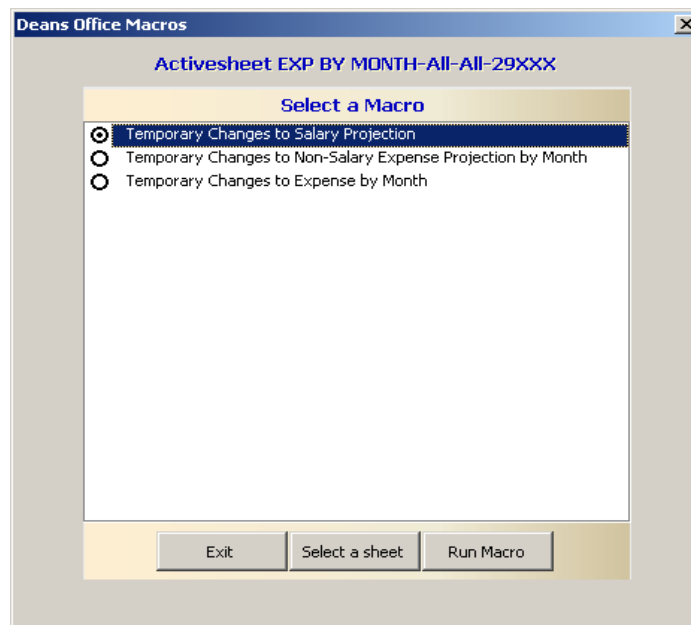
Optional Features

Dean's Office Macros (DO Macros)

There is a useful tool for “what if” scenarios called “DO Macros” available from the Custom Reports- Main Menu screen.



When you have run a Salary by Month report, The Non-Salary Expense Projection by Month Report or the Expense by Month Report, you may want to test various future salary or expense scenarios for the contract or grant funding. To do this click on the “DO Macros” button at the bottom of the Custom Reports-Main Menu page. You get the following screen.



This screen gives you the option of selecting the sheet in the workbook with the Projection report on it, running the macro or exiting. What the macro does is to convert the pivot table created in any of the Salary by Month Reports into an editable format. This allows the user to test the impact on the bottom line of adjusting future costs in various ways. It is important to know that changing the amounts in this fashion only affects the Excel sheet you are working with. It does not affect the main FPM database. Once you have various scenarios and know how things should really be projected, you must then go back to FPM to make any adjustments required in order to incorporate those changes in your projections.

Similarly you have the capability to do “what if” scenarios for the Non-Salary Expense Projection by Month Report or the Expenses by Month Report by selecting DO Macros and then selecting the appropriate macro. After you run a Non-Salary Expense Projection by Month Report (see page 22) you will get a pivot table with the results. Running the macro creates an editable version of the data. After you run an Expense by Month Projection Report you will get a pivot table with the results. As for the other reports, running the macro creates an editable version of the data.

Note: You cannot alter past (QDB) data in the report generated by the macro as it is protected.

Monthly Batch Reports in QDB/FPM

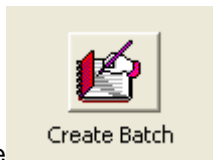
The Monthly Batch module, which now includes future projections (FPM data) allows users to run several reports in succession for the same FAU for one month at a time. This feature is especially useful for monthly reconciliation of accounts and for generating monthly report packets for PI's. Without the monthly batch, it is necessary to use the custom reports to run reports one at a time. For example to run a Detail Closed report, you need to go to the FAU screen, LYM Date screen, Type of Transaction screen and Subtotal screen. To run another report, you need to go through these screens again.

With Monthly Batch you can run several reports for the same FAU, without going through the custom report screen for each report. You can use a Monthly Batch template to create several batch sheets, one for each FAU. You can also select some reports to include FPM projections.

User Form

From QDB/FPM click on “Monthly Batch” on the bottom of the Custom Reports Main Menu screen. An empty user form will pop up.

The screenshot shows a software window titled "UserForm1" with a blue border. The window is divided into several sections. At the top, there is a "General Ledger Reports" section with a "LYM date to report on" text box. Below this is a large empty rectangular area. The next section is "Payroll Expense Distribution Reports" with a "Payroll date to report on" text box, followed by another large empty rectangular area. To the right of these sections is a "Batch Options" panel containing three icons: a document with a pencil (labeled "Create Batch"), a folder (labeled "Load Batch"), and a document with a double-headed arrow (labeled "Set Sort/Subtotal"). At the bottom left, there is a "Batch sheet:" label. At the bottom right, there is a status bar with the text "FPM Included". Along the bottom edge, there are four buttons: "Writeup" (with a notepad icon), "Custom Reports", "Cancel", and "Run Reports".



Just bypass this by pressing the

button. A blank Monthly Batch template in Excel will appear.

Monthly Batch Template

General Ledger Reports			Components of FAU to report on										Types of Transactions			Type		Match	
Run	Include FPM	Report Name	Account C	Fund	Project	Sub	Object	Source	Dept	Appro	Exp	Encum Memo	Include File	ITD	YTD	Fund End Date	Fiscal Year		
		Summary - By Sub	*	*	*														
	Y	Non-Salary Projection by Month	*	*	*	*		*											
		Expense by Month	*	*	*	*	*	*											
		Summary - By Sub Object	*	*				*											
		Sales & Service			*														
		Detail - Closed	*	*	*	*	*	*	*	X	X		X						
		Detail - Open	*	*	*	*	*	*	*	X	X	X							
		Detail - All	*	*	*	*	*	*	*	X	X	X	X						

Exp. Distribution Reports			Components of FAU to report on										Organizational Unit			Match		Date Source		Staffing Group				
Run	Include FPM	Report Name	Account C	Fund	Project	Sub	Object	Title Code	DDS	Dept	Sub Div	Div	Org	Fund End Date	Fiscal Year	Paid	Earned	Faculty	House staff	Staff	All			
		Salary by Month	*	*	*	*	*	*	*		*	*	*				X						X	
	Y	Reconciliation	*	*	*	*	*	*	*		*	*	*				X						X	
		FAU Sum	*	*	*	*	*	*	*		*	*	*				X						X	
		Employee Sum	*	*	*	*	*	*	*		*	*	*				X						X	
		Ledger Yr/Mo	*	*	*	*	*	*	*		*	*	*				X						X	
		Earned Yr/Mo	*	*	*	*	*	*	*		*	*	*				X						X	
		Brown UPAY	*	*	*	*	*	*	*		*	*	*				X						X	
		Other UPAYs	*	*	*	*	*	*	*		*	*	*				X						X	
		Customized I	*	*	*	*	*	*	*		*	*	*				X						X	

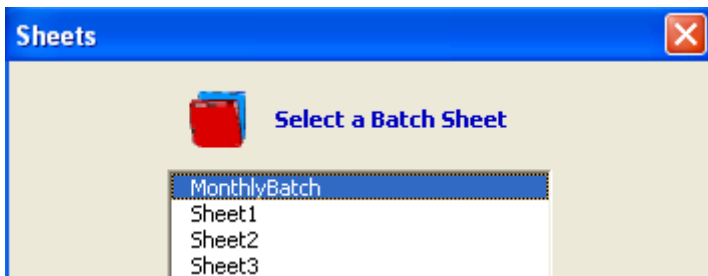
On this sheet, you will see a grid for the General Ledger and Payroll reports. Fill the entries that you need for your reports with the FAU. The first column of the template is labeled “Run”; you need to place a “Y” in this column for each report that you intend to run. You specify these entries as you would on the custom reports: an * means that the entry is optional. A highlighted aqua cell means that the entry does not apply to the report. Some cells contain an X, which specify certain characteristics of the report to be run. For example, if you place an X in the “Earned” column for the FAU sum payroll report, you will retrieve data by the earned date. The second column of the template is labeled “include FPM”. To include FPM information in the report, place a “Y” in this column. If you choose to run Non Salary Projection by Month, Salary by Month or Expense by month to include FPM, be sure to select either Match Fund End Date, or Match Fiscal Year.

Running Reports

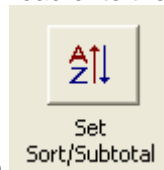
Now that you have created your batch sheet, keep it open, return to QDB FPM under tools, click on Monthly Batch, and your completed user form will load into the program. At this point, you

may run your reports by simply clicking on the **Run Reports** button. You will get all the reports that you marked with a “Y” and indicated the FAU. There will be one sheet for each report.

If you wish to rearrange the order of the columns and how they are subtotaled, return to the user form by going to QDB FPM under tools, click on monthly batch, then click on “Load Batch”. Your current open sheet will probably be one of the previously downloaded worksheets. The program will bring up a list of all sheets in your file and will ask you to “select a batch sheet”. Select the sheet that contains your “monthly batch”, and click “OK”.

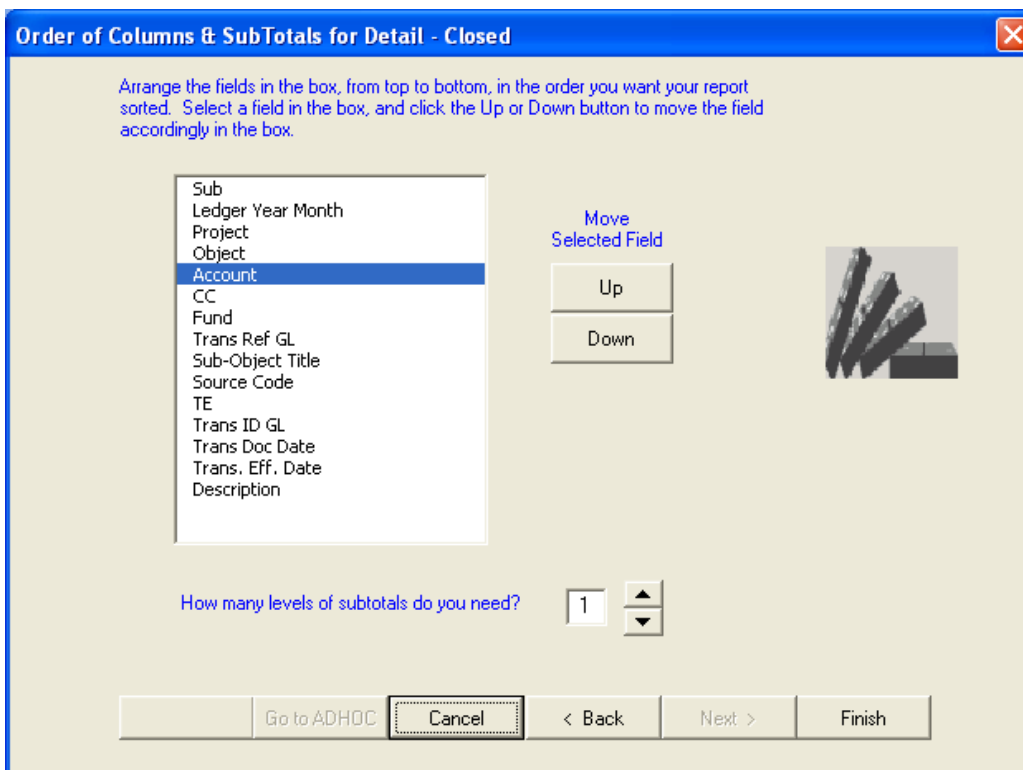


Your previous batch sheet will load onto the user form. Click on the report you wish to modify on

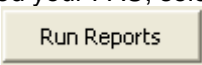


the user form and click on the

On the subtotal screen you can reorder the fields and press the finish button to set and save the order of your monthly batch report. Next month when you load this template it will have the sort preferences that you input. Pressing the cancel button on the subtotal screen will reset your report to default. Press the back button on the subtotal screen if you do not wish to make any changes.

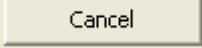


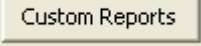
Now that you have entered your FAU, selected which reports to run, and adjusted your subtotal

fields, you can press the  button. All reports that are marked with a Y under the "Run" column will now process in succession. QDB FPM program checks your entries and informs you of any invalid entries. Rules are the same as the custom reports. For example, if you do not enter a fund number or a department code for payroll, you will be prompted and the

monthly batch will not run. While your reports are running, all other buttons are disabled except the cancel button.

When you are on the User Form, and your reports are running, you can stop the process by

pressing the  button. It will take affect after the current report is finished. If you are not running any report and press the cancel button, you will be taken to Excel. You can go

back to custom reports by pressing the  button.

Date Criteria

When you go to the Monthly Batch user form, you will see the most recent dates for the General Ledger reports and the Payroll Expense Distribution Reports:

LYM date to report on 200410

Payroll date to report on 200411

You can change these dates if you need to run reports for a prior month. If you specify a prior month, Detail Open and Detail All reports will not run because QDB/FPM cannot recreate an open table for the past month.

General Ledger-Example

In this example, by placing a “Y” in the run column, Detail-Closed and Detail-Open reports are marked to run. Summary by Sub will NOT run, even though an FAU is indicated. It needs a “Y” in the “Run” column in order to run. Select “type” by placing an “x” either in ITD or YTD cell. One of these entries is required. Cells with an * are optional. By default fund cells are blank and required. However, if a report has “dept” entry you can specify a department code and enter an * for the fund. Non-Salary Projection by Month has also been selected to run, and the FPM data is selected to match by fund end date.

General Ledger Reports				Components of FAU to report on							Types of Transactions				Type		Match	
Run	Include FPM	Report Name	Account	CC	Fund	Project	Sub	Object	Source	Dept	Appr o	Exp	Encum Memo Lien	Include Re-appro	ITD	YTD	Fund End Date	Fiscal Year
		Summary - By Sub	*	*	12345	*									X			
Y	Y	Non-Salary Projection by Expense by Month	45678	AB	12345	*	*		*								X	
		Summary - By Sub	*	*		*	*	*	*									
		Object Sales & Service				*										X		
Y		Detail - Closed	*	*	12345	*	*	*	*	*	X	X		X				
Y		Detail - Open	45678	AB	12345	*	*	*	*	*	X	X	X					
		Detail - All	*	*		*	*	*	*	*	X	X	X	X				

Payroll Expense Distribution-Example

The following template specifies three reports to run, Salary by Month (to include FPM and match fund end date), FAU sum and Ledger Yr/Mo. You need to specify a Department Code or an Organizational Unit. If you specify any Organizational Unit other than the Department, place an * in the department cell. Default “staffing group” is “All”. If you change it to select just faculty or just house staff, etc., please note that this distinction does NOT work for future FPM data. “Date Source” default is “paid”. You also have the option of choosing “Earned”. Loading and running the batches work exactly as described above for general ledger reports.

Exp. Distribution Reports			Components of FAU to report on								Organizational Unit				Match		Date Source		Staffing Group			
Run	Include FPM	Report Name	Account	CC	Fund	Project	Sub	Object	Title Code	DOS	Dept	Sub Div	Div	Org	Fund End Date	Fiscal Year	Paid	Earned	Faculty	House staff	Staff	All
g	g	Salary by Month	45678	AB	12345	*	*	*	*	*	1234	*	*	*	g		g					g
	g	Reconciliation	*	*	*	*	*	*	*	*		*	*	*			g					g
g		FAU Sum	12345	*	34567	*	*	*	*	*	1234	*	*	*				g	g		g	
		Employee Sum	*	*	*	*	*	*	*	*		*	*	*			g					g
g		Ledger Yr/Mo	45678	AB	12345	*	*	*	*	*	*	*	*	3456			g			g	g	
		Earned Yr/Mo	*	*	*	*	*	*	*	*		*	*	*			g					g
		Brown UPAY	*	*	*	*	*	*	*	*		*	*	*			g					g
		Other UPAYs	*	*	*	*	*	*	*	*		*	*	*			g					g
		Customized 1	*	*	*	*	*	*	*	*		*	*	*			g					g

IV. Using FPM

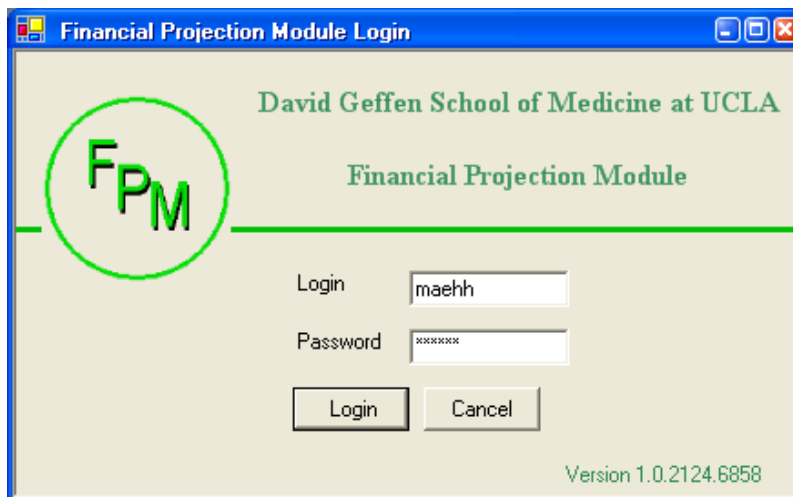
Section Summary

In this section we review the menu options available in FPM and the screens for each selection. This section could be used as an overall review of the functions available within FPM.

Starting FPM

For information on installing FPM or getting set up in the FPM security system please see the section "Getting Started" on page 6.

Either click on the FPM icon on your desktop or select Start, Programs, Financial Projection Module from the Windows screen. You will then be on the Login screen for FPM. Enter your ID and Password, and then Click on "Login".



Note: Your FPM password must be synchronized with your QDB password. Please see page 7.

Income and Expense

The Income and Expense tab in FPM is the left most menu option on the Main Menu screen. Under Income and Expense there are three sub menu item options. These are Plan Faculty, Staff & Other Academic and Income & Non-Salary Expense. Each of these is covered below.

Plan Faculty

Introduction


The Plan Faculty Section is the oldest piece of FPM and has important links to the All-Funds Budget Program and to the Academic Data Base (ADB) maintained in the Dean's Office. For all years except the "Budget Year", there is a nightly refresh of all appointment information from the ADB to FPM with an automatic email notification system associated with any changes. All distribution information for plan faculty is entered through FPM which in turn is dynamically connected with EDB. In addition, all information on plan faculty for the annual budget is entered and finalized in FPM and then that information is uploaded to the All-Funds Budget Program. Once approved, it gets fed to the ADB.

When you select the Plan Faculty Option from the Income and Expense tab you are taken to the Find Plan Faculty Screen as shown below.

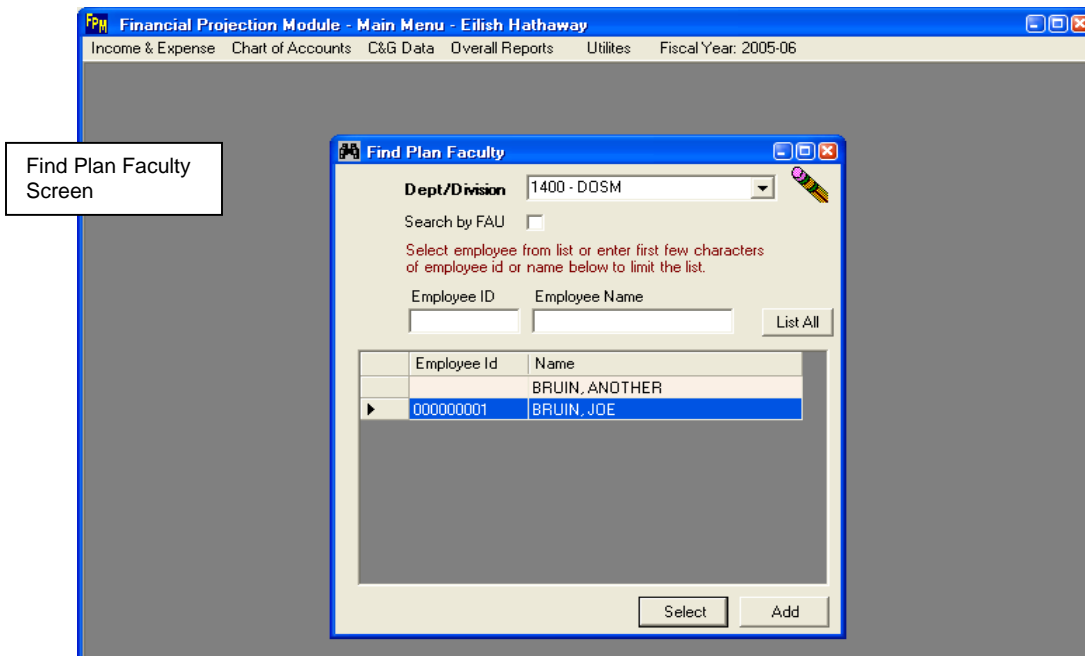


Search by Individual or by FAU

From the Find Plan Faculty screen, your first step is to locate the faculty member you wish to review and/or modify. You can search either by name or by FAU. You can also filter the list by funding source, for more information on filtering please see the next section starting on page 48.

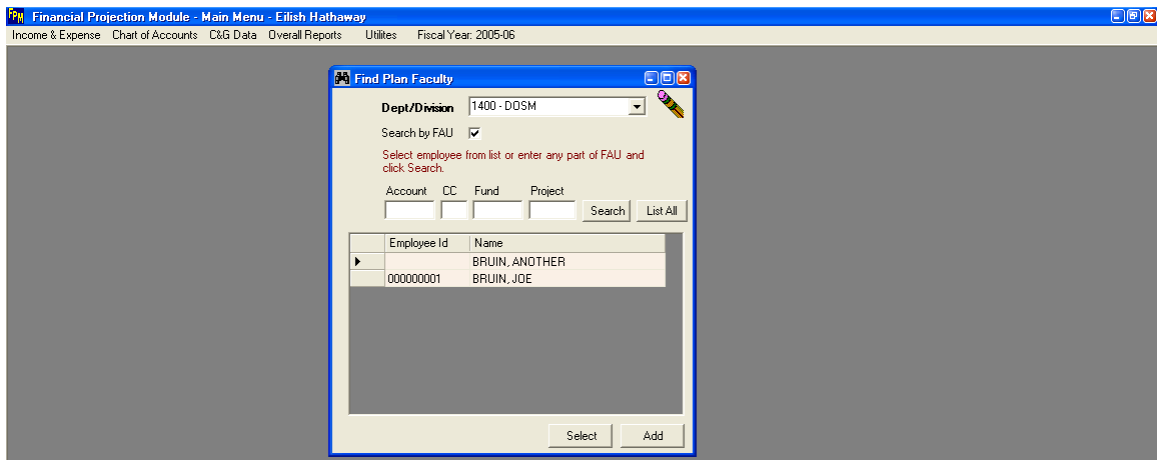
First, from the drop down list select your department. To search within all the departments you have access to, you can clear this list by clicking on the eraser button .

To search for individuals by name type the first few letters of their last name in the "Employee Name" box, highlight the individual and click on "Select".



You can also check "Search by FAU". If you check this you can enter an FAU (see screen below). This will give you a list of all the plan faculty who are being paid from the entered FAU.

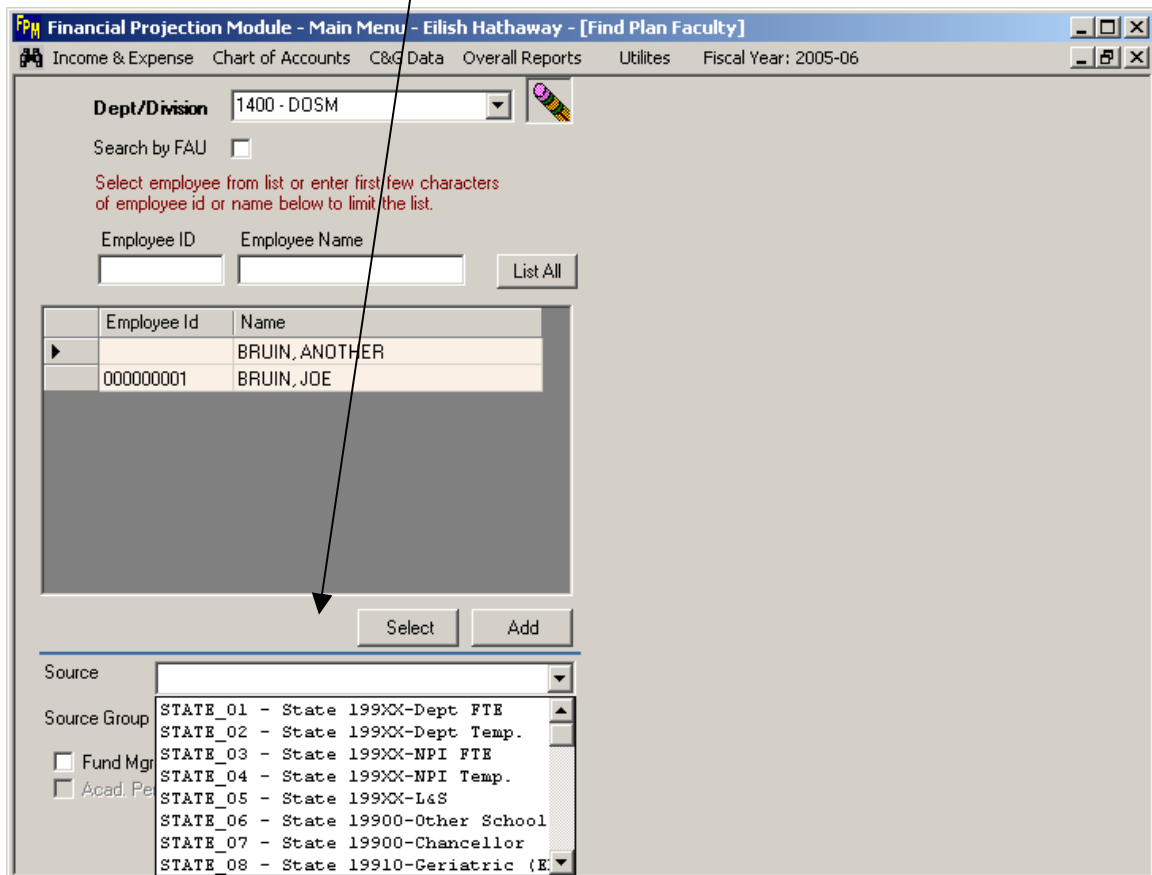
To search for individuals by FAU, check the "Search by FAU" box, enter your criteria, click on "Search", highlight your individual and click on "Select".



After you have selected an individual faculty member you will be taken to the Plan Faculty Screen.

Filtering the Search for Plan Faculty

There is an option in FPM that allows you to filter the list of plan faculty by funding source. For example this would be useful if you wanted to see a list of all faculty in your department receiving 19900 funds. In the lower section of the Find Plan Faculty screen there are two drop down lists called "Source" and "Source Group". It is from these drop down lists that you select the filter you wish.



The Source list is a listing of Faculty Fund Source Codes which are very specific. For a complete listing and explanation of Faculty Fund Source Codes please see the Appendix section starting on page 121. Select from this list for a narrower search.

The Source Group list includes broad categories of funding types (Dean, Direct Pay, Federal C&G etc.) and gives a less restrictive filter than the Source list.

It is suggested that you only select from one of the categories, i.e., either Source OR Source Group. When you have selected a category from either the Source or Source Groups List, click on the Apply button to put the filter in place. Your faculty plan list will only be those paid from the funding source or source group you have selected. If you use both filters and select a Source that does not match the Source Group you will not get any data.

To clear the filter, click on the Eraser **AND** then click the Apply button.

These filters can be used whenever you are in the Find Faculty screen.

Plan Faculty Screen: Overall Features

The following shows the tabs within the Plan Faculty section.

Financial Projection Module - Main Menu - Elish Hathaway

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Plan Faculty

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

Partial Month ☐ Begin LYM: 200507 End LYM: 200606 eg. 200506 12 months Comments:

Direct Pay ☐ Account: 431201 CC: 1A Fund: 61027 Fund End: 12/31/2025 Project:

Source: SNS_01 Salary: 75,000 % of Salary: 0.4098 Monthly Salary: 6,250.00 Estimated Dist %: Pay Component: Automatic Delete Add

	Begin	End	Salary	Monthly	Source	Account	CC	Fund	Project	NIH CAP	Comments
▶ *	200507	200606	75,000	6,250	SNS_01	431201	1A	61027		0	
	200507	200606	34,380	2,865	NIH_01	222222	1B	29423		12	
	200507	200606	6,000	500	SNS_01	111111	1A	68135		0	
	200510	200511	2,000	1,000	PCG_01	444444	1A	79054		12	
	200601	200606	10,000	1,667	DP_03					0	
▶ *	200607	200706	50,000	4,167	DP_03					0	

Reports by Indiv. 2 of 4 Find EDB Upload History PENDING Submit

At the top are the Employee ID, Employee Name, Department Code and Department Name. Just below this are 5 tabs which will be described below. These tabs are Funding, Appointment, Defaults, Retro, and Z/Stipend. Selecting each tab takes you to a different screen.

Funding

The funding tab is the default screen after you select the faculty member to review. The middle of the screen is called the Data Grid. For this screen the data grid provides a summary line for each FAU from which the faculty member is paid. If a line is highlighted the data from that pay line feeds into editable boxes above it. You can edit the fields as needed for any information that has changed. You get two option boxes before you make any corrections. These are Delete (which deletes a line) and Add (which adds a blank line). If you edit a field there are now three option boxes, Save As (which allows you to edit a line and then save it as a new line without deleting the original entry), Cancel (which allows you to cancel the entire edit operation), or Save (which saves the edited data and REPLACES the original line of data).

Funding Screen Editable fields:

The screenshot shows the 'Funding' tab of a software interface. At the top, there are tabs for 'Funding', 'Appointment', 'Defaults', 'Retro', and 'Z / Stipend'. Below these, there are input fields for 'Partial Month' (checkbox), 'Begin LYM' (200507), 'End LYM' (200606), and 'Comments'. A '12 months' label is shown between the LYM fields. Below this, there are fields for 'Direct Pay' (checkbox), 'Account' (431201), 'CC' (1A), 'Fund' (61027), 'Fund End' (12/31/2025), and 'Project'. Further down, there are fields for 'Source' (SNS_01), 'Salary' (75,000), '% of Salary' (0.4098), 'Monthly Salary' (6,250.00), 'Estimated Dist %', and 'Pay Component' (Automatic). There are 'Delete' and 'Add' buttons. At the bottom, there is a data grid with columns: Begin, End, Salary, Monthly, Source, Account, CC, Fund, Project, NIH CAP, Comments, Direct Pay, Partial, Updated By, and Update Date. The grid contains several rows of funding data, with the first row highlighted in blue.

Begin	End	Salary	Monthly	Source	Account	CC	Fund	Project	NIH CAP	Comments	Direct Pay	Partial	Updated By	Update Date
200507	200606	75,000	6,250	SNS_01	431201	1A	61027		0		No	No	Neda Navab	9/14/2005 1:53 PM
200507	200606	34,380	2,865	NIH_01	222222	1B	29423		12		No	No	Ricky Lee	2/16/2005 12:09 PM
200507	200606	6,000	500	CPL_04	111111	1A	62165		0		No	No	Elif Fanuscu	1/11/2005 4:00 PM
200510	200511	2,000	1,000	PCG_01	444444	1A	79054		12		No	No	Elif Fanuscu	2/16/2005 12:07 PM
200601	200606	10,000	1,667	DP_03					0		Yes	No	Elif Fanuscu	2/8/2005 12:43 PM
200607	200706	50,000	4,167	DP_03					0		Yes	No	Elif Fanuscu	7/15/2005 2:13 PM

On the funding screen you can enter information or edit existing information for the following fields. Be aware that these fields apply to each line of funding. As mentioned earlier you can use the "Save As" Feature to facilitate data entry and copy information from one line to another.

Sorting: You can sort on any of the columns in the data grid by clicking on it's heading. For example you can sort by fund number by clicking on the heading for the fund number column.

Partial month: Check this box if the funding period includes a partial month, e.g., if someone started on July 15th rather than July 1.

Begin LYM: Using the syntax YYYYMM (200507=July 2005), enter the starting month for the funding line.

End LYM: Using the syntax YYYYMM (200507=July 2005), enter the ending month for the funding line.

NOTE: During the budget process you enter future year funding data for plan faculty. During this time you enter the upcoming budget year under the current fiscal year tab. Please see page 64 for more information.

Comments: Use this field to enter a comment for the funding line.

Direct Pay: Check this box if the faculty member receives pay from an outside agency such as the VA or the County.

Account, CC, Fund: Use these fields to enter the Account, CC, and Fund for the funding source to be charged for the salary.

Project: You can use this field to enter a project code if desired.

NIH Code: This field appears next to the Project field when an NIH funding line is highlighted. The code for the NIH funding is selected from a drop down list. This code shows what salary cap is in place for the NIH funding (see below). The cap changes based on the time period for the funding.

Source: You can enter the funding source, e.g., NIH funds, Compensation Plan, Dean's support etc from a drop down list. This list matches the funding sources used for the budget process. Please see the Appendix section on Faculty Source Codes starting on page 121 for a complete listing of selections and descriptions.

Faculty Salaries and NIH caps: What you need to know.

Funding for faculty is much more complicated than that for staff. For staff it is a matter of knowing the monthly salary and the dollar amounts from each funding source and the distribution lines are relatively easy to figure out vis a vis the distribution percentages from each source. For Faculty the equivalent of the annual salary is called the TNS, the Total Negotiated Salary. This is the Salary that is negotiated between the faculty member and his department that is subsequently approved by the Dean's Office. When a faculty member has federal funds as one of his funding sources, the regulations that attach to federal funding must be followed. Salary Rates for faculty are "Capped" at certain levels for different years (the cap has gradually increased over the past 15 years). These Caps (usually called the NIH Cap) may be lower than the faculty member's TNS. What this means in practical terms is that the distribution lines for funding from NIH sources and those from Non-NIH sources (usually called "Uncapped lines") will have different monthly salary rates. Below a brief description of each field and how it is calculated. Please go to <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-024.html> for more information on Salary Caps.

Salary and % of Salary: These two fields work in conjunction with each other and with the Begin LYM and end LYM. You can either enter an absolute dollar amount in the Salary field or you can enter a percent (5 % would be entered as .05). In the Salary field you enter the total dollar amount from the particular funding source you are referencing for the time period given in the Begin and End LYM fields. This is an absolute amount and reflects the total dollars used for salary from that source for the time period indicated at the top. Conversely you can enter a percent in the % of salary field and FPM calculates that salary amount based on the TNS without taking the Cap into account.

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

Partial Month ☐ Begin LYM: 200507 End LYM: 200606 eg. 200506 12 months Comments:

Direct Pay ☐ Account: 222222 CC: 1B Fund: 29423 Fund End: 9/14/2005 Project: NIH Code: 12 [171,900-1/1/03 to present]

Source: NIH_01 Salary: 34,380 % of Salary: 0.1879 Monthly Salary: 2,865.00 Estimated Dist %: 0.2000 Pay Component: Automatic

	Begin	End	Salary	Monthly	Source	Account	CC	Fund	Project	NIH CAP	Comments
*	200507	200606	75,000	6,250	SNS_01	431201	1A	61027		0	
*	200507	200606	34,380	2,865	NIH_01	222222	1B	29423		12	
*	200507	200606	6,000	500	SNS_01	111111	1A	68135		0	
*	200510	200511	2,000	1,000	PCG_01	444444	1A	79054		12	
*	200601	200606	10,000	1,667	DP_03					0	
*	200607	200706	50,000	4,167	DP_03					0	

Monthly salary: This is a calculated amount that gives you the total salary entered in the Salary field divided by the number of months that funding applies to. The number of months is calculated by using the Begin LYM and End LYM dates.

Estimated Dist %: This is an estimate of the percentage that will be in the distribution line which takes into account the NIH cap. In the above example, since this is a capped line i.e. the funding source is the NIH, the percent calculated is equal to the total salary amount ("Salary") divided by

the applicable NIH cap, in this case \$171, 900. The other distribution lines will have to be adjusted appropriately because the TNS is greater than the NIH cap (\$183,000 vs. \$171,900). Please see the Appendix on page 127 for listing of current and past NIH cap rates.

The Pay Component is usually set to Automatic from the Drop down list. This allows FPM to automatically determine which component is paid from each funding source following certain set rules. However, it is also possible to force an amount to go specifically into either “Base X-11” month or “Delta Y”. You do this by selecting which option from the drop down list which then specifically allocates the funding to either the X or Y salary component.

For questions related to Faculty salaries and the NIH Cap please contact Ricky Lee in the Dean's office (x 48197 or rlee@mednet.ucla.edu).

Options at the bottom of the menu screen



At the bottom of the screen are a number of useful options. Here is a brief explanation of them. The button “Reports by Indiv” takes you to the menu for Salary Reports for Faculty by Individual. Please see page 66 for information and samples of the nine reports that are available. Next to this is a button called “EDB Upload History”. This button uploads appointments and distributions to EDB. At the bottom left hand corner are what are commonly called CD buttons. They are used for navigating the records of the database. From left to right they, take you to the first record, go back one record, go forward one record and go to the last record. There is also a window indicating the record you are currently on and the total number of records. Next to this is a button with a set of binoculars on it and the text “Find”. When you click on the Find button you are taken to the search screen that allows you to find a faculty member by name or by FAU.

Status Box

At the bottom right hand of the screen is a status box on the faculty funding which provides a method of communication between the Department fund managers and academic personnel staff. When funding is first entered for a faculty member, there is a box that says “PENDING” with a “Submit” button. This box also appears if changes are subsequently made to approved funding. Once the entries are completed for a faculty member, the person doing the entries clicks on the submit button. An email notification automatically goes to the academic personnel staff and there is also an option to send a notifying email to others. When the academic personnel staff reviews the entries, they initially see a “Revert” button. If this is pressed the changes are reversed and the funding for the faculty reverts to the last approved entries. More typically the academic personnel staff, once receiving notification of the funding changes, run the Monthly Distribution Summary sheet (“Ricky’s worksheets”) for the new funding. Once these worksheets are created there are now two buttons, “Approved” and “Revert”. Note: Only users who are designated as “academic personnel” in FPM can see these two buttons. If the worksheets are satisfactory, the academic personnel staff should click on the “Approved” button. Then the funding information can be input directly into EDB or academic personnel can press the “EDB Upload History” button to upload the information from FPM to EDB.

If you have “submitted” a change and subsequently need to make more changes, simply start making the changes to the existing entry. The status of the individual (noted at the bottom of the screen) will automatically change back to “pending”. Once additional changes are complete, push “submit” again.

Note: Who gets email notifications?

If a Fund Manager makes changes and “submits”, all Academic Personnel get an email. If an Academic Personnel person makes changes and submits, the other Academic Personnel for that department (if applicable) get an email. If the person submitting a change is the only Academic

Personnel person for that department, nobody else gets an automatic email. Also the program keeps track of anybody who makes changes to the faculty. When the action gets approved or reverted by the Academic Personnel, all individuals who did something along the way on that faculty's record gets notified. In addition, all other Academic Personnel would get cc'd on the message.

Note that if there have been changes to a funding line, there is a red asterisk (*) next to the line. There is a status indicator section in the lower right. Here it will say either "Pending" or "Approved". This status indicator is primarily for academic personnel within a department.

Appointment Tab:

	Begin Date	End Date	Dept	APU	Scale	Title	Step	X	AddK1	AddK2	Y	TNS	State FTE	NPI FTE	Off Scale	% Time	Aff. FTE	Updated By	Update
▶	07/01/2005	06/30/2006	1400	2301BS	3	1739	3	79,700	16,200	0	87,100	183,000	Yes	No	No	1.0000	0.0000	Elm Fanuscu	1/24/2

When you click on the Appointment tab from the main faculty review screen you are taken to the above screen. In the Data Grid is a line that gives appointment information for the faculty member. When you click on the line you wish to review the data feeds into fields above it. Similar to the Funding screen, those that are white are editable. There will usually be only one appointment line for a faculty member but in certain cases, such as a joint appointment there will be two or more.

For information on new Faculty Appointments please see page 65.

While the Appointment Tab is more useful to Academic Personnel staff, here is an explanation of the fields.

Begin Date: This is the start date of the current appointment typically this will be July 1 of the current fiscal year.

End Date: This is the end date of the current appointment typically this will be June 30 of the current fiscal year.

Off Scale: If a faculty member is paid using a non-typical scale, this box is checked.

Special Note: Scale and Additional Base, how do they relate and what do they mean?
A faculty member's TNS is composed of different components which add up to the total amount of salary. These components are called the Base (X), Additional Base up to .30 (30%), Additional Base greater than .3 and the Delta (Y) which is the salary amount needed to total to the TNS. For example, if a faculty member's TNS is \$200, 000, the base is \$70, 000, the 30% Additional Base is \$21,000 (70K x .3). This faculty member is on Scale 5 so the Additional Base >.3 is \$14,000 (20% of the base). The Delta Y is the remaining amount to reach the TNS, in this case 200,00-70,000-21,000-14,000=95,000. In order to determine the Additional Base that is greater than .3 (30%) it is necessary to know the scale that the faculty member is paid from.

Scales: There are 10 scales, 0-9 with each increment up to scale 5 representing 10% of the Base. For the increments for scale 6-9 and other information on scales please visit the Dean's Office Academic Personnel website at <http://www.deans.medsch.ucla.edu/academic/>. These scales are used to determine the salary used for retirement calculations. For example someone on scale 3 has 30% of his Base added to the Base to determine retirement. If someone is on scale 5, then 50% of his Base is added to the Base for retirement calculations. The system needs to know how much is 30% of the Base and also what is the additional amount that gets added to the base, in the case of scale five its 20% (50% for scale 5 – 30%). The scale that a faculty member is on is determined by the Academic Programmatic Unit (APU). Please see definition of an APU in the Glossary starting on page 116. In most instances fund managers do not need to be aware of this breakdown but the information on the fields is provided below.

Base X: Component of the TNS that is from the standard campus scale based on the step (Assistant Professor, Associate Professor, and Professor) and the Step (1, 2, 3 etc)

Department: Home Department of the Faculty Member

State FTE: If a faculty member is from the Regular academic series they receive state funding (19900) equivalent to the base amount. If the faculty member is receiving this type of funding this box is checked.

Addl Base <= .3: Component of the TNS that is equal to 30% of the base.

Title Code: Equivalent to the use of Staff Title Codes, refers to the series, rank and grade for a faculty member. You can make the appropriate selection from the drop down list.

Step: You can select the correct Step from the drop down list.

NPI FTE: This box is checked if a faculty member has an FTE through NPI.

Addl Base >= .3: This amount is determined by the scale for the faculty member. See above for more information. This amount equals the additional base that is used to calculate retirement salary. For someone on Scale 5, the Additional Base > .3 would be 20% of the base. For example if the TNS is \$200,000, the base is \$70,000, the Additional Base <= .3 is \$21,000 and the Additional Base>=.3 is \$14,000.

APU: An Academic Programmatic Unit (APU) is defined as a group of faculty with similar clinical and/or teaching and research responsibilities. All plan members in an APU are on the same scale. Recommendations are made annually by the Chair to the Dean as part of the budget/annual negotiation process. The APU is a six-digit code made up of the 4-digit department accounting hierarchy code and the two-digit (alpha or numeric) code determined by the department. For example for a member of the Medical Compensation Plan in the Department of Medicine, the code is 1565AA, the 1565 is the Department code and the AA is the Salary scale of 5.

Scale: See above for more information, the salary scale for the faculty member is entered in this box.

Delta Y: The Delta Y is back calculated from the TNS. It's equal to the TNS minus all the bases (Base X, Addl Base <.3 and Addl Base > .3). In the example above Delta Y = 200,000-70,000-21,000-14,000, which is \$95,000.

Time %: Percentage time for the appointment in decimal form. Full time (100%) is 1.0000.

TNS: The TNS is the Total Negotiated Salary for the Faculty member. It's equal to all the Bases plus the Delta Y.

Affiliated FTE: Indicates that the FTE is through a UCLA Affiliate.

When you first highlight the Appointment information for a faculty member there will be two buttons at the right hand side just above the Data Grid. These buttons are Delete and Add. When you make a change to any editable field (you cannot change the data in the other fields), these buttons switch to Save As, Cancel, and Save.

For an explanation of the option buttons at the bottom of the screen, please see page 53.

Defaults Tab:

Under the Defaults Tab there are six sub tabs. These are CPL FAU's, 19900 FAU's, Salary Savings, Benefit Rate, By Agreement and Other. Following is a brief explanation of each.

Defaults: "CPL FAU's" Sub Tab

Financial Projection Module - Main Menu - Elifish Hathaway - [Plan Faculty]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

CPL FAU's 19900 FAU's Salary Savings Benefit Rate By Agreement Other

Begin Date End Date Source Account CC Fund Project Percent Permanent Line

07/01/2005 06/30/2006 CPL_01 111111 AC 62135 1.0000

Delete Add

	Begin Date	End Date	Source	Account	CC	Fund	Project	Percent	Permanent Line	Updated By	Update Date
▶	07/01/2005	06/30/2006	CPL_01	111111	AC	62135		1.0000	Yes	Elif Fanuscu	12/7/2004 9:18 AM
	07/01/2006	12/31/9999	CPL_01	111111	AC	62135		1.0000	Yes	Elif Fanuscu	12/7/2004 9:18 AM

Reports by Indiv. EDB Upload History

2 of 2 Find PENDING Submit

The CPL FAUs screen allows the user to enter the default compensation plan FAUs for the Department. This FAU serves an important function. All funding sources and amounts are entered into FPM for each faculty member. FPM then automatically creates the Monthly Distribution Summary (Ricky's worksheets) and distributes the funding into the various components of the faculty member's salary. If there is insufficient funding to cover the TNS for a faculty member, the shortfall is automatically calculated and the amount is supplied from the funding source designated in the Default CPL's FAU screen. If you don't wish to fund the shortfall from the CPL fund, you can use this information as a flag that indicates the shortfall to you and you can subsequently enter another source on the "Funding" tab.

If there is a set amount of compensation plan funding that is committed to the plan faculty member and you do not wish this amount to fluctuate based on the contract and grant funding, you should enter the committed compensation plan amount on the funding tab.

Likewise if you wish to ensure the 15% teaching cost center component, enter it on the Funding tab.

The formula in FPM first looks at the funding lines, then adds 19900 FTE information to it. After this if there is a shortfall in the TNS, the amount is provided by the compensation plan as designated on the Default tab. The amount gets split among the cost centers based on the cost centers and percentages designated in the Defaults tab.

Permanent Line Option Box: Every faculty member has a funding source designated to pay the salary if for some reason the temporary funding lines are not in effect ("fall off"). Both the Default CPL FAUs and 19900 FAUs screen have an option box called "Permanent Line" that can be checked. This designates the funding source for the permanent lines. If the plan faculty member has an FTE with 19900 funding, this funding source is used for the base (see below) and the Default CPL FAU is used for the remainder of the funding. For non-19900 paid faculty the CPL FAU pays all the permanent or record purpose lines. As for other screens, highlighting a line in the Data Grid feeds the information into field boxes. The following fields are on this screen

Begin Date: Begin Date of Appointment

End Date: End Date of Appointment

Source: Select comp plan source from the drop down list

Account: Enter FAU account

CC: Enter FAU Cost center

Fund: Enter FAU fund

Project: Enter an optional project code

Percent: Enter percent time as a decimal, 1.0000 equals 100%

Permanent Line: Check this box to select this funding source for the faculty member's permanent funding line (see above).

As for other screens, the option buttons are available ("Delete", "Add" etc) once a line is added or selected for editing.

For an explanation of the option buttons at the bottom of the screen, please see page 53.

Default: "19900 FAU's" Sub Tab

Financial Projection Module - Main Menu - Elish Hathaway - [Plan Faculty]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

CPL FAU's 19900 FAU's Salary Savings Benefit Rate By Agreement Other

Begin Date End Date Source Account CC Fund Project Percent Permanent Line

07/01/2005 06/30/2006 STATE_01 000000 CC 19900 1.0000

Delete Add

Begin Date	End Date	Source	Account	CC	Fund	Project	Percent	Permanent Line	Updated By	Update Date
07/01/2005	06/30/2006	STATE_01	000000	CC	19900		1.0000	Yes	System Admin	12/7/2004 7:53 AM
07/01/2006	12/31/9999	STATE_	000000	CC	19900		1.0000	Yes	System Admin	12/7/2004 7:53 AM

Reports by Indiv. EDB Upload History

2 of 2 Find PENDING Submit

The 19900 FAUs screen allows the user to enter the default 19900 (State Funds) for the Department. These FAUs are then automatically used for the Base X funding lines on the Monthly Distribution Summary worksheets and in EDB for those faculty in the Regular series. As noted above there is an option box called “Permanent Line”. If this box is checked the FAU is used to pay the base 19900 pay for plan faculty members with an FTE (see above)

As for other screens, highlighting a line in the Data Grid feeds the information into field boxes. The following fields are on this screen

Begin Date: Begin Date of Appointment

End Date: End Date of Appointment

Source: Select the 19900 fund source from the drop down list.

Account: Enter FAU account

CC: Enter FAU Cost center

Fund: Enter 19900-type fund

Project: Enter an optional project code

Percent: Enter percent time as a decimal, 1.0000 equals 100%

Permanent Line: Check this box to select this funding source for the faculty member’s permanent funding line.

As for other screens, the option buttons are available (“Delete”, “Add” etc) once a line is added or selected for editing.

For an explanation of the option buttons at the bottom of the screen, please see page 53

Defaults: “Salary Savings” Sub Tab

Financial Projection Module - Main Menu - Eilish Hathaway - [Plan Faculty]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DQSM

Funding Appointment Defaults Retro Z / Stipend

CPL FAU's 19900 FAU's Salary Savings Benefit Rate By Agreement Other

Begin Date End Date Salary Savings

07/01/2005 06/30/2006 ☒

Delete Add

Begin Date	End Date	Salary Savings	Updated By	Update Date
07/01/2005	06/30/2006	Yes	System Admin	9/8/2004 3:58 PM
07/01/2006	12/31/9999	Yes	System Admin	9/8/2004 3:58 PM

Reports by Individ. EDB Upload History

2 of 2 Find PENDING Submit

A faculty member in the Regular series receives their Base X salary from State funding (“19900”). This also includes benefits. If the faculty member has other sources, such as grant funding or gift money available that exceed the amount of their additional base and delta, it can be used to pay part of their base salary. This then frees up the 19900 funds. This is known as “salary savings”.

By default the Salary Savings tab under Defaults is checked which means that a faculty member is allowed to generate and use salary savings. If for some reason a Department does not wish an individual to generate salary savings then you should uncheck this box. When it is unchecked, if too much funding is entered an error message appears.

The report called “Monthly (Salary)” available on the Reports By Individual Menu shows salary savings if it occurs. Please see a sample of this report on page 66.

For an explanation of the option buttons at the bottom of the screen, please see page 53.

Defaults: “Benefit Rate” Sub Tab

Financial Projection Module - Main Menu - Elish Hathaway - [Plan Faculty]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

CPL FAU's 19900 FAU's Salary Savings **Benefit Rate** By Agreement Other

Latest 12 Month Average Benefit Rate (Including Vacation): 0.0000 Last Updated: 10/13/2005 12:01:45 PM

Latest 12 Month Average Benefit Rate (Excluding Vacation): 0.0000

Last Month's Actual Benefit Rate (Excluding Vacation): 0.0000

Benefit Rate to be used to calculate future monthly costs: 0.0830

Latest 12 Month Average Stipend Benefit Rate: 0.0000

Last Month's Actual Stipend Benefit Rate: 0.0000

Stipend Benefit Rate to be used to calculate future monthly costs: 0.0400

Reports by Individ. EDB Upload History

2 of 2 Find PENDING Submit

The Benefit Rate subtab allows you to set the benefit rate for both salary and stipend to be used for future projections for a faculty member. You can set the rate at whatever you wish. However the system provides three calculated rates as guidelines. The three rates are:

Latest 12-Month Average Benefit Rate (Including Vacation)
Latest 12-Month Average Benefit Rate (Excluding Vacation)
Last Month's Actual Benefit Rate (Excluding Vacation)

You can elect to use one of these rates or a different one. Enter the rate to use in the white box for salary and (optionally) for stipend. Click on “Save”. Note: initially, you don't see the “Save” button. It will appear as soon as you start making a change to the white box.

For an explanation of the option buttons at the bottom of the screen, please see page 53.

For information on adjusting Benefit Rates for Staff and Non-Plan Faculty please go to page 76.

Defaults: “By Agreement” Sub Tab

This subtab provides information on salary paid by UCLA that is less than 50% of their salary and their appointment is usually elsewhere such as the VA.

Begin Date	End Date	By Agreement	VA Rated	Updated By	Update Date
07/01/2005	06/30/2006	Yes	No	Eilish Hathawa	12/22/2005 10:42 A
07/01/2006	12/31/9999	No	No	Eilish Hathawa	11/28/2005 11:36 A

The fields available are

Begin Date: Start Date of their appointment

End Date: End Date of their appointment

By Agreement: This is a Yes/No check box. If it is checked it means that the salary paid by UCLA is “By Agreement” at a set amount and this is less than 50% of their base (X+Addl X) pay so that UCLA is not paying benefits on this salary.

VA Rated: This is a Yes/No check box. It works in conjunction with the By Agreement check box and signifies that even though UCLA is paying less than 50%, time reporting is required because the funding source is a grant or other similar source that requires time keeping. An example would be a faculty member in the Department of Medicine at UCLA whose appointment is at the VA but is partially funded by a UCLA grant.

Note: The By Agreement check box and the VA Rated checkbox CANNOT both be selected. You must select one or the other. If you try to check both boxes you will get an error message.

The Option buttons work as for other editable screens.

For an explanation of the option buttons at the bottom of the screen, please see page 53

Defaults: “Other” Sub Tab

Currently the only option under the Defaults: Other subtab is an option box called “Match Approved Worksheets”. This box by default is checked. In order to understand this option you need to be familiar with how the Monthly Distribution Summary (Ricky’s) worksheets are derived from the funding data that is entered into FPM. MDS worksheets have formulas that put the entered funding into the correct salary “compartments” (base, additional base <=.3, etc.) and also by default uses a specific order for the funding. This order puts 19900 in the base and then uses the funds in increasing fund number. This option box comes into play when there are funding changes. When this box is checked FPM attempts to keep the funding in the same order as on the original worksheets. Sometimes when there are funding changes and this box is checked it can cause FPM to create two funding lines with the same FAU. Ideally these lines should be consolidated into one line in order to reduce clerical and EDB errors. The two ways to avoid this

problem are to 1) uncheck the box or 2) you can solve the problem by changing the account number for the multiple lines with the same FAU. The worksheet formula sees this as a new account so it will not try to match the earlier worksheet and will consolidate the lines. The changed record needs to be "Approved". Once approved with the consolidated line but the wrong account, you need to go back and correct the account on the consolidated line.

Financial Projection Module - Main Menu - Eilish Hathaway

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2004-05

Plan Faculty

Employee ID: 123456789 Name: ZAMBONI, ZAVIER Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

CPL FAU's 19900 FAU's Salary Savings Benefit Rate By Agreement Other

☒ Match Approved Worksheets

For an explanation of the option buttons at the bottom of the screen (not shown in above illustration), please see page 53.

Retro Tab

The Retro tab is used to enter retroactive salary corrections for faculty. For information on entering retroactive adjustments for staff and non-plan faculty please see page 77. The data entry screen is very similar to the Funding tab screen.

Financial Projection Module - Main Menu - Eilish Hathaway - [Plan Faculty]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

Begin Date End Date Comments
7/1/2004 7/31/2004 e.g. 6/30/05 Same as the first one

Account CC Fund Fund End Project End Project
431268 1C 78391 5/31/2004 5/31/2005

Dist % Monthly Rate Monthly Salary Monthly Benefit
0.2000 5,321.00 1,064.20 200.00

Delete Add

	Dept	Begin Date	End Date	Account	CC	Fund	Project	Sub	Comments	Monthly \$	Dist %	Monthly Ben. \$	Updated By	Update Date
▶	1400	07/01/2004	07/31/2004	431268	1C	78391		00	Same as t	1,064.20	0.2000	200.00	Elif Fanuscu	10/20/2004 3:06 PM
	1400	07/01/2004	07/31/2004	431268	1C	78391		00	Same as t	1,000.00	0.2000	150.00	Elif Fanuscu	10/20/2004 3:06 PM
		07/01/2004	07/31/2004	123456	1C	78391		00	Same as t	1,000.00	0.2000	150.00	Elif Fanuscu	11/8/2004 12:59 PM

Reports by Individ. EDB Upload History

2 of 2 Find PENDING Submit

The following are the fields on the Retro tab screen.

Begin Date: Using the syntax MM/DD/YY (07/01/05), enter the starting month for the retro line. Note this syntax is different than the funding screen.

End Date: Using the syntax MM/DD/YY (09/01/05), enter the ending month for the retro line. Note this syntax is different than the funding screen.

Comments: Use this field to enter a comment for the retro line.

Account, CC, Fund: Use these fields to enter the Account, CC, and Fund for the funding source to be charged for the salary.

Fund End: (Non editable field) Current budget year end date.

Project End: (Non editable field) Project end date.

Project: You can use this field to enter a project code if desired.

You can get the following information from QDB.

Dist. %: This is the percentage from the distribution line.

Monthly Rate: This is the monthly rate from the distribution line.

Monthly Salary: (Non editable field). This is the calculated (from Dist % and Monthly Rate)

Monthly Benefit: Please enter the benefit amount for each month into this field. You can get this information from QDB. If you are correcting multiple months and the benefit amount varies, calculate the average monthly amount for the time period and enter this amount into this field.

It saves time to enter both the credit and debit funding retroactive distributions at the same time. In other words, enter the charge to the new funding source and the credit to the old funding source together. To do this enter the new funding line, then click on "Add". To save keystrokes in entering the credit to the old source, select the new line just entered. Edit the FAU to be the old funding source and change the sign of the monthly rate and the monthly benefit amounts (from positive to negative). Click on "Save As". This way, you create the new credit distribution. If you click on "Save", you replace the original distribution.

Note: At first, when you click on a distribution, you see the buttons "Add" and "Delete". As soon as you make a change to a field, you get the following buttons: "Save", "Cancel" and "Save As".

For questions related to Faculty salaries please contact Ricky Lee in the Dean's office (x 48197 or rlee@mednet.ucla.edu).

For an explanation of the option buttons at the bottom of the screen, please see page 53.

Z / Stipends Tab

The Z/Stipend Tab is used to enter Z payments or Stipends to faculty. These payments are salary-type funds that are in addition to regular salary. Z Payments are typically incentive or bonus payments to a faculty member. Stipends are payments for administrative services not covered by salary such as Chair stipends.

Financial Projection Module - Main Menu - Eilish Hathaway - [Plan Faculty]

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM

Funding Appointment Defaults Retro Z / Stipend

Begin Date End Date Comments
7/1/2004 6/1/2005 e.g. 6/30/05 test

Account CC Fund Fund End Project End Project Sub
431201 1C 62200 12/31/2025 02

DOS Type Monthly Rate
STP 3,000.00

HVN
STP
ZDN

	Begin Date	End Date	Account	CC	Fund	Project	Sub	Comments	Updated By	Update Date
▶ 1400	07/01/2004	06/01/2005	431201	1C	62200		02	test	mdnn0	2/18/2005 1:10 PM

Reports by Individ. EDB Upload History

2 of 2 Find PENDING Submit

The following are the fields on the Z/ Stipends tab screen.

Begin Date: Using the syntax MM/DD/YY (07/01/05), enter the starting month for the retro line. Note this syntax is different than the funding screen.

End Date: Using the syntax MM/DD/YY (09/01/05), enter the ending month for the retro line. Note this syntax is different than the funding screen.

Z payments are generally one-time only payments but they can also be made on a monthly or quarterly basis. You should enter one line for each payment with the start and end date to reflect the ledger month the payment will hit. The DOS code for Z payments is ZDN, this code should not be used for any other type of payment. For a complete listing of DOS codes for plan faculty

Comments: Use this field to enter a comment for the Z/Stipend line.

Account, CC, Fund: Use these fields to enter the Account, CC, and Fund for the funding source to be charged for the Z payment or stipend.

Fund End: (Non editable field) Current budget year end date.

Project End: (Non editable field) Project end date.

Project: You can use this field to enter a project code if desired.

Sub: You can select either sub 00 or sub 02 from the drop down list. Z payments are typically paid from sub 02

DOS Type: Enter the DOS type from the drop down list. Use the code STP for a stipend and ZDN for a Z payment.

Monthly Rate: Enter the amount to be paid for the stipend or Z payment. If it's a quarterly payment, enter the begin and end dates for the month it will hit and enter the total amount for the quarterly payment.

The usual Add, Delete, Save, Save As, and Cancel buttons appear as you edit the fields.

For an explanation of the option buttons at the bottom of the screen, please see page 53

Budget Tab

During the budget process which typically runs from mid-January to mid-June an additional tab, called "Budget" appears on the Plan Faculty screen to the right of the "Z / Stipend" tab. Full details on this tab and the information that is entered for the budget process is covered in the Section V starting on page 101. Only those users who enter budget information will need to be familiar with this tab information.

	Begin Date	End Date	Dept	APU	Scale	Title	Step	X	AddK1	AddK2	Y	T
▶	07/01/2005	06/30/2006	1400	2301BS	3	1739	3	79,700	16,200	0	87,100	1

Entering Future Projections for Faculty Salaries: Crossing Fiscal Years

Academic appointments are typically based on the fiscal year, that is they are from July 1 through June 30 and must be renewed every year. In EDB, because the appointments end on June 30th, the distribution (funding) lines must also end no later than June 30 of the current fiscal year. (They can of course end earlier than June 30th). On the other hand, grants and other funding sources can have other funding periods such as January 1 through December 31 and are usually set up for multiple years.

To solve this anomaly, create **TWO** lines in FPM for the funding, one that ends on June 30th and the other that starts on July 1 of the next fiscal year and runs through the end of the current budget period for the grant.

Look at the following example:

You are setting up funding for Joe Bruin who is a faculty member (Regular Series) in the Department of Medicine for the Jul-06 to Jun 07 fiscal year. His appointment will be from July 1, 2006 to June 30, 2007 and his distributions in EDB must end June 30, 2007. He receives 19900 funding for his base with his additional base and delta funding coming from contracts, grants and clinical revenue. One of the grants (fund 22222) has a current budget period of January 1, 2006 through December 31, 2006 and an additional budget year of January 1, 2007- December 31, 2007. FPM would have the following funding lines for his 19900 and grant 22222 funding.

Fund 19900 Start Date July 1, 2006, end date June 30, 2007

Fund 22222 Start Date January 1, 2007-June 30, 2007

Fund 22222 Start Date July 1, 2007 – December 31, 2007

It doesn't matter whether you use YTD or ITD reporting, within EDB the distributions have to end on June 30th so its necessary to create two lines for funding sources that span fiscal years.

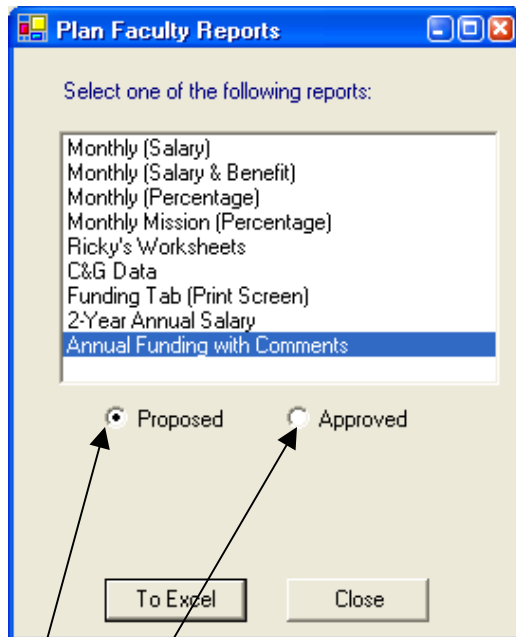
If a Plan faculty is in the "Approved" Mode for his current funding, if you enter C&G funding information for upcoming fiscal years it does NOT change the status of the current funding, i.e. it doesn't put the faculty funding into "Pending" mode.

New Faculty: Entering a new or prospective faculty member into FPM

When a new faculty member is recruited and they accept an offer of appointment at UCLA, the home department submits a dossier or a letter for visiting appointments. Once this dossier and the appointment is approved by the Dean, the Dean's Office academic personnel group enters the relevant information into the Academic Data Base (ADB). The information that is ADB feeds into FPM. This "feed" happens automatically on a nightly basis. When the new faculty member is entered into EDB by the Department and then gets assigned an employee ID, the Dean's Office academic personnel person goes back and adds this information to ADB, which in turn is fed into FPM. Complete information, including funding, is then added to FPM by the Department as it becomes known.

Alternatively users in a Department can add a TBN faculty member with or without a name into FPM similarly to how new staff members can be added. Information including title code, dos code and funding can be entered into FPM and Ricky's Work Sheet can be generated if needed. If a prospective faculty member is put into FPM with a name by the Department care should be taken that duplicate entries are not created due to the synchronization between ADB and FPM.

Plan Faculty Reports (By Individual)



Proposed designates that this is the proposed funding entered into FPM by fund managers. Approved means that the funding has been approved by the department academic personnel. The concepts of "Proposed" and "Approved" do not apply to the Budget Year".

Saving Faculty Reports

Please note that whenever you run a Plan Faculty Report by Individual, the report uses the current information in FPM. So for example you could not run all the different versions of Ricky's worksheets that have occurred throughout the fiscal year. You can only run the latest approved and proposed versions. For documentation you should keep departmental copies of prior worksheets. You can also save electronic versions but note that you cannot work with the formulas in the Excel version of Ricky's worksheet and you should always make future changes in FPM rather than in existing worksheets.

Monthly (Salary)

FUNDING BY MONTHS (PROPOSED)
ZAMBONI, ZAVIER
1/19/2006
8:25:05 AM

Annual TNS	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000
FAU	200507	200508	200509	200510	200511	200512	200601	200602	200603	200604	200605	200606	Total
441315-ZZ-68888-DEAN_X)	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	25,000
441311-1A-62XXX-CPL_01	2,042	2,042	2,042	2,042	2,042	2,042	2,042	2,042	2,042	2,042	2,042	2,042	24,500
441315-ZZ-41618-GFT_01	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	12,000
441315-ZZ-29484-NIH_01	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	2,083	25,000
441315-ZZ-29483-NIH_01	2,917	2,917	2,917	2,917	2,917	2,917	2,917	2,917	2,917	2,917	2,917	2,917	35,000
441315-ZZ-19900-STATE_0	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	78,500
Monthly Total	16,667	16,667	16,667	16,667	16,667	16,667	16,667	16,667	16,667	16,667	16,667	16,667	200,000
Salary Savings	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	6,542	78,500

Note that this report shows salary savings where appropriate.

Monthly (Salary & Benefits)

FUNDING BY MONTHS (PROPOSED)

BRUIN, JOE

1/18/2006

2:58:02 PM

Average Benefit Rate	20.00%				
Annual TNS	225,000	225,000	225,000	225,000	225,000

FAU	200507			200508			200605			200606			Total Salary	Total Benefit	Total
	Salary	Benefit	Total	Salary	Benefit	Total	Salary	Benefit	Total	Salary	Benefit	Total			
444444-1A-79054	5,750	1,150	6,900	5,750	1,150	6,900	5,750	1,150	6,900	5,750	1,150	6,900	72,050	14,410	86,460
111111-1A-62XXX	500	100	600	500	100	600	500	100	600	500	100	600	6,000	1,200	7,200
111111-AC-62XXY	2,993	599	3,592	2,993	599	3,592	1,327	265	1,592	1,327	265	1,592	22,870	4,574	27,444
222222-1B-29423	2,865	573	3,438	2,865	573	3,438	2,865	573	3,438	2,865	573	3,438	34,380	6,876	41,256
000000-CC-19900	6,642	0	6,642	6,642	0	6,642	6,642	0	6,642	6,642	0	6,642	79,700	0	79,700
Direct Pay							1,667	333	2,000	1,667	333	2,000	10,000	2,000	12,000
Monthly Total	18,750	2,422	21,172	18,750	2,422	21,172	18,750	2,422	21,172	18,750	2,422	21,172	225,000	29,060	254,060

Note: Some months have been hidden in order to show totals.

Monthly (Percentage)

FUNDING IN PERCENTAGES BY MONTHS (PROPOSED)

BRUIN, JOE

1/18/2006

3:00:49 PM

Annual TNS	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000
------------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------

FAU	200507	200508	200509	200510	200511	200512	200601	200602	200603	200604	200605	200606	Average
444444-1A-79054	0.4013	0.4013	0.4013	0.5077	0.5077	0.4013	0.4013	0.4013	0.4013	0.4013	0.4013	0.4013	0.4190
111111-1A-62XXX	0.0200	0.0200	0.0200	0.0173	0.0173	0.0200	0.0235	0.0235	0.0235	0.0235	0.0235	0.0235	0.0213
111111-AC-62XXY	0.1177	0.1177	0.1177	0.0498	0.0498	0.1177	0.0626	0.0626	0.0626	0.0626	0.0626	0.0626	0.0788
222222-1B-29423	0.1998	0.1998	0.1998	0.1998	0.1998	0.1998	0.1999	0.1999	0.1999	0.1999	0.1999	0.1999	0.1999
000000-CC-19900	0.2612	0.2612	0.2612	0.2254	0.2254	0.2612	0.3126	0.3126	0.3126	0.3126	0.3126	0.3126	0.2809
Direct Pay													0.0000
Monthly Total	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	0.9999	0.9999	0.9999	0.9999	0.9999	0.9999	1.0000

Monthly Mission (Percentage)

FUNDING IN PERCENTAGES BY MONTHS & MISSION (PROPOSED)

BRUIN, JOE

1/18/2006

3:03:55 PM


Annual TNS	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000	225,000
------------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------

Mission	FAU	200507	200508	200509	200510	200511	200512	200601	200602	200603	200604	200605	200606	Average
Education	000000-CC-19900	0.2612	0.2612	0.2612	0.2254	0.2254	0.2612	0.3126	0.3126	0.3126	0.3126	0.3126	0.3126	0.2809
Education Total		0.2612	0.2612	0.2612	0.2254	0.2254	0.2612	0.3126	0.3126	0.3126	0.3126	0.3126	0.3126	0.2809
Clinical	111111-AC-62XXX	0.1177	0.1177	0.1177	0.0498	0.0498	0.1177	0.0626	0.0626	0.0626	0.0626	0.0626	0.0626	0.0788
	111111-1A-62XXY	0.0200	0.0200	0.0200	0.0173	0.0173	0.0200	0.0235	0.0235	0.0235	0.0235	0.0235	0.0235	0.0213
	444444-1A-79054	0.4013	0.4013	0.4013	0.5077	0.5077	0.4013	0.4013	0.4013	0.4013	0.4013	0.4013	0.4013	0.4190
Clinical Total		0.5390	0.5390	0.5390	0.5748	0.5748	0.5390	0.4874	0.4874	0.4874	0.4874	0.4874	0.4874	0.5192
Externally Funded R	222222-1B-29423	0.1998	0.1998	0.1998	0.1998	0.1998	0.1998	0.1999	0.1999	0.1999	0.1999	0.1999	0.1999	0.1999
Externally Funded Research Total		0.1998	0.1998	0.1998	0.1998	0.1998	0.1998	0.1999	0.1999	0.1999	0.1999	0.1999	0.1999	0.1999
Grand Total		1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	0.9999	0.9999	0.9999	0.9999	0.9999	0.9999	1.0000

Ricky's Worksheets
School of Medicine

Monthly Distribution Summary (Proposed)

Date: 1/18/2006

Name: ZAMBONI, ZAVIER Emp #: 123456789 Step: Offscale: Titlecode: 1721 1 N TNS: \$200,000 APU: 1400AA Period: 7/1/2005 ----- 6/30/2006		Dept: 1400 - DOSM Series: REG Rank: Prof Plan: MCP Scale: 5 %Time: 1.00 Discl Amt: 0 Ann Rate: 200,000 State FTE: Y NPI FTE: N O/S Cov'd Comp: 0								
Covered Base: 78,500 Monthly 6,541.67 BRS Comp: Addl Base Up to .3: 23,600 1,966.66 BBS \$117,800 Addl Base > .3: 15,700 1,308.34 HSS Other Delta: 82,200 6,850.00 NRS TNS: 200,000 16,666.67										
Base Funding Acct #: 441312 1A 19900 6,541.67 BRS										
Dist #	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$	FTE	VAC
	4413XX 1A 19900	I	78,500	0	0	0.3650	17,922.03	6,541.54 TRT	1.00	
			78,500			0.3650		6,541.54	1.00	
Addl Base to .3 Funding Acct #: 441311 1A 62135 1,966.66 BBS										
Dist #	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$		
	441311 1A 62XXX	I	23,600	0	0	0.1097	17,922.03	1,966.05 TXT		
			23,600			0.1097		1,966.05		
Addl Base > .3 Funding Acct #: 441311 1A 62135 1,308.34 HSS										
Dist #	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$		
	441311 1A 62XXX	I	900	0	0	0.0041	17,922.03	73.48 HSD		
	441315 ZZ 68888		14,800	0	18	0.0689	17,922.03	1,234.83 HSD		
			15,700			0.0730		1,308.31		
Other Delta Funding Acct #: 441311 1A 62135 6,850.00 NRS										
Dist #	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$		
	441315 ZZ 29483		35,000	12	0	0.2036	14,325.00	2,916.57 TRN		
	441315 ZZ 29484		25,000	12	0	0.1454	14,325.00	2,082.86 TRN		
	441315 ZZ 41618		12,000	0	23	0.0559	17,922.03	1,001.84 TRN		
	441315 ZZ 68888		10,200	0	0	0.0474	17,922.03	849.50 TRN		
			82,200			0.4523		6,850.77		
TOTAL:			200,000			1.0000		16,666.67		0.00

C&G Data

C&G DATA FOR BRUIN, JOE

1/18/2006
3:24:48 PM

Fund	Dept Code	Dept Title	Project Title	Agency	Agency Awar	Begin Date	End Date	Role	Source Doc.	Effort	Amount	Cost Sharing	Comments
300XX	1445 L	BIOLOGICAL CHEM	CHROMOSOMAL REPRESSION OF N OF YEAST GENERAL HETEROCH ROMATIN	NIH/NATION AL INSTITUTE	5 R01 GM042421	7/1/2004	6/30/2005	PI	Agency Approved Letters	30%		0	No

Funding Tab (Print Screen)

FUNDING LINES FOR ZAMBONI, ZAVIER

FISCAL YEAR 2005-06

12/15/2005
11:38:59 AM

begin_ym	end_ym	begin_date	end_date	account	cc	fund	project	direct_pay	total_salary	% salary	NIH_code	comments
200507	200606	7/1/2005	6/30/2006	441315	ZZ	29483		N	35000	0.175	12	
200507	200606	7/1/2005	6/30/2006	441315	ZZ	29484		N	25000	0.125	12	
200507	200606	7/1/2005	6/30/2006	441315	ZZ	68888		N	25000	0.125	0	
200507	200606	7/1/2005	6/30/2006	441315	ZZ	41618		N	12000	0.06	0	
200607	200706	7/1/2006	6/30/2007	441315	ZZ	29483		N	32000	0.1488	12	
200607	200706	7/1/2006	6/30/2007	441315	ZZ	29484		N	28000	0.1302	12	
200607	200706	7/1/2006	6/30/2007	441315	ZZ	68888		N	28000	0.1302	0	
200607	200706	7/1/2006	6/30/2007	441315	ZZ	41618		N	15000	0.0698	0	

2-Year Annual Salary

Annual Salary (PROPOSED)

ZAMBONI, ZAVIER

1/18/2006
3:28:40 PM

Annual TNS 190,000 200,000

Account	CC	Fund	Project	Source	Fund Group	FY 2004-05	FY 2005-06
441311	1A	62XXX		CPL_01	Compensation Plan	15,500	24,500
441311	1A	68888		DEAN_XX	Sales and Service	21,000	
441315	ZZ	68888		DEAN_XX	Sales and Service		25,000
441315	ZZ	41618		GFT_01	Gifts		12,000
441311	ZZ	41618		GFT_01	Gifts	15,000	
441315	ZZ	29483		NIH_01	C&G - NIH		35,000
441315	ZZ	29484		NIH_01	C&G - NIH		25,000
441311	ZZ	29483		NIH_01	C&G - NIH	35,000	
441311	ZZ	29484		NIH_01	C&G - NIH	25,000	
441312	1A	19900		STATE_01	State Appropriations		78,500
441311	1A	19900		STATE_01	State Appropriations	78,500	
						190,000	200,000

Annual Funding with Comments

ANNUAL FUNDING (PROPOSED)
ZAMBONI, ZAVIER
1/18/2006 3:29:51 PM

				FY 2004-05	FY 2005-06
Base:				78,500	78,500
Addl Base Up to .3:				23,600	23,600
Name: ZAMBONI, ZAVIER	Division: DOSM	Addl Base > .3:		15,700	15,700
Emp #: 123456789	APU: 1400AA	Other Delta:		72,200	82,200
Title: 1721 - PROFESSOR MCP	Step: 1	TNS:		190,000	200,000

FY 0405				Benefits at		
Account-CC-Fund-Project	Source	Pay Period	Comments	Salary	17.00%	Total
4413XX-1A-19900	STATE_01	07/01/2004 - 06/30/2005		78,500	13,345	91,845
441311-1A-62XXX	CPL_01	07/01/2004 - 06/30/2005		15,500	2,635	18,135
441311-1A-68888	DEAN_XX	07/01/2004 - 06/30/2005		21,000	3,570	24,570
441311-ZZ-29483	NIH_01	07/01/2004 - 06/30/2005		35,000	5,950	40,950
441311-ZZ-29484	NIH_01	07/01/2004 - 06/30/2005		25,000	4,250	29,250
441311-ZZ-41618	GFT_01	07/01/2004 - 06/30/2005		15,000	2,550	17,550
Total				190,000	32,300	222,300

FY 0506				Benefits at		
Account-CC-Fund-Project	Source	Pay Period	Comments	Salary	17.00%	Total
441311-1A-62XXX	CPL_01	07/01/2005 - 06/30/2006		24,500	4,165	28,665
4413XX-1A-19900	STATE_01	07/01/2005 - 06/30/2006		78,500	13,345	91,845
441315-ZZ-29483	NIH_01	07/01/2005 - 06/30/2006		35,000	5,950	40,950
441315-ZZ-29484	NIH_01	07/01/2005 - 06/30/2006		25,000	4,250	29,250
441315-ZZ-41618	GFT_01	07/01/2005 - 06/30/2006		12,000	2,040	14,040
441315-ZZ-68888	DEAN_XX	07/01/2005 - 06/30/2006		25,000	4,250	29,250
Total				200,000	34,000	234,000

Staff and Other Academic

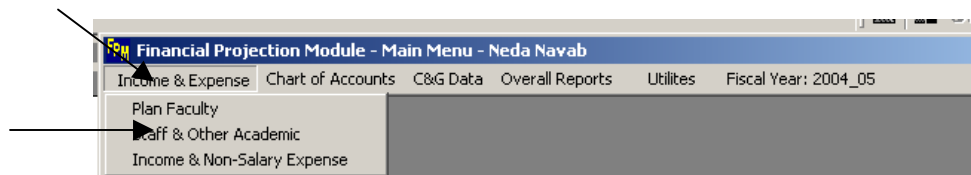
The Staff and Other Academic component of FPM allows users to project salary and benefit information for staff and other academic. To see a list of title codes that are included in the “other academic” section please see page 112 in the Appendix.

There is a dynamic exchange of information between FPM and EDB/QDB which facilitates updates to the two systems. Every morning FPM downloads all the distribution information in EDB/QDB for Staff and Other Academic. More information on this exchange follows on page 73.

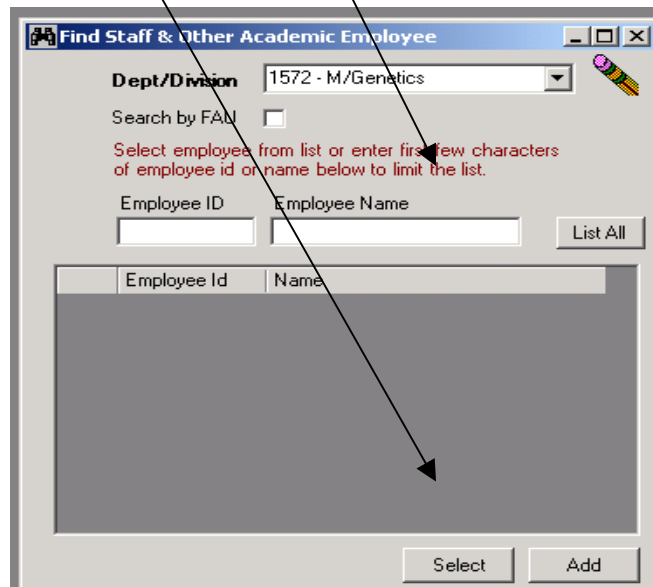
The following is a review of the features of the Staff and Other Academic component.

Search for an Individual

To access Staff & Other Academic, click on “Income & Expenses”, then on “Staff & Other Academic”.



To search for individuals use the “Smart Search” feature where if you type the first few letters of their last name in the “Employee Name” box, the list goes to the person you want, then highlight the individual and click on “Select”.



To search for individuals by FAU, check the “Search by FAU” box, enter your criteria, click on “Search”, highlight your individual and click on “Select”.

Find Staff & Other Academic Employee

Dept/Division: 1572 - M/Genetics

Search by FAU: ☒

Select employee from list or enter any part of FAU and click Search.

Account: CC: Fund: Project: Search List All

Employee Id	Name
-------------	------

Select Add

After you have selected an individual or add a new person (see below to add new employees) you are taken to the main Staff and Other Academic Salary Projections screen. This screen has four primary tabs, Distributions, Salary Increases, Benefit Rate, and Retro. Following is information on each of these Tabs.

Distributions

Staff & Other Academic Salary Projections

Employee ID: Name: BRUIN, JOELLE

Distributions Salary Increases Benefit Rate Retro

Begin Date: 8/1/2004 End Date: 5/16/2005 Title Code: 1234 DOS Type: REG Comments:

Account: 431268 CC: CC Fund: XXXX Fund End: Project End: Project: XXXX Sub: 02

Dist %: 0.4000 Rate Code: A Monthly Rate: 3,000.00 Hourly Rate: 0.00 Monthly Salary: 1,200.00 Save As Cancel Save

Dept	Begin Date	End Date	Account	CC	Fund	Project	Sub	Comments	DOS	Monthly \$	Dist %
1400	8/1/2004	5/16/2005	431268	WV	XXXX	XXXX	02	REG	REG	1,200.00	0.4000
1400	10/5/2004	4/28/2005	431268	XX	XXXX	XXXX	02	REG	REG	1,800.00	0.6000

187 of 2255 Find Reports by Indiv. QDB Import Notify

To edit a distribution, click on the distribution in the grid, it will appear in the middle of the screen and you can edit the white boxes.

To indicate indefinite end date, enter 12/31/9999 in the End Date box.

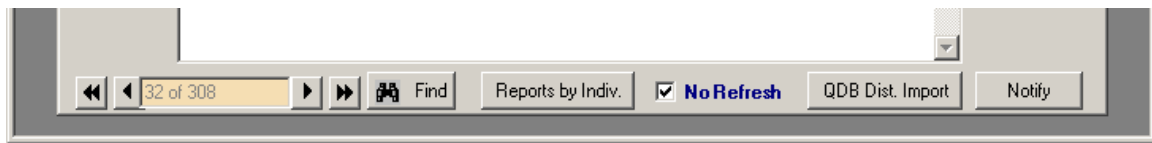
The calculation for salaries is dependant on the following fields:

- DOS Type – i.e. formula for REG is not the same as OTP (overtime premium)
- Dist %
- Rate Code – choices are: A for annual, H for hourly and B for By Agreement. For annual employees, enter the monthly rate. For hourly employees, enter hourly rate. For By Agreement employees, the Dist % is not used to calculate the salary. Only the amount you input in “monthly rate” will be used to calculate salary.

To save keystrokes when entering multiple distributions, use an existing distribution as a template. Make modifications to it and click on “Save As”. This way, you create a new distribution. If you click on “Save”, you replace the original distribution.

Note: At first, when you click on a distribution, you see the buttons “Add” and “Delete”. As soon as you make a change to a field, you get the following buttons: “Save”, “Cancel” and “Save As”.

Options at the Bottom of the Screen



At the bottom of the screen there are a number of useful options. Here is a brief explanation of them. The button “Reports by Indiv” takes you to the menu for Salary Reports for Staff and Other Academic by Individual. Next to this is a button called “No Refresh”, please see next section for information on this feature. At the bottom left hand corner are what are commonly called CD buttons. They are used for navigating the records of the database. From left to right they, take you to the first record, go back one record, go forward one record and go to the last record. There is also a window indicating the record you are currently on and the total number of records. Next to this is a button with a set of binoculars on it and the text “Find”. When you click on the Find button you are taken to the search screen that allows you to find a staff or other academic member by name or by FAU. There are two other buttons that are available. These are the “QDB Dist. Import” button and the “Notify” button. The QDB Dist. Import allows you to import the current distribution information that is in QDB/EDB for that employee. You might use this if you want to get the EDB information immediately rather than wait for the nightly refresh (see below). If you click the “Notify” button you have the option of sending email notifications of the changes made in FPM to other FPM users. You get a dialogue box that asks you to select the user(s) to send email notification to, you can also enter a list of email addresses that may or may not be FPM users in a CC field, and you can add additional email text to a text box to include in the email notification. There is also an option to cancel the notification dialogue box or send the email.

No Refresh and Employee Comments Field

An important enhancement to FPM, which facilitates the exchange of employee information between EDB and FPM, was recently implemented and it operates as follows:

1. Every morning, at 7 a.m., the program downloads all funding data for Staff and Other Academic. The program deletes and reinserts all funding data for all employees on a daily basis.

2. The program adds to FPM any new employees who are in this download but not in FPM.
3. The download deletes from FPM any employee who has been terminated and whose distribution end dates have passed. For employees on leave, the leave may be either with or without pay. If the employee is on leave without pay they will be deleted from FPM when the download is done. An employee on leave with pay will not be deleted.
4. The handling of benefit rates, retroactive salary adjustments and salary increases in FPM will not be affected.
5. There are circumstances for which you will NOT want to refresh, i.e., override FPM with QDB information for an employee. For this reason we have created a "No Refresh" check box. A department will have the ability to check or uncheck this box for each employee at any time. The "No Refresh" box will belong to an employee. If the employee has a joint appointment in two departments, either department will be able to mark or unmark the "No refresh" box, but it will affect all funding for that employee.

Usage of the "No Refresh" check box in FPM

Under most circumstances you will want FPM to reflect the data that is in EDB/QDB. However there may be situations that warrant setting the flag for an employee to "No Refresh", in which case FPM data will intentionally NOT match what is in QDB. Two examples,

Fund Manager Makes Changes: Fund Manager meets with the PI who has a number of changes for his research staff that he wants to implement in the next month. The Fund Manager makes the changes in FPM and through use of the email notification system lets the staff personnel office know of the change. There might be a time lag before the staff personnel can make the necessary changes in EDB (internal approval process for example). The fund manager **MUST** check the "No Refresh" box in FPM so that the information is not changed during the daily refresh process. If the No Refresh box is not checked, all the work and entries done by the fund manager will be erased and the information would need to be re-entered.

TBN Employee: A PI has told a fund manager that they will be hiring an SRA II in four months. You want FPM to show this employee so that you can project the impact of his salary on the grant. You would enter the employee as TBN in FPM with the appropriate distribution start and end dates. You would then check the "No Refresh" box so that the TBN employee is not deleted during the daily download. [Note: once employee is hired and entered in EDB if you have "No Refresh" checked you will need to either delete the TBN employee in FPM OR uncheck the "No Refresh" flag, otherwise there will be two entries for the same employee].

There will be other circumstances unique to departments that mandate the use of the "No Refresh" box. Any situation, such as a work-study student or a person whose appointment is 49% variable, where the user wants FPM to have different information than is in EDB requires the use of the flag.

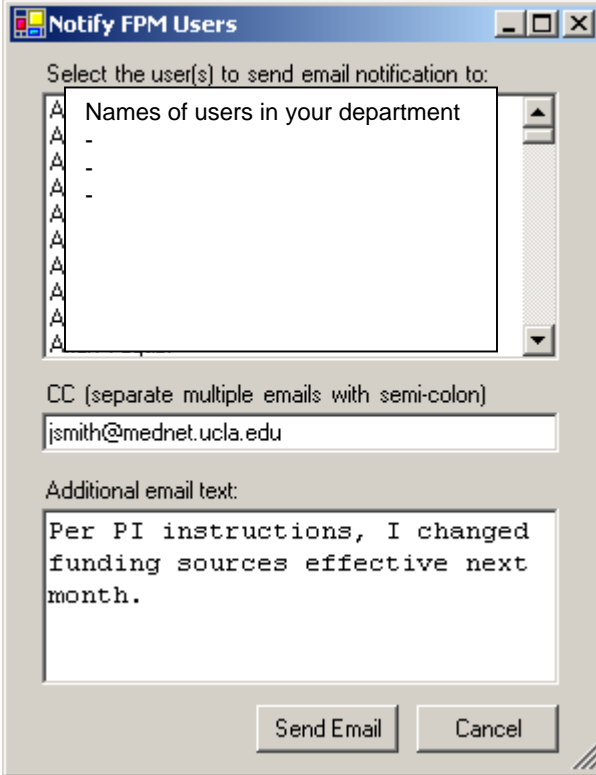
Search features: You can search all individuals who are flagged as "No Refresh". This will facilitate changing the status of these employees if needed.

Comment field: Currently in FPM there is a comment field associated with each distribution. The daily download from QDB will "clear" this comment field, unless the individual is marked as "no refresh". To allow users to associate comments with an employee a new "Comments" field is being added. Since this new comment field is tied to the person and not to the distribution line, the refresh feature will not affect it. There will be one comment field per employee but the field will be large enough to allow multiple lines. These comments will be visible whenever the record for

an employee is viewed. However if a fund manager wishes to retain the distribution line comments the employee should be flagged “No Refresh” which will preserve the comment lines but all future updates to FPM for that employee will have to be done manually.

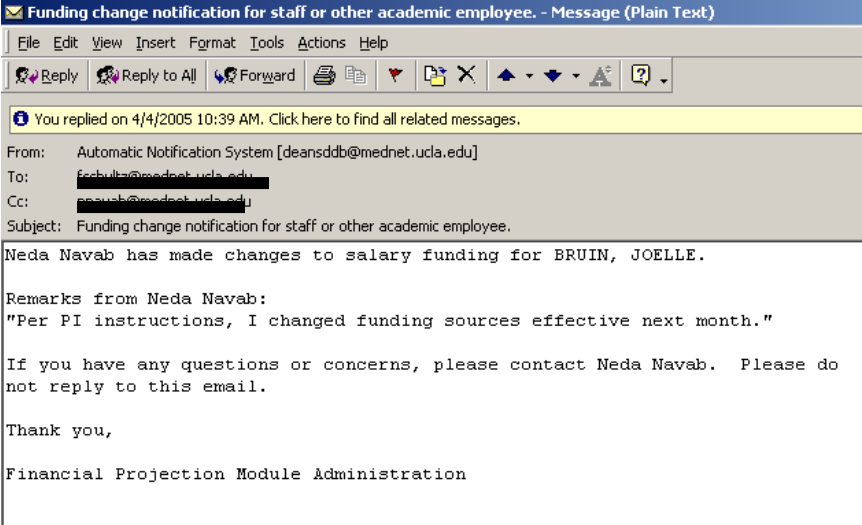
Notify

When you are done making necessary changes to the distribution lines, if you intend for these changes to be entered in EDB, click on “Notify”, at the bottom right hand corner of the screen. The following screen will show up. Select users who need to receive an e-mail, type any additional message in the “Additional email text” box and push “Send Email”.



The "Notify FPM Users" dialog box is shown. It has a title bar with a standard Windows icon and window controls. The main area contains a list box titled "Select the user(s) to send email notification to:" with the text "Names of users in your department" and three bullet points. Below the list box is a text field labeled "CC (separate multiple emails with semi-colon)" containing the email address "jsmith@mednet.ucla.edu". Below that is a text area labeled "Additional email text:" containing the message "Per PI instructions, I changed funding sources effective next month." At the bottom right are two buttons: "Send Email" and "Cancel".

The recipient(s) will receive an e-mail that looks like this:



The screenshot shows an email message window titled "Funding change notification for staff or other academic employee. - Message (Plain Text)". The window has a menu bar (File, Edit, View, Insert, Format, Tools, Actions, Help) and a toolbar with icons for Reply, Reply to All, Forward, Print, and other actions. The message content is as follows:

You replied on 4/4/2005 10:39 AM. Click here to find all related messages.

From: Automatic Notification System [deansddb@mednet.ucla.edu]
To: [redacted]
Cc: [redacted]
Subject: Funding change notification for staff or other academic employee.

Neda Navab has made changes to salary funding for BRUIN, JOELLE.

Remarks from Neda Navab:
"Per PI instructions, I changed funding sources effective next month."

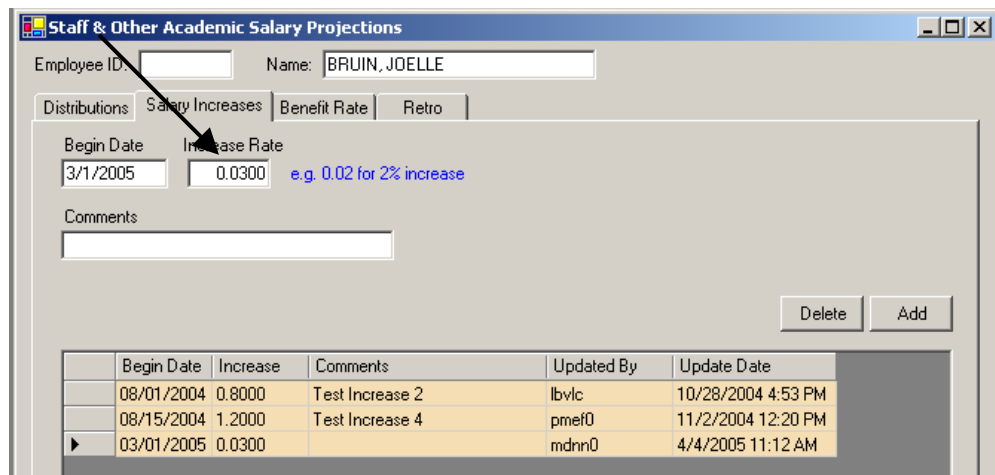
If you have any questions or concerns, please contact Neda Navab. Please do not reply to this email.

Thank you,

Financial Projection Module Administration

Salary Increases Tab

To build in future salary increases in your projections, click on the “Salary Increases” tab, click on “Add”, enter begin date, increase rate and a comment if needed. Then click on “Save”. All related QDB/FPM reports, including summary of funds, summary by sub and salary by month will reflect this projected salary increase. Caution: When you update the Distributions tab with the new salary rate, be sure and delete the increase from the Salary Increases tab. Otherwise the increase is being double counted.



Employee ID: Name:

Distributions | **Salary Increases** | Benefit Rate | Retro

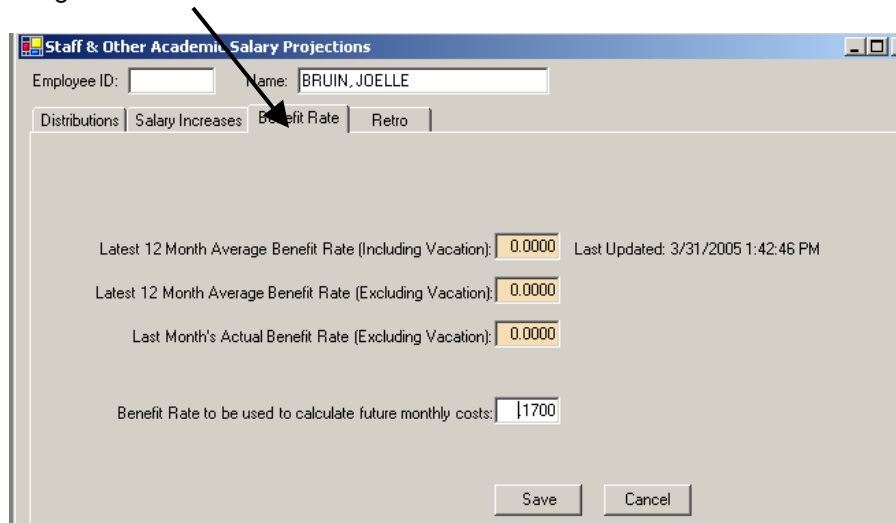
Begin Date: Increase Rate: e.g. 0.02 for 2% increase

Comments:

	Begin Date	Increase	Comments	Updated By	Update Date
	08/01/2004	0.8000	Test Increase 2	lbvlc	10/28/2004 4:53 PM
	08/15/2004	1.2000	Test Increase 4	pmef0	11/2/2004 12:20 PM
▶	03/01/2005	0.0300		mdnn0	4/4/2005 11:12 AM

Benefit Rate Tab

Initially, benefit rate for everyone is set at 17%. If you would like to change that, click on the “Benefit Rate” tab. To help you decide what rate to use for projections, 3 different actual rates are displayed for that person and you can choose one, by entering it in the white box and clicking on “Save”. Note: initially, you don’t see the “Save” button. It will appear as soon as you start making a change to the white box.



Employee ID: Name:

Distributions | Salary Increases | **Benefit Rate** | Retro

Latest 12 Month Average Benefit Rate (Including Vacation): Last Updated: 3/31/2005 1:42:46 PM

Latest 12 Month Average Benefit Rate (Excluding Vacation):

Last Month's Actual Benefit Rate (Excluding Vacation):

Benefit Rate to be used to calculate future monthly costs:

Retro Tab

To enter retroactive changes, click on the "Retro" tab. Click on "Add", fill in all the boxes and click on "Save". For the FAU that needs to get credited, put a negative sign either in the "Dist %" box or the "Rate" box, so that when the multiplication takes place for the calculation, it will be a credit to expense. Enter the FAU to be debited as well. If we don't enter both sides right away, we might forget to enter the other side and end up with inaccurate reports. Once the retro hits the ledgers, you will need to come back to this entry and delete it. Any time you run a "Salary by Month" report using QDB/FPM, if there is retro information a retro report will be generated automatically. In addition, a "Retro" column will be on your Salary by Month report, indicating the total retro for each individual.

To see all retros entered for your department, click on "Overall Reports" on the main menu bar. Then choose "Retro Salary", then either "Plan Faculty" or "Staff & Other Academic".

Important Note: DO NOT enter changes and corrections for the past in the "Distributions" tab, because QDB/FPM reports will not reflect them. All changes for the past must be entered under the "Retro" tab.

Staff & Other Academic Salary Projections

Employee ID: Name:

Begin Date: End Date: e.g. 6/30/05 Comments:

Account: CC: Fund: Fund End: Project End: Project: Sub:

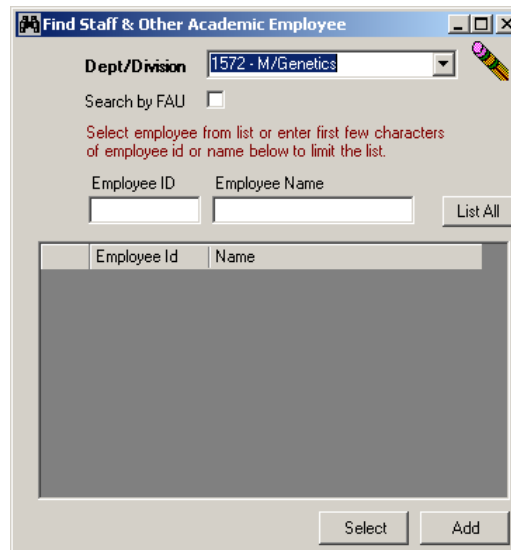
Dist %: Rate Code: Monthly Rate: Hourly Rate: Monthly Salar: Monthly Benefit:

	Dept	Begin Date	End Date	Account	CC	Fund	Project	Sub	Comments	Monthly \$	Dist %	Mon
	1400	7/1/2004	8/31/2004	1 431268	XX	XXXX		02	To correct	-300.00	-0.1000	0.00
▶	1400	7/1/2004	8/31/2004	1 431268	XX	XXXX		02	To correct	300.00	0.1000	125.00

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Adding New Employees

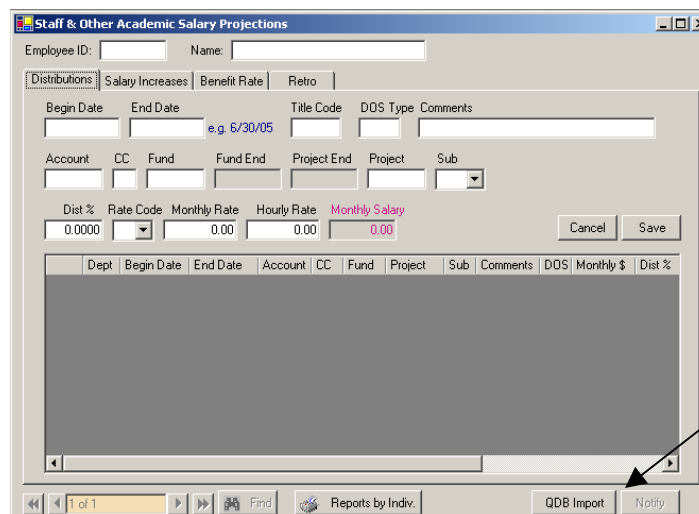
On the “Find Staff & Other Academic Employee” screen, click on the Add button to add a new employee. This takes you to the “Staff & Other Academic Salary Projections” screen.



The window titled "Find Staff & Other Academic Employee" contains a dropdown menu for "Dept/Division" set to "1572 - M/Genetics". Below it is a "Search by FAU" checkbox. A red instruction text reads: "Select employee from list or enter first few characters of employee id or name below to limit the list." There are input fields for "Employee ID" and "Employee Name", followed by a "List All" button. A large table area with headers "Employee Id" and "Name" is currently empty. At the bottom are "Select" and "Add" buttons.

If the employee already has an assigned Employee ID, enter it and click on the QDB Import button. This will download the employee's distribution directly from QDB.

If the employee does not have an ID number yet, you can enter a “projected” employee in one of two ways. Either enter a temporary employee ID and fill in the distribution information. When the employee has been assigned an ID, pull up his/her information and replace the temporary ID with the real one and click on QDB Import if the distributions need to be refreshed. Or you can enter TBN in the Name field without an ID. When you know the Name and ID you can update this information in FPM. Caution: FPM allows multiple TBNs so the Department needs to ensure that the correct info is entered for each new employee once hired.



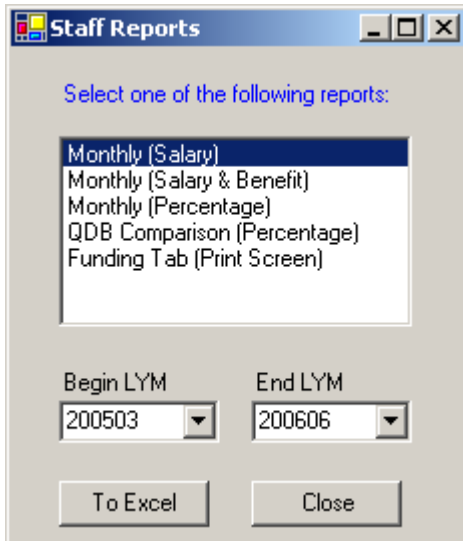
The window titled "Staff & Other Academic Salary Projections" has tabs for "Distributions", "Salary Increases", "Benefit Rate", and "Retro". The "Distributions" tab is active. It contains fields for "Employee ID:" and "Name:". Below are "Begin Date" and "End Date" fields, with an example "e.g. 6/30/05" shown. There are also fields for "Title Code", "DOS Type", and "Comments". A section for financial data includes "Account", "CC", "Fund", "Fund End", "Project End", "Project", and "Sub" dropdowns. Below that are "Dist %", "Rate Code", "Monthly Rate", "Hourly Rate", and "Monthly Salary" (highlighted in pink) fields. "Cancel" and "Save" buttons are present. A table with columns: "Dept", "Begin Date", "End Date", "Account", "CC", "Fund", "Project", "Sub", "Comments", "DOS", "Monthly \$", and "Dist %" is shown. At the bottom, there are navigation buttons and a "QDB Import" button, which is pointed to by an arrow.

Staff Reports (Reports by Individual)

For employees who have various distribution lines with differing starting and ending dates, it is difficult to review the overall funding picture by viewing the distribution lines. "Reports by Individual", whose button is at the bottom of the screen facilitate this process. When you click on the button you get a listing of five reports. From the list select a report, specify time period and click on "To Excel" (Make sure Excel is running). You will see in Excel that the distribution information is more meaningfully displayed with months as columns and FAUs listed on the left hand side.

Note: The main purpose of FPM is to project future expenses. Therefore, when you run these reports, it would be optimal to specify a time period going forward in time rather than past historical data. The most accurate historical information is in the payroll data so if you wish to view past data or if you wish to look at reports that combine past and future data you should use QDB/FPM to generate reports by month by individual combining past actual data from the EDB database and future project employee data from FPM.

The following is the screen that allows you to select which report you wish. Note you specify the type and the time period. Then click "To Excel".



The following are samples of each of the five currently available reports.

Monthly (Salary)

FUNDING BY MONTHS

BRUIN, MARY

11/2/2005

10:31:10 AM

FAU	200511	200512	200601	200602	200603	200604	200605	200606	Total
401006-3H-63000	2,041	2,041	2,041	2,041	2,041	2,041	2,041	2,041	16,330
401006-3H-19900	1,361	1,361	1,361	1,361	1,361	1,361	1,361	1,361	10,886
Monthly Total	3,402	3,402	3,402	3,402	3,402	3,402	3,402	3,402	27,216

Monthly (Salary & Benefits)

FUNDING BY MONTHS
BRUIN, MARY
11/2/2005
10:31:24 AM

FAU	200511			200512			200604	200605			200606			Total Salary	Total Benefit	Total
	Salary	Benefit	Total	Salary	Benefit	Total	Total	Salary	Benefit	Total	Salary	Benefit	Total			
401006-3H-63000	2,041	347	2,388	2,041	347	2,388	2,388	2,041	347	2,388	2,041	347	2,388	16,330	2,776	19,106
401006-3H-19900	1,361	0	1,361	1,361	0	1,361	1,361	1,361	0	1,361	1,361	0	1,361	10,886	0	10,886
Monthly Total	3,402	347	3,749	3,402	347	3,749	3,749	3,402	347	3,749	3,402	347	3,749	27,216	2,776	29,992

Note: Some months are hidden in order to fit report on page

Monthly (Percentage)

FUNDING IN PERCENTAGES BY MONTHS
BRUIN, MARY
11/2/2005
10:31:37 AM

FAU	200511	200512	200601	200602	200603	200604	200605	200606	Average
401006-3H-63000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000
401006-3H-19900	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000
Monthly Total	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000

QDB Comparison (Percentage)

The "QDB Comparison (Percentage)" report compares distributions for an individual that exist in FPM to distributions in EDB. Once you look at this report, if you determine that the EDB distributions should replace the FPM distributions, click on the "QDB Import" button at the bottom right hand corner of the screen.

QDB Comparison
BRUIN, MARY
11/2/2005 10:31:50 AM

FPM Data

FAU	200511	200512	200601	200602	200603	200604	200605	200606	Average
401006-3H-63000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000
427703- -63000									0.0000
401006-3H-19900	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000
Monthly Total	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000

QDB Data

FAU	200511	200512	200601	200602	200603	200604	200605	200606	Average
401006-3H-63000									0.0000
427703- -63000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000
401006-3H-19900	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000	0.4000
Monthly Total	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000

Variance

FAU	200511	200512	200601	200602	200603	200604	200605	200606	Average
401006-3H-63000	(0.6000)	(0.6000)	(0.6000)	(0.6000)	(0.6000)	(0.6000)	(0.6000)	(0.6000)	(0.6000)
427703- -63000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000	0.6000
401006-3H-19900	-	-	-	-	-	-	-	-	-
Monthly Total	-	-	-	-	-	-	-	-	-

Salary rate in FPM is not the same as QDB for REG dos code.

Funding Tab (Print Screen)

FUNDING FOR WALKER, VALERI D
FISCAL YEAR 2005-06
11/2/2005
10:32:16 AM

Begin Lym	End Lym	Title	Pay Begin	Pay End	Account	CC	Fund	Project	Sub	Rate Code	Dept	Dist %	Monthly Rate	Hourly Rate	DOS	Monthly Salary	Comments	Updated By	Update Date
200501	200506	2708	1/1/2005	6/23/2005	401602	3H	26570	MED	0	A	1400	1	3267.92		0 REG	3267.92		Manager, Ethel	2/23/2005 10:43
200507	200606	2724	7/1/2005	6/30/2006	401006	3H	63000		0	A	1520	0.6	3402		0 REG	2041.2	HOSPITAL	Manager, Ethel	9/2/2005 13:26
200507	200606	2724	7/1/2005	6/30/2006	401006	3H	19900		0	A	1520	0.4	3402		0 REG	1360.8		Manager, Ethel	9/2/2005 13:25

Income and Non-Salary Expense

Unlike salaries where data is already in FPM, for income and non-salary expenses, it is up to users to enter future projections. The following describes how to enter income and non-salary expenses, both recurring and one-time only into FPM.

Search

To access the Non-Salary Expenses component of the program, on the menu bar, click on "Income & Expenses", then choose "Income & Non-Salary Expenses". The top section of the screen is the "Search" area. The bottom of the screen is the grid where search results get displayed. The middle section is for editing existing records and adding new ones. When you first use FPM and run the search nothing will appear in the grid (because there are no previous entries). To find entries input by FPM users, indicate your search criteria and click on "Search".

Dept	Account	CC	Fund	Project	Sub	Category	Description	Amount	Lun
1850	441482	AR	29132		06	Fee Remissio	Graduate Stud	800.0000	Yes
1850	441482	AR	29132		03	DLAM	Retro credit ad	-1500.0000	Yes
1850	441482	AR	29132		03	Mail & Messen	Shipping, etc	20.0000	No
▶ 1850	441482	AR	29132		03	DLAM	DLAM-PER DI	800.0000	No

Adding & Editing Expenses: Recurring and Lump Sum

There are two types of expenses, "recurring" and "lump sum". Recurring are those expenses that occur continuously and happen on a monthly or other regular basis. Examples of these are laboratory supplies/chemical, DLAM charges and if appropriate to the funding source, telephone charges. Lump Sum expenses are ones that you anticipate will be charged only once, examples of these would be a piece of equipment that will be purchased or a NPEAR to correct a previous expense. Note, when a retroactive adjustment is made the "Retro/Adj" box should be checked. Otherwise, if the fund end date is in the past, if you don't check the "Retro/Adj" box, the Summary by Sub and other reports will not include these entries.

Recurring

To add a new recurring expense, click on "Add", fill in the FAU information, select the correct sub from the drop down list, select a category from a drop down list, add a description and then enter the estimate of the recurring monthly cost in the amount field. Click on "Monthly" then enter the start and end months that the expenses will occur. Note: this should normally coincide with the current budget period for the grant but there may be reasons that you would end the expense on a different month. Use the syntax of YYYYMM (200512) for the begin and end dates. To indicate indefinite end date, enter 999912 in the "End LYM" box. If the expenses are subject to F&A charges, check the box that says "Assess F&A". When everything is completed click on "Save".

Once you have entered the beginning and end dates for a recurring expense there is no need to go back to the screen to adjust the months as the budget period progresses. For example if you had a recurring expense and you gave the time period as January to August 2006, when the January ledger closes you don't need to go back and change it to be February to August 06, the program knows not to project expenses for a month that has closed.

Recurring Expenses in QDB/FPM Reports: If you have entered projections for recurring monthly expenses, typically you will want to get the "Expense by Month" report which can include both past (QDB) and future (FPM) data depending on the time period specified. You will be asked to specify a particular time period for the report. QDB/FPM reports automatically adjust for the number of months to include in the projections if you select one of the pre-specified options (Match Future Sum by Sub-Fund End Date or Match Future Sum by Sub-Fiscal Year).

To edit an existing entry, click on it in the grid, it will appear in the middle of the screen and you can edit the white boxes.

To save keystrokes when entering multiple expense lines, use an existing expense as a template. Make modifications to it and click on "Save As". This way, you create a new expense line. If you click on "Save", you replace the original line.

Note: At first, when you click on an expense line, you see the buttons "Add" and "Delete". As soon as you make a change to a field, you get the following buttons: "Save", "Cancel" and "Save As".

Lump Sum

Lump Sum entries are entered very similarly to recurring expenses with the following differences. Instead of clicking on "Monthly" to indicate a recurring expense, you click on "Lump Sum" to indicate a one time only expense. When you click on "Lump Sum" you no longer need to enter the start and end months so these fields disappear. The following is an example of a lump sum projection. Note, when a retroactive adjustment is made the "Retro/Adj" box should be checked. Otherwise, if the fund end date is in the past, if you don't check the "Retro/Adj" box, the Summary by Sub and other reports will not include these entries. For entries that should not be assessed the F&A, make sure the "Assess F&A" box is unchecked.

CAUTION! When you are preparing monthly reports that provide information from both from QDB and FPM such as the Summary by Sub report (see pages 16, 28 and 33), recurring expenses are automatically updated in FPM. So for example if the most recent closed ledger was September and the grant ended the following May, FPM would only include the recurring expenses from October through May. However, lump sum expenses have to be manually deleted or adjusted within FPM during the reconciliation process when the expense has actually hit the ledger. FPM does NOT automatically update these expenses. If for some reason only part of a retroactive correction hit the ledgers, FPM should be updated to only show the amount that is still outstanding. Again if the projection is for a retroactive correction the "Retro/Adj" box needs to be checked. Otherwise, if the fund end date is past, reports will not pick up these retro entries. Even if there is a retroactive correction within the budget period the box should be checked. This will ensure that the information is correctly reported in the reports that are requested.

Lump Sum Expenses in QDB/FPM Reports: If you have entered projections for lump sum expenses, typically you will want to get the "Expense by Month" report which can include both past (QDB) and future (FPM) data depending on the time period specified. Lump sum expenses appear in the month after the most recently closed ledger and as noted above stay in FPM until they are deleted during the reconciliation process.

Income & Non-Salary Expense

Search By:
Account CC Fund Project Sub
 29132

Edit:
Account CC Fund Fund End Project End Project Sub
441482 AR 29132 8/31/2006 8/31/2007 MICE 03 ☒ Retro/Adj.
Category Description Amount
DLAM Retro credit adj. pending approval -1,500
☐ Monthly ☒ Lump Sum
☒ Assess F&A @ 54.50% rate

Dept	Account	CC	Fund	Project	Sub	Category	Description	Amount	Lump Sum
1850	441482	AR	29132		06	Fee Remissio	Graduate Stud	800.0000	Yes
1850	441482	AR	29132		03	DLAM	DLAM-PER DI	800.0000	No
1850	441482	AR	29132		03	Mail & Messen	Shipping, etc	20.0000	No
▶ 1850	441482	AR	29132		03	DLAM	Retro credit ad	-1500.0000	Yes

Assess F&A Option

For all expenses that require F&A (Overhead) to be assessed, check the "Assess F&A" box. For those that don't require F&A, uncheck the box. In the examples below, the expense is for the first 25K of a subcontract which requires F&A, the second example is the amount of the subcontract greater than 25K which is not subject to F&A charges.

Edit:
Account CC Fund Fund End Project End Project Sub
431201 61027 12/31/2025 07 ☐ Retro/Adj.
Category Description Amount
Subcontracts First 25K of Subcontract 150,000
☐ Monthly ☒ Lump Sum
☒ Assess F&A

Dept	Account	CC	Fund	Project	Sub	Category	Description	Amount	Lump Sum
1400	431201		61027		03	Consultants	testing consult	2000.0000	Yes
1400	431201		61027		05	Space Rental	testing rent	2000.0000	No
1400	431201		61027		09	Recharges	testing recharge	-2000.0000	No
1400	431201		61027		09	Recharges	testing recharge	-2000.0000	Yes
1400	431201		61027		07	Subcontracts	Amount of sub	150000.00	Yes
▶ 1400	431201		61027		07	Subcontracts	First 25K of Su	150000.00	Yes

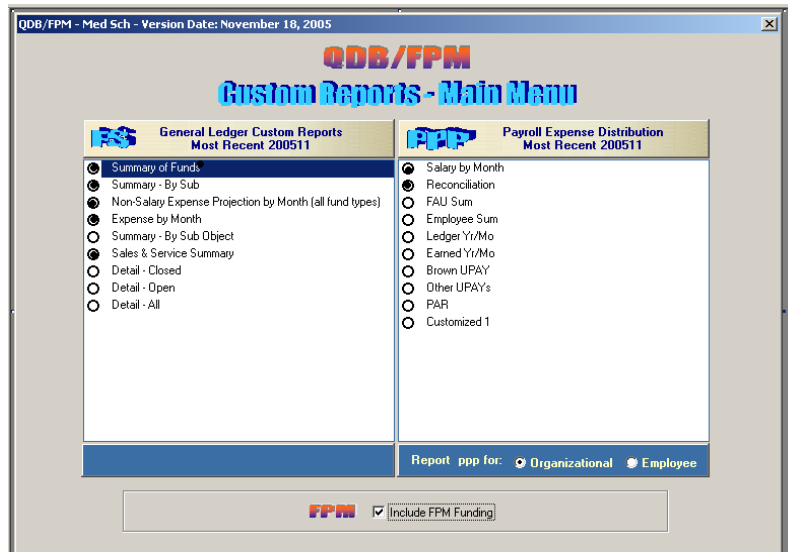
Edit:
Account CC Fund Fund End Project End Project Sub
431201 61027 12/31/2025 07 ☐ Retro/Adj.
Category Description Amount
Subcontracts Amount of subcontract > 25K 150,000
☐ Monthly ☒ Lump Sum
☐ Assess F&A

Dept	Account	CC	Fund	Project	Sub	Category	Description	Amount	Lump Sum
1400	431201		61027		03	Consultants	testing consult	2000.0000	Yes
1400	431201		61027		05	Space Rental	testing rent	2000.0000	No
1400	431201		61027		09	Recharges	testing recharge	-2000.0000	No
1400	431201		61027		09	Recharges	testing recharge	-2000.0000	Yes
▶ 1400	431201		61027		07	Subcontracts	Amount of sub	150000.00	Yes
1400	431201		61027		07	Subcontracts	First 25K of Su	150000.00	Yes

Action Buttons

The action buttons change according to the steps you take. When you first bring up the screen the action button is "Add". When you click on this, the action buttons become "Cancel" and "Save". If you click on an item in the data grid in order to edit the information the action buttons are "Delete" or "Add". If you edit the existing entry as soon as you make any change to a field the action buttons switch to "Save As", "Cancel" and "Save". Save As is very useful if you have multiple entries for a grant as this repeats all the information in the line and you can change on those fields that are different. If you make edits to a line and then click "Save", the existing line is overwritten, "Save As" creates a new line and preserves the original expense.

Reporting Expense Data

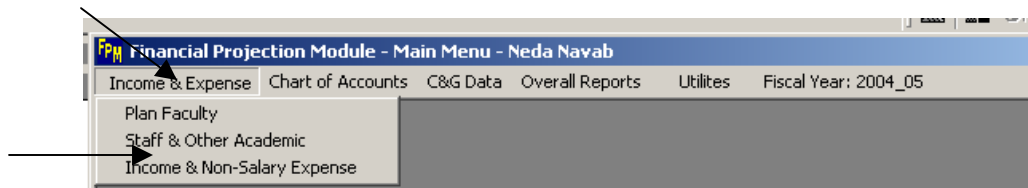


On the screen above are shown the reports in QDB/FPM that combine past data from QDB and future data from FPM. Two reports are of particular interest to show detail of non-salary expense projections and information. These are the report entitled: "Non-Salary Expense Projection by Month" which provides details of Non-Salary Expenses that are entered in FPM and "Expense by Month" report which provides summary information on all subs.

Please see page 22 for more information on Non-Salary Detail Expense Reports and see page 24 for more information on the Expense by Month Report.

Income

FPM allows you to enter income projections, both into revenue accounts and as recharges. To enter a projection for income, click on "Income & Expenses", then on "Income & Non-Salary Expense".



Income on Expense Accounts

There could be two types of income on expense accounts:

- Appropriations or
- Recharges

For Appropriations, indicate your FAU, choose sub 8 or other subs, choose "Appropriation/Income" from the "Category" drop down list, enter description and amount and specify whether it is a monthly income or lump sum amount. Important note: the amount should be entered as a negative number. If you forget to make it negative, you will get a warning message.

For Recharges, indicate your FAU, choose sub 9, the "Category" will default to "Appropriation/Income". Enter description and amount and specify whether it is a monthly recharge or lump sum. Important note: the amount should be indicated as a negative number. If you forget to make it negative, you will get a warning message.

Income on Revenue Accounts

This pertains to revenue on Sales & Service funds which gets recorded on revenue accounts (2XXXXX). If you enter an account starting with a "2", the "Category" will default to "Appropriation/Income". Enter description and amount and specify whether it is a monthly income or lump sum. Unlike income on expense accounts, for income on revenue accounts, the expected entry for the amount field is a positive number. If you enter a negative amount, you will get a warning message.

Sales and Service Revenue Entries

FPM has now been updated to allow entry of the two types of revenue that apply to Sales and Service FAUs, recharges and deposits to the revenue (26XXXX) account.

For recharges you can now select sub 09 from the drop down list. This will automatically enter Recharges into the Category box. As for other subs you can have either Monthly or Lump Sum expenses. The expense entered in the Amount field should be negative to be consistent with ledger entries. If you enter a positive number you will get a caution warning (see below). In the following example we are entering expected recharges of \$1200 for two months (\$2400).

For sub 09 Recharges is the category by default.

Usual entry for recharges should be a negative number.

You now also have the ability to enter projections for deposits to the revenue account linked to the Sales and Service fund. If you enter an account starting with a "2", FPM will select and put Appropriations/Income into the category field. As for recharges you can enter either Monthly or Lump Sum amounts. The expected entry for the amount field is a positive number so you will get a caution if you enter a negative number. The following example is a projection of a lump sum deposit of \$5000.

Entering an account starting with a 2 puts Appropriations/Income in the Category box.

Financial Projection Module - Main Menu - Eilish Hathaway - [Non-Salary Expenses]

Expenses Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2004_05

Search By: Account CC Fund Project Sub Search Clear To Excel

Edit: Account CC Fund Fund End Project End Project Sub Retro/Adj.

261111 6X 61111 12/31/2025 5000.00 Monthly Lump Sum

Category Description Amount

Appropriation/Income Expected income 5000.00

Assess F&A Cancel Save

Dept	Account	CC	Fund	Project	Sub	Category	Description	Amount	Lump Sum	F&A	Retro	Beg
------	---------	----	------	---------	-----	----------	-------------	--------	----------	-----	-------	-----

Note: For Sales and Service accounts expenses for subs 0, 1, 2, 3, 4, 5, 6,7 and 8 are entered in FPM as you would for other FAUs.

Sales and Service Summary Report With Revenue Projections (lump sum of \$5000 into revenue account and \$2400 of monthly recharges to sub 09)

	A	B	C	D	E	F	G	H
3	DEPARTMENT:	LIFE SCIENCES		BUDGET PERIOD:	07/01/04 TO 06/30/05		Fund Manager:	
4	FUND-PROJECT:	61111-1		REPORT PERIOD:	07/01/04 TO 03/09/05		Reviewed By:	
5		LS COMP PLAN		REMAINING MOS:	3.68		Report Date:	3/10/2005
7	BALANCE/INCOME/EXPENSE		(A) GEN. LEDGER	(B) POST G/L	(C) POST G/L	(D) TOTALS BEFORE	(E) FUTURE	(F) GRAND
8	ITEMS		INC/EXP	TRANSACTIONS	TRANSACTIONS	PROJECTIONS	INC/EXP	TOTALS
9			February-05	Rev & Exp	cumb & Memo Lie	(A + B + C)		(D + E)
11	(1) Prior Year Fund Balance		852,111.00			852,111.00		852,111.00
12	INCOME							
13	Revenue	261111	1,812,151.00	17,778.00	0.00	1,829,929.00	5,000.00	1,834,929.00
14	Recharge Income	SUB 09	1,719,276.29	0.00	0.00	1,719,276.29	2,400.00	1,721,676.29
15	(2) Total Income		3,531,427.29	17,778.00	0.00	3,549,205.29	7,400.00	3,556,605.29
16	EXPENDITURES							
17	Salaries: Academic	SUB 00	562,111.00	0.00	0.00	562,111.00	1,693,381.12	2,255,492.12
18	Salaries: Staff Career	SUB 01	386,121.00	0.00	0.00	386,121.00	434,009.96	820,130.96
19	Salaries: Gen. Assistance	SUB 02	121,005.00	0.00	0.00	121,005.00	381,645.95	502,650.95
20	Employee Benefits	SUB 06 [Excl VAC]	178,545.00	0.00	0.00	178,545.00	426,737.96	605,282.96
21	Supplies & Expense	SUB 03	158,459.00	74,547.84	58,121.00	291,127.84		291,127.84
22	Equipment	SUB 04	87,630.90	6,191.20	51,211.00	145,033.10		145,033.10
23	Special Items	SUB 05	85,421.00	3,418.29	8,306.78	97,746.07		97,746.07
24	Special Items	SUB 07	99,125.85	7,791.82	25,111.00	132,028.67		132,028.67
25	Unallocated	SUB 08	219,348.00	0.00	0.00	219,348.00	(415,021.65)	(195,673.65)
26	(3) Total Expenditures		1,898,366.75	91,949.15	143,349.78	2,133,665.68	2,520,753.34	4,654,419.02
27	(4) Adjustments to Unexp. Bal.	11905E-11	235,880.44	106,790.00	0.00	342,670.44		342,670.44
28	(5) IAP Assessment Minus Awards		0.00	0.00	0.00	0.00		0.00
29	(6) Vacation Assessment Minus Usage		32,040.78	0.00	0.00	32,040.78		32,040.78
30	(2-3-4-5) Current Year Surplus (Deficit)		1,836,900.20	32,618.85	(143,349.78)	1,726,169.27	(2,513,353.34)	(787,184.07)

Revenue Projection

Recharge Projection

Expense Projection

Chart of Accounts

A Chart of Accounts is a detailed listing of all funding sources for a Department. Within FPM there is a Chart of Accounts module that should be used as the primary source of information on funding within a Department. All updates, changes etc should be made to FPM and whenever a current listing is needed it should be generated from FPM.

To load Chart of Accounts, in FPM, click on “Chart of Accounts” from the menu bar.



You will see the following screen:

Search By: Dept: 1520 - Anesthesiology, 1925 - Biostatistics, 1760 - BRI, 1568 - CARE, 1790 - CLINICAL RESEAR. Account: [], CC: [], Fund: 58733, Fund Manager: [], Internal PI: [].

☐ All, ☐ Expired. Search, Clear, To Excel, Batch.

Account	CC	Fund	Fund End	Project End	Dept	Balance	Rchg ID	Expired
431211	3P	58733	6/30/2005	6/30/2005	1400 - DOSM	25,000.00		<input type="checkbox"/>

PI: WEISBART, RICHARD. Internal PI: WILKERSON, LUANN. Fund Title: ACR 05061819 WEISBART 06/05. Internal Fund Title: ACR Fellowship Grant.

Fund Grouping: C&G - Private. Fund Manager: Luz Orozco. Comments: will not renew.

	Account	CC	Fund	Balance	Rchg ID	Internal PI Name	Fund Mgr	Expired	Internal Fund Title
▶	431211	3P	58733	25,000.00		WILKERSON, LUANN	Luz Orozco	No	ACR Fellowship Gra

All account/cc/funds of a department with a balance other than zero will be in this database. The total balance of all subs excluding 9H will be displayed. This data is refreshed every night. Note: balances include encumbrances but not memo-liens.

Search

The top section of the screen is the “Search” area. If you wish to limit the search to your department accounts only, be sure to select your department code as criteria, before clicking on “Search”. On the other hand, if you wish to see all accounts linked to a fund, even if they are not your department accounts, click on “Clear”, then enter the fund number, then click “Search”. The bottom of the screen is the grid where search results show up. To edit a row that shows up in the grid, click on it. It will appear in the middle section and the white boxes will be editable. You can look up FAUs of other departments but can only edit FAUs owned by department codes to which you are given FPM access.

You can also use other criteria for searching a selecting a particular FAU or group of FAUs, e.g., all those for a particular PI. Search criteria that can be selected or entered are
Department

Account, CC, and Fund
Fund Manager
Internal PI

To perform a search either enter the data to search for, e.g., fund number or select the criteria from drop down boxes e.g., fund manager. In the drop down box for fund manager there is a “none assigned” choice that allows you to see all FAUs that do not have a fund manager assigned to them within your department. Once the criteria is entered click on the “Search” button to run the search. To clear the criteria in order to do a new search click on “Clear”. There is also a “Smart Search” feature that allows users to enter only the first few letters of the name either in the fund manager or the PI fields to bring up listing.

Useful Features

1. **Identify Fund Manager** - Each FAU will be assigned to a fund manager or other department staff. Any question that comes up about an FAU, you can identify who is in charge of it.
2. **No FAUs “Fall Through the Cracks”** - Fund Managers will have access to a complete list of FAUs they are in charge of. This will help prevent overlooking an FAU. For this reason it is especially important that a fund manager be assigned in FPM to any new FAU that is issued. Likewise any reassignments of fund managers should be reflected in FPM. Especially when printing of FSO100 ledgers gets discontinued, Chart of Accounts will provide a complete list of FAUs that need to be reconciled.
3. **Discover New Links** - Newly created FAU links with funding show up with blank Fund Manager which triggers the need to assign it to someone. It is crucial to check periodically for unassigned FAUs in FPM. Note: Clinical Trials won’t show up when they are newly assigned because they have no funding. The fund manager will need to rely on COEUS or a notification from EFM of the new FAU. CAUTION, if EFM processes a TOF with an effective date in the future, the FAU won’t show up in FPM until the effective month.
4. **Create Batch Sheets** - Each FAU will be assigned to an “Internal PI”. This will facilitate creating “batch sheets” for running QDB/FPM reports by PI. To create a batch sheet, simply enter your criteria in the top “Search by” area and click on “Batch”.
5. **Don’t Place PAC orders on overdrawn FAUs** - Your PAC preparers can refer to Chart of Accounts to see if there are enough funds to place orders on a given FAU.

Updates to Chart of Accounts (includes updating fund manager info)

It should be made clear within the Department as to who is responsible for updating FPM. Guidelines should be established and followed. Managers should ensure that updates are made and should review the Chart of Accounts on a regular basis.

Fields that can be edited

1. **“Internal PI”** - will be populated in advance by the Dean’s Office based on Acct/CC Titles in QDB which provide PI names. Subsequently department will maintain this data.
2. **“Internal Fund Title”** – helps display on reports, fund names that the PI recognizes for his/her grant (buzz name). Official fund title will also remain in the database.
3. **“Comments”** – these comments regarding the overall FAU show up in the “Summary of Funds” report of QDB/FPM.
4. **“Expired”** – certain FAUs just need fund manager follow up for close out and don’t necessarily need to be reported to the PIs every month. The “Expired” check box helps us distinguish these and exclude them from reports to PIs and yet be able to monitor them until they zero out. Once they zero out, they don’t show up in Chart of Accounts any more. By default, we get the list excluding “Expired” ones. To get the “Expired” ones,

check the “Expired” box in the “Search By” area. To get all FAUs, click on the “All” box in the “Search by” section.

5. **“Fund Manager”** – will be populated in advance by the Dean’s Office based on information obtained from the department. Subsequently the department will maintain this data, by double-checking entries made by Dean’s office and assigning new FAU’s as described above. This is the field that will probably need to be updated most often.

Some instances where you may want to update the fund manager field are

- New FAU to be assigned to a fund manager
- Fund manager leaves the Department and so all FAUs managed by that Fund Manager need to be updated within the Chart of Accounts
- PI gets reassigned from one Fund Manager to another one so all FAUs for that PI need to be reassigned

Reassigning Fund Manager to new or existing FAUs

It is simple to assign or reassign a fund manager in Chart of Accounts. Use the search feature (see page 89) to bring the individual FAU or group of FAUs into the Data Grid.

To assign or replace the fund manager for a single FAU:

Highlight the FAU in the data grid. The information flows into the fields in the middle of the screen. The fields in white can be edited. The fund manager’s field will be blank if there is no one assigned to it or will have the current (“old”) fund manager’s name. From the drop down list to the right of the fund manager’s name, select the new fund manager. When you select a new name two buttons now appear on the screen, Cancel and Save. Cancel stops the change and Save keeps the change.

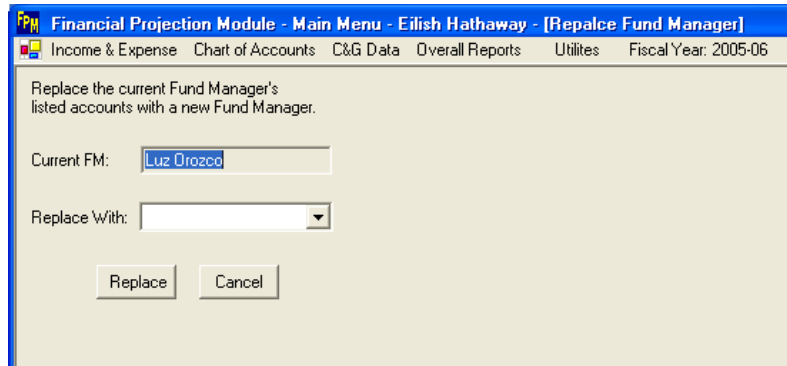
There is a “Replace” button on the Chart of Accounts screen that facilitates the updating of Fund Managers and if you wish to replace more than one FAU for one fund manager there is a more convenient method than doing each individually.

For example if there is a new fund manager assigned to a PI because of a change in workload it would be useful to update all the FAUs for that particular PI with the new Fund Manager’s name.

(Note: if the new fund manager is also new to your Department or to using FPM remember that the person has to be set up in FPM by the Dean’s Office for security reasons. Please see page 7 for more information).

Click on the Clear button to start a fresh search. Using the search features, click on your Department code, and then select a PI from the drop down list. Click the search button and all the FAUs belonging to that PI will appear in the data grid. Or you can narrow the search by also including the old fund manager’s name in the search by selecting it from the drop down list and then click on Search. In either case, highlight one FAU in the data grid that has the name of the

“old” fund manager. Data for that FAU flows into the field in the middle of the screen including the fund manager field. Click on the “Replace” button above the fund manager field. You get the following dialogue box.

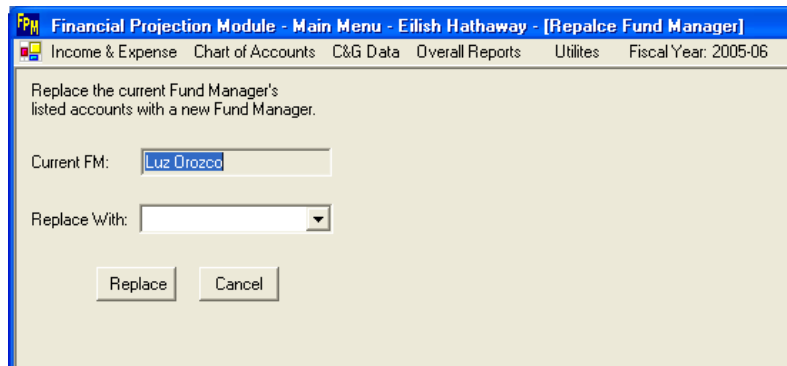


The dialogue box is asking if you wish to replace the current Fund Manager’s listed accounts with a new Fund Manager.

Select the new (replacement) fund manager from the drop down box where it says “Replace With:” This will ONLY replace the name of the old fund manager with the new fund manager. For example if another fund manager did some FAUs for that PI, these would not be changed. This would be the best way to do it if all the FAUs for a fund manager needed to be replaced. Also see page 90 for more information on updating the Chart of Accounts.

Updating the Fund Manager can be done in a variety of ways

For example you can get a listing of all FAUs for your Department, then select one FAU from the data grid that appears that has the current (i.e. “old”) fund manager. Click on the Replace button and you get the following dialogue box.



Select the new (replacement) fund manager from the drop down box. This will ONLY replace the name of the old fund manager with the new fund manager. The other entries will not be affected. This would be the best way to do it if all the FAUs for a fund manager needed to be replaced. Or if the FAUs for a particular PI needed to be replaced (for example a new fund manager is reassigned to a particular PI), if you select the Internal PI name as part of the search criteria and then select a line item from the data grid that has the “old fund manager” and then select the replacement Fund Manager from the dialogue box as shown above, only the FAUs for that PI will be replaced.

Other valuable fields (non-editable) that are on the Chart of Accounts main screen are:

- Recharge ID
- Fund end date
- Project end date
- F&A Rate

Export to Excel Option

Select Chart of Accounts module of FPM from the main FPM screen.

Click on Clear to start a new search

Select your Department/Code from Drop Down list and click on Search

Then click "To Excel". This transfers all the fields from the Chart of Accounts, including the current balances, to a worksheet in Excel. Since the balance information is very dynamic we discourage departments from maintaining their own chart of accounts outside of FPM.

Adding new funds to the Chart of Accounts

When EFM establishes a new FAU by entering it into the QDB fund table you will be able to assign an internal PI, an internal name ("nickname") and a fund manager. Once updated, this info is now in FPM Chart of Accounts. As mentioned you should generate a new Departmental Chart of Accounts whenever updates/changes are made within your Department.

C&G Data

Faculty Effort on Contract & Grant Awards

Purpose

Capture a snapshot of what is communicated to the agency, based on approved budgets or budget justification and progress reports for modular grants. For the actual payments to faculty, fund managers will continue to have the same allowable rebudgeting capabilities that they do now. The rebudgeting done by a department is internal; therefore the C&G data should not be modified according to the rebudgeting. C&G data in FPM will just be used as a reference.

Benefits

Serves as a tool to improve effort reporting

Important source of reference to be reviewed for PAR certification

Excellent resource of information for completing "Other Support" for grant applications

Eliminates the need for department A to call department B to obtain percentage information for a faculty member of dept. A, receiving funding from dept B.

Process

Dean's Office takes responsibility for completing the initial massive data entry for federally funded contracts & grants. (70% of the school is already completed).

Departments are asked to keep it up to date with any changes to the existing awards, adding continuations as well as new awards.

It was decided not to enter staff. Please let us know if you see a problem with this.


Departments can add C&G data for private grants and clinical trials at their discretion.

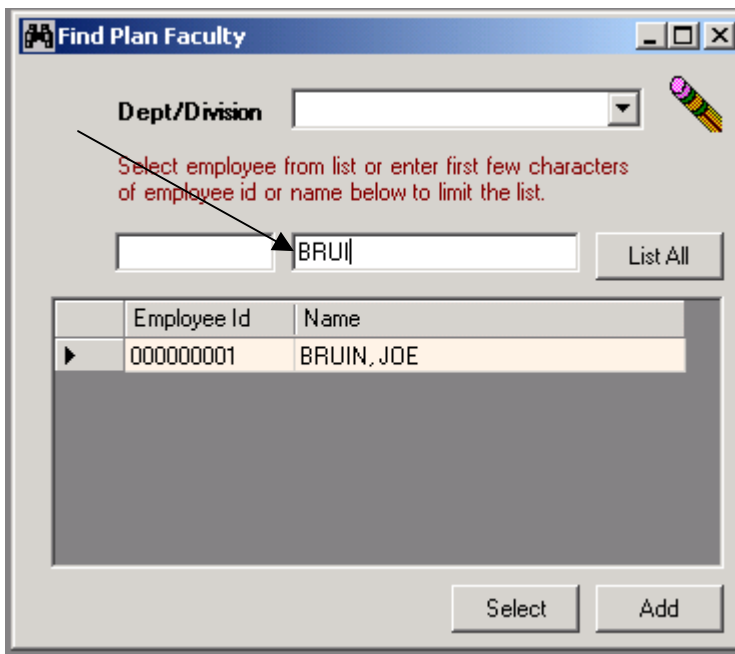
Report by Faculty


On the report by faculty member, you will see the C&G data for funds owned by your department. In addition, if your faculty receives funding from a fund owned by another department, you will see that portion as well.

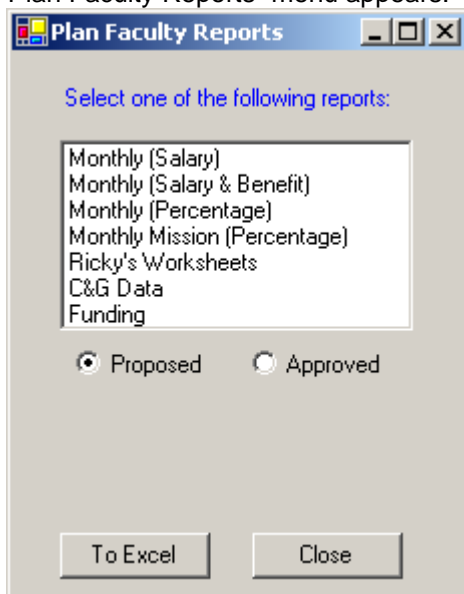
To run a report by faculty, on the Main Menu toolbar,



click on “Income and Expense” and select Plan Faculty, and from here type the first few letters of your faculty’s last name in the box indicated below, and your faculty will show in the screen. Pick the faculty you would like to see C&G data for, and press the  button. The funding screen for the faculty you selected appears. Just ignore this screen, and...



Click on the  button located at the BOTTOM, left hand corner of your screen. The “Plan Faculty Reports” menu appears:



Select “C&G Data”, and press the “To Excel” button located on the report screen.

The Excel report shows all the funding for the PI you selected. As you can see on this example, we see the Cancer Center funding for a departmental faculty member, even though Department A only entered the 2nd and 3rd lines.

C&G DATA FOR BRUIN, JOE													
9/20/2004													
10:56:04 AM													
Fund	Dept Code	Dept Title	Project Title	Agency	Agency Award Num.	Begin Date	End Date	Role	Source Doc	Effort	Amount	Cost Sharing	Comments
29XXX	2112	JONSSON CAN CTR	CORE GRANT	NIH NATIONAL CANCER INSTITUTE	5 P30 CA0XXXX	12/1/2003	11/30/2004	Other	Approved Bu	4%	5,041	No	Role on Project listed as Associate Director
30XXX	XXXX	Dept A	MOUSE STUDY	NIH NATIONAL INSTITUTE OF MENTAL HEALTH	5 R21 MXXXX	8/24/2001	6/30/2004	PI	Budget Justit	20%	-	No	Salary Unknown
30XXX	XXXX	Dept A	SIGNALING	NIH NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS & STROKE	2 R37NSXXX	8/1/2003	7/31/2008	PI	Approved Bu	40%	-	No	Salary Unknown

Report by Fund

To see the amounts and percentages for a fund owned by your department, go back to FPM, close the “Reports” pop-up box and click on “C&G Data” on the menu bar.



A blank C&G screen appears.

C&G Data

Fund Number:

Dept. Code:

Project Title

Agency

Agency Award Number

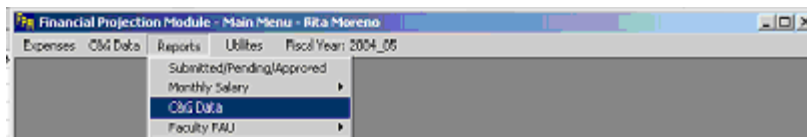
Enter the fund number, and at the bottom of the screen, you will see the information entered by the Dean’s Office so far.

You can also sort in this mode, by clicking on Name or Role or any of the other column headings.

To export to excel, just click on the button located in the middle of the screen. **You can access this data by fund, but only for the funds that your department owns.**

Report by Department/Division

If you would like to see **ALL** the C&G data for the departments/divisions you have access to, click on the “reports” button on the menu bar, and select “C&G Data”. This will send your department information to Excel.



Changes during the award

As the award progresses, you may receive official notifications approving changes for percent of effort and salary. Accepted forms of changes to salary and percent effort are:

Agency Approval Letters and
Progress Report

To make changes, click on the C&G data on the menu bar, and enter the fund number. The data for that fund will appear at the bottom of the screen. Select the record that needs to be changed, enter the changes in the top half of the screen, and hit save.

Entering C&G information for a new award

If you have C&G data on your screen, please close the window with the data in order to start a new fund.

Click on the “C&G Data” on the menu bar, and a blank form will pop up. Enter the fund number, and click on **ADD**.

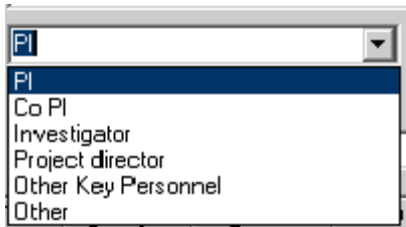
Project title, department code, agency name and award number will automatically get pulled from R-Net data and appear for the fund you selected.

The rest of the information will need to be entered in the following screen:

The accepted source documents to input new information from the drop down menu are: Agency Approved Letters, Approved Budget, Budget Justification page (for modular grants only) and Progress Report.

Enter all faculty on the grant, including those who are in other departments by selecting the name of the employee from the drop down menu. Select the appropriate source document from the drop down list.

For "Role", you can select from the drop down menu: PI, Co-PI, Investigator, Project Director, Other Key Personnel or Other.



Enter the budget begin date and end date, for the current year only.

Enter the percent effort and salary amount. If salary is cost shared, you can put a check in that box. For modular grants, you can use the budget justification for percentage of effort. Since Modular grants do not require specific salary detail by the sponsor, you may leave the salary box blank and indicate "salary unknown" in the comments box. Hit save, once you have completed the information in all the boxes. When adding the next employee for the same fund, to minimize keystrokes, stay on the record from your previous faculty you entered. Select the next name, make changes to the appropriate boxes and use the "SAVE AS" key. Your original line will be saved, and your new line will be added.

Overall Reports

It is very helpful to see departmental overall reports once all income and expense data has been entered or fed into FPM. You can see a list of departmental overall reports by clicking on "Overall Reports" on the menu bar.

To demonstrate an example of usefulness of overall reports, below is a sample of what the Monthly Salary report looks like for Staff & Other Academic for the upcoming fiscal year.

	C	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V
6	Name	Fund Group	Account	CC	"Fake" Fund	Project	200407	200408	200409	200410	200411	200412	200501	200502	200503	200504	200505	200506	Total
7	AXXXX	Endowments	44XXXX	NW	35XXXX								2,498	2,498	2,498	2,498	2,498	2,498	14,988
8	BAXXXX	C&G - NIH	44XXXX	DP	30007		3,221	3,221	3,221	3,221	3,221	3,221	3,221	3,221	3,221	3,221	3,221	3,221	38,652
9	BELXXXX	C&G - NIH	44XXXX	GB	30005			4,992	4,992	4,992	4,992	4,992	4,992	4,992	4,992	4,992	4,992	4,992	54,908
10	BERXXXX	C&G - NIH	44XXXX	GS	30011					683	717	717	717	717	717	717	717	717	6,418
11	BLXXXX	C&G - NIH	44XXXX	FB	30008								3,221	3,221	3,221	3,221	3,221	3,221	19,326

With a simple pivot table on the above report, you can create the following report which can be customized to combine certain fund groups or cost centers, etc., as dictated by various reporting needs. You can also create the pivot table by cost center or other components of the FAU (Full Accounting Unit).

	A	B	C	D	E	F	G	H	I	J	K	L
20	Sum of Total	Fund Grp										
21	Name	C&G - Federal	C&G - NIH	C&G - Private	Comp Plan	Endow.	Gifts	Indirect Cost Recovery	Sales & Serv.	State	Grand Total	
22	AXXXX					14,988					14,988	
23	BAXXXX		38,652								38,652	
24	BELXXXX		54,908								54,908	
25	BERXXXX		6,418								6,418	
26	BLXXXX		19,326								19,326	
27	BUXXXX	13,484									13,484	
28	CAMXXXX		1,806								1,806	
29	CAOXXXX		15,040			13,946					28,986	
30	CAPXXXX		1,491	10,437							11,928	
31	CHANDXXXX		25,679								25,679	
32	CHANGXXXX		22,814								22,814	
33	CHEXXXX		41,256								41,256	
34	COXXXX		2,458								2,458	
35	DAXXXXX							1,973			1,973	
36	DELXXXX		15,984								15,984	
37	DETXXXX					19,002					19,002	
38	DIXXXXX		35,670	13,530							49,200	
39	ERXXXX		32,210								32,210	
40	ESXXXX		1,295								1,295	
41	FEXXXX		35,208								35,208	
42	FINXXXX		6,704								6,704	
43	FISXXXX						12,340		22,299		34,640	
44	FONXXXX					1,222					1,222	
45	FORXXXX		3,775								3,775	
46	FUXXXX		2,885								2,885	
47	GAXXXXX		26,760								26,760	
48	GOMXXXX		26,950			26,950					53,900	
49	GORXXXX				3,796			41,756			45,552	

Utilities

Change Password

From the Utilities menu select "Change Password. You will get the following screen. Enter the old password, the new password, and then retype the new password. Click OK when done.

!!CAUTION!! You should only change your password in the initial set up of FPM so that your FPM and QDB/FPM passwords are synchronized. If you change it at a later time you must also change your QDB/FPM password.



Editing/Extending Fund End Dates for Budget and Project Periods

FPM uses the Fund End Date to determine the time frame for future projections. Under certain circumstances, such as when renewal funding has been assured but the fund table has not been updated, the Dean's office can **OVERRIDE** the date in FPM [**Note! This override only affects FPM, this change will not effect information that EFM or the Financial Table has**]. This override permits the user to enter data and obtain reports for future projections on contracts and grants. Since this override action is only intended as a temporary implementation while the fund table is being updated through the usual channels in EFM, it will only be in place for 60 days. The override will allow you to make a 12-month projection after the current end date. For example, if your award ends on 11/30/04 we will extend the date in FPM until 11/30/05. The extension will be in place for 60 days after you make your request. It is the responsibility of the department to ensure that EFM updates the fund table or to make corrections to the FPM projections if funding is not renewed. **This request is only valid for the overrides in the Financial Projection Module.**

In order for the Dean's office to make the change in FPM, please provide the following information in an email request:

Requestor:

Award Title:

PI:

FAU for contract or grant:

Current Expiration Date:

Brief Explanation:

Back up documentation:

New project end date being requested:

Sample:

Requestor: Mary Jones (mjones@mednet.ucla.edu)

Award Title: The UCLA Center for Nuclear Imaging

PI: Dr. Xavier Zamboni

FAU: 448911-ZZ-38516

Curent Expiration Date: 12/31/2005

Brief Explanation: Have received a 1-year no-cost time extension. Please update FPM to show new end date of 12/31/2006.

Please send the email request to Rita Moreno in the Dean's Office (rmoreno@mednet.ucla.edu). You will receive an email notification of the date extension. A notification email will be sent to you the day before the expiration of the extension in FPM.

The following are some instances where you might want to change the project end date

- 1) You know that a grant is being extended (e.g., a no-cost time extension) but the information has not been updated in the fund table. You want to extend the project end date to reflect this new funding period.
- 2) For some types of funding such as State Tobacco funds the fund table picks the longest project end date which may be well beyond the end date for the specific award you are managing. In this case the end date would be shortened.
- 3) For clinical trials the project end date is not as set as for other awards and can usually be extended to allow post-study payments.

Fiscal Year

From the Main Menu the right most menu item allows you to select the available Fiscal Year for data entry or review. Please note that this feature ONLY applies to plan faculty and is not relevant to staff or expense projections. Plan Faculty have their appointments on an annual basis that must be renewed and re-entered on an annual basis based on the fiscal year of July-June. For this reason there is a feature in FPM that allows you to select a particular fiscal year.

Once you have selected the Fiscal Year, that year appears in the menu bar. For example in screen below the fiscal year 2005-2006 has been selected.



For information on selecting the correct fiscal year for the budgeting process please see the information on the budget tab starting on page 101.

V. FPM/Budget Tab

Section Summary

In this section are discussed the data entry and options available in FPM during the budget season, for the purpose of budgeting Plan Faculty. Note that these options are only available during the budget process, typically from mid-January to mid-June.

Selecting the Correct Fiscal Year in FPM

From the Main Menu the right most menu item allows you to select the available Fiscal Year for data entry or review. Please note that this feature **ONLY** applies to plan faculty and is not relevant to staff or expense projections. You enter plan faculty data for upcoming years by selecting the **CURRENT** fiscal year from the drop down menu. For example to enter information for fiscal year July 06- June 07 you would select fiscal year 2005-2006. Until the budget is approved for an upcoming year, the current fiscal year provides that year's data **PLUS** any data that is entered as part of the spring budget process and even further into the future. Once the budget for the upcoming year is approved, which typically happens in June, there will be a menu selection item for the newly approved fiscal year.

Plan Faculty have their funding for their appointments on an annual basis that must be renewed and re-entered on an annual basis based on the fiscal year of July-June. Therefore, if you need to project several years into the future for the purpose of Contract & Grant reporting, enter the data in increments of fiscal years. (Please note that for entering staff into the future, you don't have the fiscal year limitation and you can enter one line to indicate several fiscal years into the future if you wish to).

When you select a fiscal year, that year appears in the main menu bar as seen below.

2005-2006

For example if we are in February 2006, select 2005-2006 if you need to make changes to the FY 05-06 data or if you want to budget for FY 06-07.



2004-2005

If it is still February 2006 and you want to view data or make retro changes to data from FY 04-05, select 2004-2005



Relationship between FPM and the Dean's Office All-Funds Budget Program

The funding information for all Plan Faculty for the upcoming budget year is entered in the All-Funds Budget Program via FPM. The information is imported into the budget program from FPM.

Other than plan faculty information, all other income and expense information required as part of the budget process is entered in the All-Funds Budget Program.

Entering Appointment and Funding Information as Part of the Budget Process

For plan faculty all information on appointments and funding must be entered into the budget via the FPM module. Please see section on Using FPM starting on page 46 for full information on entering appointment and funding data.

Funding Tab

Financial Projection Module - Main Menu - Eilish Hathaway

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Plan Faculty

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM 369

Funding Appointment Defaults Retro Z / Stipend Budget

Partial Month Begin LYM End LYM eg. 200506 Comments
☐ 200507 200606 12 months

Direct Pay Account CC Fund Fund End Project NIH Code Est. Dist %
☐ 222222 1B 29423 Cap? 9/14/2005 12 [171,900-1/1/03 to present] 0.2000

Source Salary % of Salary Monthly Salary Pay Component Mission
 NIH_01 34,380 0.1879 2,865.00 Automatic R

Delete Add

	Begin	End	Salary	Monthly	Source	Missio	Account	CC	Fund	Project	NIH CAP	Comment
▶	200507	200606	34,380	2,865	NIH_01	R	222222	1B	29423		12	
*	200507	200606	6,000	500	CPL_04	C	111111	1A	62165		0	
	200507	200606	69,000	5,750	PCG_01	C	444444	1A	79054		12	
*	200510	200511	3,050	1,525	PCG_01	C	444444	1A	79054		12	
	200601	200606	10,000	1,667	DP_03						0	
*	200607	200706	50,000	4,167	DP_03	E					0	
*	200607	200607	10,000	21,004	DP_01	C					0	
*	200607	200612	20,000	3,333	CPL_02	E			62247		0	

Reports by Indiv.

2 of 2 Find EDB Upload History PENDING Submit

FPM has automatically initiated appointments for 2006-2007 for plan faculty based on information on the 05-06 appointments. If there are changes to the appointment for 06-07 users can edit the appointment fields as shown below. The fields in white are editable and those that are beige are not. Specifically the begin and end dates, the Department, Title Code, Step, APU, Scale, Time % can be changed. In addition the boxes for Off Scale, State FTE, and NPI FTE can be checked or unchecked as needed.

Caution: Please note that special requirements and approvals are needed to change the scale, do not change this information unless the approval process has been completed.

Appointment Tab

Financial Projection Module - Main Menu - Eilish Hathaway
Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Plan Faculty

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM 369

Funding Appointment Defaults Retro Z / Stipend Budget

Begin Date: 07/01/2006 End Date: 06/30/2007 mm/dd/yy

Base X: 79,700 Department: 1400 - DOSM

Add Base <= .3: 16,200 Title Code: 1721 PROF MCP Step: 3

Add Base > .3: 0 APU: 1565AA Scale: 3 Update Salary

Delta Y: 154,100 Time %: 1.0000

TNS: 250,000 Affiliated FTE: 0.0000

☐ Off Scale
☒ State FTE
☐ NPI FTE

Delete Add

End Date	Dept	APU	Scale	Title	Step	X	AddX1	AddX2	Y	TNS	Sta
06/30/2006	1400	1565AA	3	1721	3	79,700	16,200	0	129,100	225,000	
06/30/2007	1400	1565AA	3	1721	3	79,700	16,200	0	154,100	250,000	

Reports by Indiv.

2 of 2 Find EDB Upload History PENDING Submit

Budget Tab

Below is the Budget tab of the FPM program.

Financial Projection Module - Main Menu - Eilish Hathaway
Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Plan Faculty

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM 369

Funding Appointment Defaults Retro Z / Stipend Budget

Budget Year: 2006-07

AAMC Specialty: Memer27 Sex: Male

Location: CHS Firm: X + Y

☐ Fellow ☐ No Export
☐ Chair ☐ PCCP/SFT
☐ Merit/Promotion ☒ On Z Report

Notes

☐ Fund Mgr Budget Ok ☐ D.O. Approved Updated on: 10/7/2005 1:36:36 AM
☐ Acad. Pers. Budget Ok Updated by: Elii Fanuscu

In Budget
Last Updated: 10/7/2005 2:03:10 AM

Send to Budget Delete

Reports by Indiv.

2 of 2 Find EDB Upload History PENDING Submit

At the top of the screen information on the faculty member is provided based on the name selected. Across the top of the screen is the employee ID number, then name of the faculty member and the Department code and name.

Here is an explanation of the fields for data entry:

AAMC Specialty: This field allows the user to select the appropriate Association of American Medical College (AAMC) specialty for the plan faculty member from a drop down list specific to the plan faculty member's department. There is number following the abbreviation for the specialty which refers to the table number for the AAMC data. The program uses the number "27" for "M.D. Public and Private Schools, all pay" for MCP faculty and "34" to indicate the table with data for "Ph.D. or Other Doctoral Public and Private Schools, with all pay components" for PCCP or BSCP faculty.

Location: Select the primary location for the plan faculty member from a drop down list. For example if the primary location is Center for the Health Sciences, select "CHS". If an individual is at more than one location, select the primary location, preferably based on the location with the greatest percentage of time.

Sex: Select the sex of the faculty member from the drop down list. Pre-existing faculty should have this information completed. For open positions, leave the field blank.

Firm: The term "Firm" refers to how the individual plan faculty member's salary will be handled when (and if) the Regents approve new salary scales for the budget year. The two most common choices are X+Y firm (the default value) and Y firm. X+Y firm means that the TNS for the plan faculty member remains the same even if the X is increased in the new salary scale. This is done by reducing the Y equal to the increase in X so overall salary does not change. Y firm means that if there is an increase in the salary scale, the TNS increases by this amount. In other words X increases but Y remains the same ("firm") so the overall salary increases. Which of these two options is selected can be based on Department guidelines or how individual faculty members negotiate their salary. The other choice is "UCLAPAY". UCLAPAY means the amount that UCLA is paying the faculty member remains constant, usually for faculty that have a disclosure (faculty who have salary funding from and outside source such as the VA) so even if the amount from the outside source changes the TNS will adjust so that the UCLA portion remains the same.

At the right hand side of the screen are six check boxes. These are:

Fellow: Check this box if the plan faculty member is considered a "fellow" in the Department. This is regardless of the actual title code for the member which can be Clinical Instructor.

Chair: Check this box for the faculty member who is Chair of the Department

Merit/Promotion: Check this box if a merit or promotion action pending for the plan faculty member. Check the box and enter "pending" and the date or "approved" and the date in the Notes section of the screen.

No Export: This box is checked for plan faculty members located at Olive View or Harbor and are partially funded from professional fees from the Department at Westwood. Checking this data excludes the information from being exported to ADB for salary analysis purposes.

PCCP/SFT: Check this box if the plan faculty member belongs to either of these compensation plans.

On Z Report: By default this box is checked. If a plan faculty member is ineligible for the department's Z incentive arrangement then this box should be unchecked. If the box is checked

the faculty member's name appears on the special Z report that reflects the Department's efforts to meet Schoolwide targets for Z incentives.

Notes: In the middle of the screen is a section for notes. Use this box to provide information if any of the following circumstances apply.

- part time appointment (fill in % Time on appointment screen)
- new appointment effective date
- separation effective date
- sabbatical leave dates if funded at less than full salary
- outside agency description for direct payments for source code DP_07 Direct Pay Other Affiliated Hospitals and DP_09 Direct Pay Other
- as mentioned earlier, information on effective dates for "pending" and "approved" actions

Below the notes section are some boxes that assist in tracking the status of the budget process.

There are two check boxes to monitor the review by the fund manager and the academic personnel staff respectively.

These are: "Fund Mgr Budget Ok" and "Acad Pers. Budget Ok". Note that the "Acad Pers. Budget Ok" check box will not be active (will be greyed) if the user has not been set up in FPM as an academic personnel staff member. Please call or send an email to Rita Moreno in the Dean's office if you have questions about setting up a staff member for academic personnel review functions.

Fund Managers should check the "Fund Mgr Budget Ok" box when the funding for an individual plan faculty member has been reviewed and is appropriate.

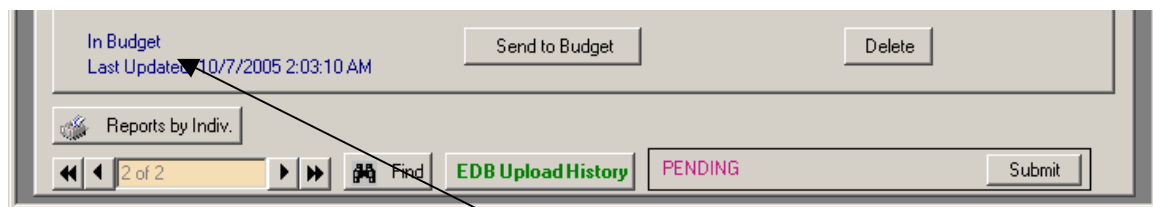
Academic Personnel should check the "Acad Pers. Budget Ok" box to designate that a review of the appointment and funding information for the individual plan faculty member has been done and is correct.

In addition to the review boxes for fund managers and academic personnel there is a check box called "DO Approved". This box is checked if the Dean's office has reviewed and approved the budget information for the individual plan faculty.

Below the Notes section at the right hand of the screen are two boxes that provide information on the last update for faculty member. The box "Updated on:" has the date and time of the last update. The box "Updated by:" has the user name who did the last update.

Information at the bottom of the screen

Caution! At the bottom of the screen are the same option buttons that appear on all the tabs (Report by Individual, CD buttons, EDB Upload History and the Status box with the Submit button). These buttons pertain to the CURRENT fiscal year and NOT to the upcoming budget year. For an explanation of these option buttons please see page 53.



Option buttons for the Budget Year

At the bottom left hand side of the screen will appear "In Budget" if information on that plan faculty member has already been sent to the All-Funds Budget Program. This will allow users to track

previous versions. It will also say “Last Updated” with a date to tell the user when the Budget information was last updated.

The “Delete” button on the right deletes the information from the “Budget Tab” of FPM. It does not affect appointments and distributions of FPM. At the same time, it deletes all of the data for that faculty from All-Funds Budget Program, including appointments and distributions.

At the bottom middle of the screen is a box that says “Send to Budget”. Once all data entries, reviews, corrections changes are made for the individual faculty member’s appointment and funding information have been made, this button is checked to send the information to the Budget program.

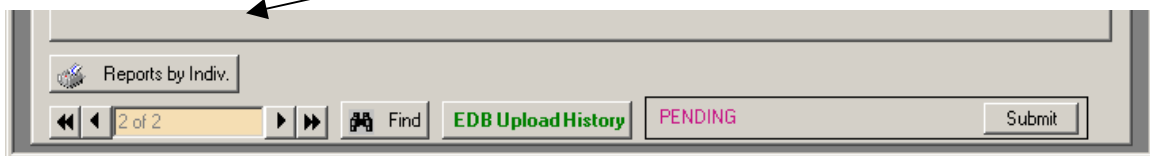
Users can keep sending information and updates to the budget until the budget is locked.

Special Reports Available During the Budget Process

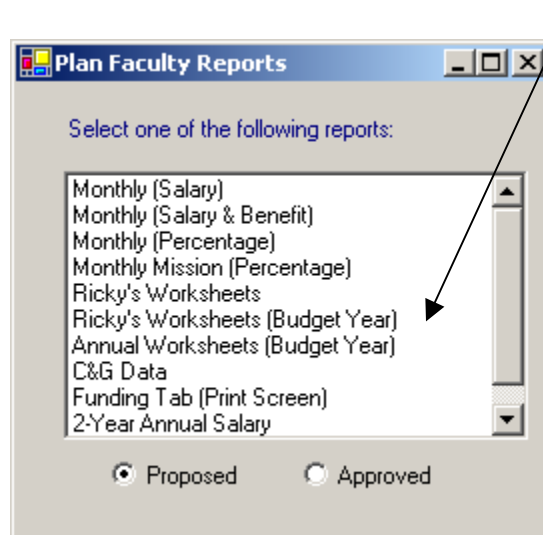
There are special reports available within FPM during the budget process. These are found in two places, Reports by Individual and Overall Reports.

Reports by Individual

Reports by Individual are accessed by a button called “Reports by Indiv” which is available from any of the tab screens for Plan Faculty. These reports are specific to an individual plan faculty member.

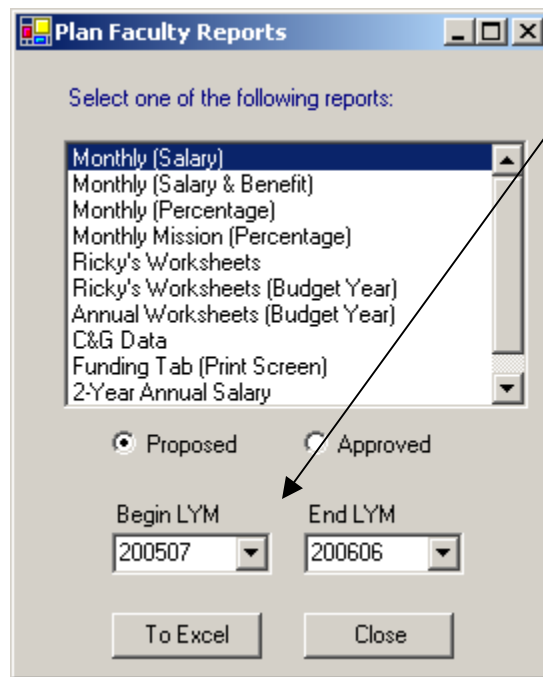


When you click on this button, you get the following list of reports. There are two reports that are applicable during the budget process, “Ricky’s Worksheets (Budget Year)” and “Annual Worksheets (Budget Year)”. In addition the two reports “2 Year Annual Salary” and “Annual Funding with Comments” (not visible on list below but available) provide budget related information.



Please note that the concepts of “Proposed” and “Approved” do not apply to the budget year.

Note: Some reports allow the user to select budget year for the report as shown below.



The values default to the current budget year but the Begin LYM and End LYM can be changed to match the upcoming budget year.

The reports that allow you to change the Begin LYM and End LYM values are
Monthly (Salary)
Monthly (Salary & Benefit)
Monthly (Percentage)
Monthly Mission (Percentage)


Sample Reports

Following are samples of the two reports that appear during the budget process, these are "Ricky's Worksheets (Budget Year)" and "Annual Worksheets (Budget Year)".

School of Medicine

Monthly Distribution Summary (Proposed)


Date: 1/20/2006

Name: SMITH, MICHAEL Emp #: 666888999 Step: 1 Offscale: N Titlecode: 1732 TNS: \$190,000 APU: 1520MD Period: 7/1/2006 6/30/2007		Dept: 1520 - Department Name Series: CLIN(COMP) Rank: Asst Prof Plan: MCP Scale: 8 %Time: 1.00 Discl Amt: 0 Ann Rate: 190,000 State FTE: N NPI FTE: N O/S Cov'd Comp: 0						
Covered Base: 53,900 Comp: 16,200 \$107,800		Monthly BRX: 4,491.67 BBX: 1,350.00 HSX: 3,141.66 NRX: 6,850.00 TNS: 190,000 15,833.33						
Base Funding Acct #: CPL_01 401013 1A 62160								
Source	Account/CC/Fund #	M	Annual \$	NIH ADJ %	RATE	Monthly \$	FTE	VAC
CPL_01	4010XX 1A 62XXX	I	38,700	0 0 0.2036	15,833.33	3,223.67	0.72	
CPL_01	4010XX 1A 62XXX	I	15,200	0 0 0.0800	15,833.33	1,266.67	0.28	
			53,900	0.2836		4,490.34	1.00	
Addl Base to .3 Funding Acct #: CPL_01 401013 1A 62160								
Source	Account/CC/Fund #	M	Annual \$	NIH ADJ %	RATE	Monthly \$		
CPL_01	4010XX 1A 62XXX	I	16,200	0 0 0.0852	15,833.33	1,349.00		
			16,200	0.0852		1,349.00		
Addl Base > .3 Funding Acct #: CPL_01 401013 1A 62160								
Source	Account/CC/Fund #	M	Annual \$	NIH ADJ %	RATE	Monthly \$		
CPL_01	4010XX 1A 62XXX	I	37,700	0 0 0.1984	15,833.33	3,141.33		
			37,700	0.1984		3,141.33		
Other Delta Funding Acct #: CPL_01 401013 1A 62160								
Source	Account/CC/Fund #	M	Annual \$	NIH ADJ %	RATE	Monthly \$		
CPL_01	4010XX 1A 62XXX	I	82,200	0 0 0.4326	15,833.33	6,849.50		
			82,200	0.4326		6,849.50		
TOTAL:			190,000	0.9998		15,830.17	0.00	

Z / Stipend							
Account/CC/Fund #	Project	Sub	Begin Date	End Date	Monthly \$	DOS	
4010XX 1A 62XXX		2	7/1/2006	7/31/2006	20000	ZDN	
					20000		

Annual Budget Worksheet (Proposed)

Date: 1/20/2006

Name: SMITH, MICHAEL		Dept: 1520 - Department Name								
Emp #: 666888999	Step: 1	Offscale: N								
Titlecode: 1732										
TNS: \$190,000	APU: 1520MD									
Period: 7/1/2006	6/30/2007									
Covered	Base:	Annual	Monthly							
Comp: \$107,800	Addl Base Up to .3:	53,900	4,491.67							
	Addl Base > .3:	16,200	1,350.00							
	Other Delta:	37,700	3,141.66							
	TNS:	82,200	6,850.00							
		190,000	15,833.33							
		Series: CLIN(COMP) Rank: Asst Prof Plan: MCP Scale: 8 %Time: 1.00 Discl Amt: 0 Ann Rate: 190,000 State FTE: N NPI FTE: N O/S Cov'd Comp: 0								
		FTE: 0.0000 VAC:								
Base Funding Acct #:										
Source	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$	FTE	VAC
CPL_01	4010XX 1A 62XXX	I	38,700	0						
CPL_01	4010XX 1A 62XXX	I	15,200	0						
			53,900	0.0000				0.00	0.00	
Addl Base to .3 Funding Acct #:										
Source	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$		
CPL_01	4010XX 1A 62XXX	I	16,200	0						
			16,200	0.0000				0.00		
Addl Base > .3 Funding Acct #:										
Source	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$		
CPL_01	4010XX 1A 62XXX	I	37,700	0						
			37,700	0.0000				0.00		
Other Delta Funding Acct #:										
Source	Account/CC/Fund #	M	Annual \$	NIH	ADJ	%	RATE	Monthly \$		
CPL_01	4010XX 1A 62XXX	I	82,200	0						
			82,200	0.0000				0.00		
TOTAL:			190,000	0.0000				0.00		0.00

Overall Reports

Overall Reports is one of the choices on the main menu bar available from any tab in FPM. These reports are department-based and give summary information for all faculty in the department.

Financial Projection Module - Main Menu - Elish Hathaway

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Plan Faculty

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM 369

Funding Appointment Defaults Retro Z / Stipend Budget

If you click on the Overall Reports option you get the following list.

Financial Projection Module - Main Menu - Elish Hathaway

Income & Expense Chart of Accounts C&G Data Overall Reports Utilities Fiscal Year: 2005-06

Plan Faculty

Employee ID: 000000001 Name: BRUIN, JOE Department: 1400 DOSM 369

Funding Appointment Defaults Retro

Submitted/Pending/Approved
Monthly Salary
C&G Data
Faculty FAU
Faculty FAU (Budget Year)
Budget Status
Retro Salary

Partial Month Begin LYM End LYM eg

Direct Pay Account CC Fund Fund End Project

Source Salary % of Salary Monthly Salary Pay Component Mission

Add

	Begin	End	Salary	Monthly	Source	Missio	Account	CC	Fund	Project	NIH CAP	Comment
▶	200507	200606	34,380	2,865	NIH_01	R	222222	1B	29423		12	
*	200507	200606	6,000	500	CPL_04	C	111111	1A	62165		0	
	200507	200606	69,000	5,750	PCG_01	C	444444	1A	79054		12	
*	200510	200511	3,050	1,525	PCG_01	C	444444	1A	79054		12	
	200601	200606	10,000	1,667	DP_03						0	
*	200607	200706	50,000	4,167	DP_03	E					0	
*	200607	200607	10,000	21,004	DP_01	C					0	
*	200607	200612	20,000	3,333	CPL_02	E			62247		0	

Reports by Indiv.

2 of 2 Find EDB Upload History PENDING Submit

Three reports are applicable to the budget process, these are:

- Monthly Salary – Budget Year – see sample below
- Faculty FAU (Budget Year) see sample below
- Budget Status – see sample below

Sample Reports

Monthly Salary- Budget Year

FUNDING BY MONTHS (PROPOSED)

FISCAL YEAR 2006-07

Dept: 1400

5/3/2006 12:41:06 PM

Name	Employee ID	Fund Group	Account	CC	Fund	Project	200607	200608	200609	200610	200611	200612	200701	200702	200703	200704	200705	200706	Total
BRUIN, JOE	000000001	C&G - NIH	441374 MC		29171		696	696	696										2,087
BRUIN, JOE	000000001	Compensation	111111 AC		62135		6,904	6,904	6,904	7,600	7,600	7,600	7,600	7,600	7,600	7,600	7,600	7,600	89,113
BRUIN, JOE	000000001	State Appropriation	0 CC		19900		6,150	6,150	6,150	6,150	6,150	6,150	6,150	6,150	6,150	6,150	6,150	6,150	73,800
BRUIN, JOE	000000001	x- Undefined	Direct Pay				4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	4,167	50,000
ZAMBONI, Z	123456789	C&G - NIH	441315 ZZ		29483		1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	16,000
ZAMBONI, Z	123456789	C&G - NIH	441315 ZZ		29484		2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	28,000
ZAMBONI, Z	123456789	C&G - NIH	441315 ZZ		29485		1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	16,000
ZAMBONI, Z	123456789	Compensation	441311 1A		62135		2,317	2,317	2,317	2,317	2,317	2,317	2,317	2,317	2,317	2,317	2,317	2,317	27,800
ZAMBONI, Z	123456789	Gifts	441315 ZZ		41618		833	833	833	833	833	833	833	833	833	833	833	833	10,000
ZAMBONI, Z	123456789	Gifts	441315 ZZ		44444		417	417	417	417	417	417	417	417	417	417	417	417	5,000
ZAMBONI, Z	123456789	Sales and Services	441315 ZZ		68888		2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	2,333	28,000
ZAMBONI, Z	123456789	State Appropriation	441312 1A		19900		7,017	7,017	7,017	7,017	7,017	7,017	7,017	7,017	7,017	7,017	7,017	7,017	84,200
Total							35,833	35,833	35,833	35,833	35,833	35,833	35,833	35,833	35,833	35,833	35,833	35,833	430,000

Total Salary Savings 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Faculty FAU (Budget Year) – Proposed Report

FACULTY FAU REPORT (PROPOSED)

FISCAL YEAR 2006-07

Dept: 15XX

1/12/2006 11:03:32 AM

Name	Employee ID	Title	Plan	FROW	APU	Scale	Step	Off-Scale	State FTE	NPI FTE	Pay Comp.	Fund Group	Fund	Account	CC	Project	Annual Amount	TNS	NIH Code	Mission
ALLO, JOHN	060211111	1732 MCP	FTEM	15XXMD	8	3	N	N	N	N	AddX1	Compensation Pli 62XXX	40XXXX 1A				17,980	200,000	0	C
ALLO, JOHN	060211111	1732 MCP	FTEM	15XXMD	8	3	N	N	N	N	AddX2	Compensation Pli 62XXX	40XXXX 1A				41,880	200,000	0	C
ALLO, JOHN	060211111	1732 MCP	FTEM	15XXMD	8	3	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1A				43,880	200,000	0	C
ALLO, JOHN	060211111	1732 MCP	FTEM	15XXMD	8	3	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1C				15,980	200,000	0	E
ALLO, JOHN	060211111	1732 MCP	FTEM	15XXMD	8	3	N	N	N	N	Y	Compensation Pli 62XXX	40XXXX 1A				80,180	200,000	0	C
BROWN, MARY	503250000	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	AddX1	Compensation Pli 62XXX	40XXXX 1A				16,188	190,000	0	C
BROWN, MARY	503250000	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	AddX2	Compensation Pli 62XXX	40XXXX 1A				37,696	190,000	0	C
BROWN, MARY	503250000	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1A				38,684	190,000	0	C
BROWN, MARY	503250000	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1C				15,200	190,000	0	E
BROWN, MARY	503250000	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	Y	Compensation Pli 62XXX	40XXXX 1A				82,194	190,000	0	C
CHASE, BEVER	900488888	1734 MCP	FTEM	15XXMD	8	1	N	N	N	N	AddX1	Compensation Pli 62XXX	40XXXX 1A				23,586	238,000	0	C
CHASE, BEVER	900488888	1734 MCP	FTEM	15XXMD	8	1	N	N	N	N	AddX2	Compensation Pli 62XXX	40XXXX 1A				54,883	238,000	0	C
CHASE, BEVER	900488888	1734 MCP	FTEM	15XXMD	8	1	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1A				64,256	238,000	0	C
CHASE, BEVER	900488888	1734 MCP	FTEM	15XXMD	8	1	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1C				14,226	238,000	0	E
CHASE, BEVER	900488888	1734 MCP	FTEM	15XXMD	8	1	N	N	N	N	Y	Compensation Pli 62XXX	40XXXX 1A				20,992	238,000	0	C
CHASE, BEVER	900488888	1734 MCP	FTEM	15XXMD	8	1	N	N	N	N	Y	Compensation Pli 62XXX	40XXXX 6J				59,976	238,000	0	C
CONNER, MICH	202711111	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	AddX1	Compensation Pli 62XXX	40XXXX 1A				16,188	190,000	0	C
CONNER, MICH	202711111	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	AddX2	Compensation Pli 62XXX	40XXXX 1A				37,696	190,000	0	C
CONNER, MICH	202711111	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1A				41,088	190,000	0	C
CONNER, MICH	202711111	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	X	Compensation Pli 62XXX	40XXXX 1C				12,797	190,000	0	E
CONNER, MICH	202711111	1732 MCP	FTEM	15XXMD	8	1	N	N	N	N	Y	Compensation Pli 62XXX	40XXXX 1A				52,193	190,000	0	C

Budget Status Report

This report provides an overall picture of the review process within a department in order to track the status of the budget review process. There are entries for the fund manager and academic personnel review options which show if these reviews have been done.

The following is a sample of the Budget Status Report.

Dept Code	Dept Title	Employee ID	Name	Fund Mgr. OK	Acad. Pers. OK
15XX	Department Name	308123111	ALLO, JOHN		
15XX	Department Name	308123112	BELKOFF, MARY		
15XX	Department Name	308123113	GRANGER, GEORGE		

VI. Appendix

Criteria Specifications

Plan Faculty

Plan Faculty include those with academic appointments (Clinical Instructor, Assistant Professor, Associate Professor and Professor) who are members of a Compensation Plan. Plan Faculty are paid from the Sub 00 and must have one of the title codes listed in the Appendix on page 130.

Other Academic

Other Academic include faculty members with appointments under classifications that are not part of a Compensation Plan. These include the Researcher series, Visiting Series and other similar categories. They can be paid from Sub 00, 01 or 02.

Date Source: “Paid” vs. “Earned”

For payroll reports you are asked to enter a “Date Source” which can be either “Ledger Year/Month (paid)” or “Pay Period Ending (earned)”. The following is an example of this for the salary by month reports.

These two terms frequently cause confusion so the following explanation is provided.

If you select Ledger Year/Month (paid) you get all payroll transactions based on the ledger month that they appeared without regard to the month to which the payment applies. In particular when you have retroactive salary corrections for this date source selection the payments are reported based on the ledger NOT on the month to which payment applies. Selecting Ledger Year/Month is most useful for ledger reconciliation but may lead to misleading or confusing information if used to show PIs a clear picture of salary payments.

If you select Pay Period Ending (earned) you get all payroll transactions based on the month to which the payment applies regardless of what ledger/month the payment “hit”. If there are retroactive corrections for a particular pay month, selecting Pay Period Ending gives a better view of seeing the net effect of any and all corrections and salary payments for a particular month.

The following “Little Test” may be helpful in clarifying the difference.

Let’s say someone gets paid as follows:

*In January gets paid for January salary=line 1
In February gets paid for February salary=line 2
And also for January retro = line 3*

If you choose January to January and Date source = Ledger Year/Month (paid) you will get line(s)?

Answer: 1 (only payment on January ledger)

If you choose January to January and Date Source=Pay Period Ending (earned) you will get line(s)?

Answer: 1 and 3 (there were payments for January on both January and February ledgers)

If you choose February to February and Date source = Ledger Year/Month (paid) you will get line(s)?

Answer: 2 and 3 (there are two salary payments on the February ledger)

If you choose February to February and Date source =Pay Period Ending (earned) you will get line(s)?

Answer: 2 (only one payment on February ledger applied to February, other one was for January)

You can get very different results for payroll reports depending on the Date Source selected. In the following examples, the same information was requested (same FAU, same Date Range etc) but in the first example the Date Source is Ledger Year/Month (paid) and the second is Pay Period Ending (earned). In this example there are a number of retroactive adjustments that causes the differences in the reports.

Payroll by Month Report with Date Source=Ledger Year/Month (paid)

C13 = 958587777

Sub	Employee Name	Employee ID	200505	200506	200507	200508	200509	200510	200511	Total Salary
00	ZAMONI,ZAVIER	802121587					27,297	0		27,297
00 Total							27,297	0		27,297
01	TOAST,MELBA	282123255	1,927	1,927	3,854		26,979			34,688
01 Total			1,927	1,927	3,854		26,979			34,688
02	TOAST,MELBA	282123255			1,927	1,927		5,781	(1,860)	7,776
	JONES,TOM	875421022					38,450	(15,380)		23,070
	FIELDS,STACY	958587777	6,670	6,670	20,010	6,670	33,350	6,670	(6,437)	73,603
	MCDONALD,RONALD	654653213		4,025	4,025	4,025	4,025	4,025	4,306	24,431
	TIGER,TONY	554567647	1,054	1,054	3,162	1,054	34,150	1,054	(21,139)	20,388
02 Total			7,724	11,749	29,124	13,676	109,975	17,530	(40,510)	149,268
Total Salary			9,651	13,676	32,978	13,676	137,271	44,509	(40,510)	211,253

Payroll by Month Report with Date Source=Pay Period Ending (earned)

Sub	Employee Name	Employee ID	200412	200501	200502	200503	200504	200505	200506	200507	200508	200509	200510	200511	Total Salary
00	ZAMONI,ZAVIER	802121587								9,099	9,099	9,099			27,297
00 Total										9,099	9,099	9,099			27,297
01	TOAST,MELBA	282123255	3,854	3,854	3,854	3,854	3,854	3,854	3,854						26,979
01 Total			3,854	3,854	3,854	3,854	3,854	3,854	3,854						26,979
02	TOAST,MELBA	282123255								3,854	3,854		67		7,776
	JONES,TOM	875421022	3,845	3,845	3,845	3,845	3,845	3,845	0	0	0	0			23,070
	FIELDS,STACY	958587777	6,670	6,670	6,670	6,670	6,670	6,670	6,670	6,670	6,670		233		60,263
	MCDONALD,RONALD	654653213							4,025	4,025	4,025	4,025	4,166	4,166	24,431
	TIGER,TONY	554567647	3,373	3,373	3,373	3,373	0	0	0	0	0	0	0	151	13,643
02 Total			13,888	13,888	13,888	13,888	10,515	10,515	10,695	14,549	14,549	4,025	4,466	4,317	129,183
Total Salary			17,742	17,742	17,742	17,742	14,369	14,369	14,549	23,648	23,648	13,124	4,466	4,317	183,459

Pay Period Ending (earned) / Ledger_Month (paid) / Sheet1 / Sheet2 / Sheet3

Fund Ranges

Fund Range Table		
Fund Range		Fund Category
00300-01999		Plant Fund Group
02200-03999		Loan Fund Group
00001-00299		Current Fund Group-agency funds
04100-09799		Endowments and similar funds (prin approp)
18000-18199	*	State of California-appropriations
18200-18999	*	State of California-agencies
19900-19999		General Funds
20000-20289		Students Tuition and Fees- Regular session
20290-20399		Students Tuition and Fees-Summer session & UNEX
20400-20939	*	State of California
20940-20999	*	Local Government
21000-33999	*	Federal Government
34100-39799		Endowment Income
39800-576999		Private Gifts
57000-59999		Private Contracts and Grants
60000-62999		Sales and Service-Educational Activity
63000-63999		Sales and Service-Teaching Hospital
64000-65999		Sales and Service-Educational Activity
66000-69999		Other Sources
67400-67999		Other Sources-Work Study
68000-69999		Other Sources
70000-74999		Sales and Service-Auxiliary Enterprises
75000-75999		Reserves
76000-76999		Reserves-Renewals and Replacements
77001-77149	*	Local Government-Grants and Contracts
78000-79999	*	Private Gifts, Grants and Contracts
* Inception Funds		

Faculty Plan Glossary

11-month or Fiscal Year appointment	An academic appointment for 12 continuous calendar months (a fiscal year) in which the individual renders service less accrued vacation.
AAMC	Abbreviation for the Association of American Medical College, and organization comprised of medical schools in the U.S. and Canada.
Above-Scale	This refers to a full-time salary rate for an academic appointee which exceeds the maximum salary designated for the title series in the published salary scales.
Academic Programmatic Unit / code (APU)	The grouping of faculty based on similar duties and tasks for purposes of determining the health science salary scale. The six-digit numeric/alpha designation of the unit.
Additional Compensation	Compensation that may be received beyond the base salary. An amount may be negotiated and paid out on a monthly basis. See Delta Y and Delta Z.
Additional Retirement Increment or Additional X	The portion of salary above the Scale 0 or 11-month Scale that is covered compensation for retirement purposes. It is part of the Base Salary (sometimes referred to as Additional X) for MCP (also OCCO) and BSCP 7/1/00
APU	See Academic Programmatic Unit above
Base Salary or Base X	The portion on one of the Health Sciences Salary Scales 0-9 for MCP or 0-3 for BSCP or just scale 0 or the 11-month salary rate for other plans. The base salary is covered compensation for retirement purposes.
Benefit Allocation Factor	A percentage determined on an actuarial basis by UC Benefit Office of the cost of the increased liability to UCRP due to increased Covered Compensation of the Health Sciences Scales.
BSCP	Basic Science Compensation Plan. The term used at UCLA SOM for that portion of new Health Sciences Comp Plan 7/1/00 dealing with faculty who do not have patient care responsibilities.
CHS	An abbreviation for the Center for Health Sciences at UCLA.
Compensation Plan	Also called "Comp Plan". Salary plan(s) for compensating academic appointees to meet competitive requirements of the discipline. Academic appointees must meet certain eligibility requirements for membership and abide by the terms of the plan.
Delta	Another term for Additional Compensation. It is the amount above the applicable Health Sciences Scale. Also referred to as "Y" or "Z".
Delta Factor or Factor to X	Total of all salary components above the 11-

	month salary (Scale 0) divided by Scale 0 or 11-month rate.
Delta Y	The amount negotiated above the Health Sciences Salary Scale that is paid out on a monthly basis (effective 7/1/00).
Delta Z	The amount that is supplemental compensation in the form of an incentive or bonus payment and may be paid at varying times during the year, such as quarterly. Not to be implemented 7/1/00 for BSCP until further discussion.
E.B.	An abbreviation for Employee Benefits
FAU	The term FAU stands for Full or Financial Accounting Unit and consists of the location code, six digit account number, five digit fund number, two digit cost center and (optionally) a six digit project code. The FAU serves as a unique identifier for funding and accounting purposes.
Fellow	A graduate student or scholar doing advanced study in a field.
Firm	A term used to describe what part of the salary negotiation for an individual is to remain the same when the health science scale rates change for range adjustments and/or merits and promotions. For example X+Y Firm.
Full-Time Appointment	A full-time academic appointment is defined as an appointment at 100 percent time, regardless of the appointment's duration. As an exception, for the Professor of Clinical X series and the Professor in Residence series, a faculty member may be considered full-time, if the Chancellor certifies that the individual's sole professional commitment is to the University.
Full-time Equivalent, FTE	This budget term is used to describe a unit equal to a full-time (100 percent) position for one year.
HSCP	Health Sciences Compensation Plan. The Regents' Plan for medical school faculty who do not have patient care and other health sciences schools of Dentistry, Nursing, Pharmacy with faculty who do or do not have patient care responsibilities. Also includes Medical Clinical.
Health Science Reserve Fund	The account or fund created by UCOP to capture contributions and to allow accumulations to grow in order to partially offset the increased liabilities to the UCRP (retirement) due to increase of covered compensation under the 1993/1994 Health Science Compensation Plan. An allocation factor equal to UCRP's normal cost will be multiplied against all covered compensation above Scale 3.
Health Sciences	At UCLA Schools of Dentistry, Medicine, Nursing, and Public Health comprise the Health Sciences.

Housestaff	Also known as Interns and Residents. See Medical Resident
ILP	Income Limitation Plan. An arrangement under MCP whereby the private practice earnings of the individual is limited by an assessed amount turned over to the university.
Interns	For appointees with an M.D. or D.O., it is the first-year medical residency or "internship" of one year of hospital-based experience in the practice of medicine through supervised study and training as a physician.
Ladder Rank Faculty	Ladder rank faculty are faculty holding tenured titles or non-tenured titles in a series in which tenure may be conferred. These series are: Professorial series, Clinical Professor of Dentistry (50% time or more) series, and Supervisor of Physical Education.
Location	The site at which an individual performs his or her teaching, research, and clinical duties for the University. For example CHS, Harbor, Olive View. The primary location would be the site at which an individual spends the greatest amount of time.
MCP	Medical (School) Clinical (Compensation) Plan. The Regents' plan for medical school faculty who do have patient care responsibilities or the clinical professional equivalent now combined with AHSCP and called Health Sciences Compensation Plan.
Medical Residents	For appointees with an M.D. or D.O., it is the second-year or beyond of supervised training towards a particular specialty or sub-specialty of medicine. They are eligible for licensure to practice medicine in California and are completing supervised study and training in an ACGME approved specialty or sub-specialty training program.
NIHCAP	A term used to describe the capping or limiting of salary rates by the federal agencies of National Institutes of Health (NIH) and Alcohol, Drug Abuse and Mental Health Administration (ADAMHA). Effective with FY99 the limit is restricted to the Executive Level III of the Federal Executive Pay Scale.
Off-Scale	The salary for an appointee at a certain rank and step is designated off-scale if the salary is higher than the published salary at the designated rank and step for the relevant title series- (APM-620).
PCCP	Pre-clinical Clinical Compensation Plan, an arrangement under MCP for non-physicians (i.e., non-M.D.s) with patient care or professional equivalent responsibilities.
PCN / CPN	An abbreviation for Primary Care Network, UCLA's expansion of location sites in the community that provides general medical care.

	As of 2004 the network became known as the Community Physician Network (CPN). The doctors have Staff Physician paid appointments.
Permanent Funding	Sources as identified on the University's on-going (permanent) budget. The most common source is State-General Funds fund code of 19900. Others are Sales & Services Funds, such as Compensation Plan funds coded 6XXXX.
Practice Plan	Under the auspices of the Compensation Plan, departments operate in developed group or individual professional endeavors (practices) of medicine.
Professor Series/ Professorial Series	The Professor (or Professorial) series is used for appointees who are members of the faculty of an academic or professional college, school or program of the University and who have instructional, research, and University and public service responsibilities. The Professor series is limited to the titles Professor, Associate Professor, and Assistant Professor. A permanently budgeted position must be allocated before an appointment is made in this series.
Rank	A level of appointment within a title series, e.g., Assistant or Associate.
Salary Savings	The portion of General Funds (19900) that may be made available during a year from permanently budgeted (roster) positions that are temporarily vacant or unused.
SFT	Strict Full Time, a plan established in 1966 for faculty who do not have patient care responsibilities. Also referred to as Preclinical Strict Full Time Plan. Not open to new faculty. See Basic Science Plan.
Standard Delta Factor or Standard Y	Used in the Basic Science Plan to denote an established level of factors to base salaries that all individuals with the same rank and step are to receive. The standard levels are determined annually by the Provost/Dean. NO LONGER USED.
Temporary Funding	Any fund source not part of the campus' permanently budgeted funds or permanent budgeted funds used on a current year basis. Extramural fund sources, such as Federal Contracts & Grants, are temporary.
Tenure	Tenure is the status of an Associate Professor and Professor and positions of equivalent rank which are continuous until ended voluntarily by retirement or resignation, or involuntarily by demotion or dismissal. An appointment with tenure may be terminated by The Regents only for good cause, after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate.

Tenure Track	Academic titles which confer tenure or which permit promotion to tenure.
Title & Title Code	Name of the academic position and the 4-digit numeric designation for payroll and reporting requirements.
Title Series	Academic titles which carry a rank designation (I.e., Assistant, Associate) for which promotion from one rank to a higher rank is possible are designated as a title series. For example, Professor in Residence series.
TNS	Total Negotiated Salary. In most cases this is the total of all X's and Y's paid out during the year on a monthly basis.
Total Compensation	The combination of the Base Salary and Additional Compensation.

Faculty Fund Source Codes

<u>Grouping</u>	<u>New Code</u>	<u>Description</u>
State Funds	STATE_01	State Funds-199XX-Dept FTE
	STATE_02	State Funds-199XX-Dept Temp
	STATE_03	State Funds-199XX-NPI FTE
	STATE_04	State Funds-199XX-NPI Temp
	STATE_05	State Funds-199XX-Letters & Sciences Tem
	STATE_06	State Funds-19900-Other Schools, e.g. Publ Hlth
	STATE_07	State Funds-19900 Chancellor
	STATE_08	State Funds 19910 Geriatric Research
Federal C& G	NIH_01	Fed C& G-NIH/SAMHSA
	FCG_01	Fed C&G-Other Agenices
Private	PCG_01	Private-Contracts & Grants
	CTR_01	Clinical Trials
	GFT_01	Private-Gifts
	END_01	Endowments
Local Gov't	LCG_01	Local Gov't-L.A. Co. Agmt-Harbor
	LCG_02	Local Gov't-L.A. Co. Agmt-OI Vw
	LCG_03	Local Gov't -Other
	SCG_01	State Agmt
Prof. Fees	CPL_01	Prof Fees-Dept
	CPL_02	Prof Fees-Academic Enrichment
	CPL_03	Prof Fees-Salary Savings-Fund Source Exchange
	CPL_04	Prof Fees-Med Ctr Rechg-Medical Directorships (3901)
	CPL_05	Prof Fees-Med Ctr Trsfs-Gen. & Other Supp. (3907, 119850)
	CPL_06	Prof Fees-CPN/SCN (3906)
	CPL_07	Prof Fees-NPH -Admin & Pat Care (3901)
Dean	DEAN_XX	Dean's Office Support -Unassigned
	DEAN_01	Dean's Office Support
	DEAN_02	Student Fees-Dean's Office (EDR)-20226
	DEAN_03	State Funds-199XX-Deans Office (Fund Source Exchange)
	DEAN_04	State Funds-199XX-Dean's Office Salary Savings Support
	DEAN_05	Other-Dean's Office /Provost
	DEAN_06	Other-Dean's Office/Geffen Fund (new 06-07)
Other UCLA	ICR_01	Indirect Cost Recovery-Opportunity & Overhead
	SNS_01	Other-6XXXX (Sales & Services & Other)
Direct Pay	DP_01	Direct Pay-Vet Admin-Wadsworth (West LA)
	DP_02	Direct Pay-Vet Admin-Sepulveda
	DP_03	Direct Pay-Vet Admin-Brentwood
	DP_04	Direct Pay-L.A. Co. Harbor
	DP_05	Direct Pay-L.A. Co. OI Vw
	DP_06	Direct Pay-L.A. Co. MLK
	DP_07	Direct Pay-Other Affil Hosp E
	DP_08	Direct Pay- HHMI
	DP_09	Direct Pay- Other

- ***STATE FUNDS-199XX (See also Dean's Sources)***

These are sources provided to the University by the State of California that the Accounting Office assigns in the fund block 19900-19999. General Funds (19900) are the most common source in this category. They may be used to fund an individual's base salary equal to the Fiscal Year (11-Month) Regular Salary Scale 0 only subject to Academic Personnel policy limitations regarding the title series. Geriatric Research Funds 19910 may be used only for base salaries of non-Regular Rank state-supported faculty. Funds in block 19900-19999 may not be used for Plan members' compensation above Scale 0. For temporary 19900 funds provided by the Dean's Office, refer to the section below under **Dean's Office Sources**.

For our budgeting purposes we have designated six Sources as follows:

STATE_01 State Funds 199XX-Dept FTE.

Use this source code to designate those 19900 funds that are assigned to the department for filled positions.

STATE_02 State Funds 199XX-Dept Temp.

Use this source code to designate those 19900 funds that are available to the department from salary savings or positions allocated to the department but currently unfilled on a permanent basis.

STATE_03 State Funds 199XX-NPI FTE

Use this source code to designate those 19900 funds that are assigned to the NPI for filled positions. For example, Psychiatry, Neurology, and Pediatrics have faculty with NPI FTE.

STATE_04 State Funds 199XX-NPI Temp.

Use this source code to designate those 19900 funds that are available to the department from salary savings or positions of NPI FTE but are currently unfilled on a permanent basis.

STATE_05 State Funds 199XX-Letters & Sciences Temp.

Use this source code to designate those 19900 funds that are to be provided from the College of Letters and Sciences. Although the individual may have a permanent FTE in Letters and Sciences, for our School's Budget we will designate these funds as "temporary".

STATE_06 State Funds 19900-Other Schools, e.g. Public Health, Dentistry

Use this source code to designate those 19900 funds that are to be provided from other Schools, such as Public Health or Dentistry. Although the individual may have a permanent FTE in Public Health or Dentistry, or some other School, for our School's Budget we will designate these funds as "temporary".

STATE_07 State Funds 19900 Chancellor

Use this source code to designate those 19900 funds that are provided from the Chancellor for service to central committees, boards, etc.

STATE_08 State Funds 19910 Geriatric Research (EDR)

Use this source code to designate support from the Geriatric Research Fund 19910. This fund may be used only for base salaries of non-Regular Rank state-supported faculty.

• **FEDERAL CONTRACTS AND GRANTS**

These are sources provided to the University through contracts and grants with federal agencies that the Accounting Office assigns in the fund block 21000-33999. Only include funded renewals or continuation grants. Do not include any grant up for competitive renewal unless you have received funding notification.

For our budgeting purposes we have designated two Sources as follows:

NIH_01 Federal C& G-NIH/SAMHSA/AHRQ

Use this source code for awards made by the National Institutes of Health (NIH), the Substance Abuse and Mental Health Services Administration (SAMHSA), or the Agency for Healthcare Research and Quality (AHRQ). From awards made by these agencies from appropriation funds as of January 1, 2005, the salary limitation (NIHCAP) annual rate was increased to \$180,100. See the Dean's Office Academic Personnel website for a complete history or the government website http://grants.nih.gov/grants/policy/salcap_summary.htm.

FCG_01 Fed C& G-Other Agencies

Use this source code for awards made by federal agencies other than NIH, SAMHSA, or AHRQ.

• **PRIVATE CONTRACTS, GRANTS, GIFTS, AND ENDOWMENTS**

These are sources provided to the University from private or non-governmental agencies. The Accounting Office assigns private contracts, grants, and gifts to fund blocking 39800-59999 and 78000-79999. For endowments or funds functioning as endowments, the Accounting Office assigns them to fund blocking 04100-09799, while the endowment income is assigned to 34100-39799. See also the Dean's Office sources for Other-Dean/Provost for Opportunity funds.

For our budgeting purposes we have designated four Sources as follows:

PCG_01 Private-Contracts & Grants

Use this source code to designate those awards that are processed through the Office of Sponsored Research (formerly Office of Contract and Grant Administration).

CTR_01 Clinical Trials

Use this source code to designate those funds for clinical trials. You may have previously coded these with Private Contracts & Grants. UCLA's Office of Contract and Grants Administration issued the following definition of a clinical trial.

"A clinical trial is a study designed to assess in humans the safety, efficacy, benefits, adverse reactions, and/or other outcomes of drugs, devices, diagnostics, treatments, procedures, medical evaluations, monitoring or preventive measures."

GFT_01 Private-Gifts

Use this source code to designate those awards that are processed through the Public Affairs and Development Office.

END_01 Endowments

Use this source code to designate sources in blocking 04100-09799 and 34100-39799 except Opportunity Fund number 09549 from Department or Dean's funding. See Source code ICR_01 for Opportunity Funds-Department and DEAN_XX for Dean's/Provost's funds.

• **LOCAL GOVERNMENT**

These are contracts and grants awarded by local government agencies and the State, including special State appropriations, and assigned by the Accounting Office in fund blockings 18000-18887, 18889-18999, 20400-20999, 77001-77149. For funds provided for General funding purposes from the State see STATE_01 to STATE_08.

For our budgeting purposes we have designated the Sources as follows:

LCG_01 Local Gov't-L.A. Co. Agmt- Harbor

Use this source code to designate that portion of the Operating Agreement with Los Angeles County for teaching and professional services at Harbor-UCLA Medical Center.

For direct pay to the faculty member by Los Angeles County, refer to Source DP_04, Direct Pay-Los Angeles County, Harbor.

LCG_02 Local Gov't-L.A. Co. Agmt- Olive View

Use this source code to designate that portion of the Operating Agreement with Los Angeles County for teaching and professional services at UCLA - Olive View Medical Center. For direct pay to the faculty member by Los Angeles County, refer to Source DP_05, Direct Pay-Los Angeles County, Olive View.

LCG_03 Local Gov't-Other

Use this source code to designate awards from local government agencies in fund blocking 20940-20999 and 77001-77149 excluding those funds designated for the L.A. County agreements for Harbor and Olive View for any open year.

SCG_01 State Agreements

Use this source code to designate the specific contracts and grants or special appropriations in fund blockings 18000-18887, 18889-18999, and 20400-20939. Funds awarded by the University-wide Task Force on Aids (funds 20520-20522) may not be used for Regular Rank state-supported faculty. Also, they may not be used for compensation above Scale 0 for any faculty.

- **PROFESSIONAL FEES**

These are funds pursuant to the terms of the respective Compensation Plans. These funds are assigned in the fund blocking 60000-69999.

For budgeting purposes we have identified breakdown categories as follows:

CPL_01 Prof Fees-Dept

Use this coding to designate the department's professional fees that are derived from current operations. See other codes for current funds provided by the Dean Offices and recharges to the UCLA Medical Center and the Neuropsychiatric Hospital. See CPL_02 for special circumstances, including use of compensation plan funds pending receipt of contracts and grants.

CPL_02 Prof Fees-Academic Enrichment

Use this coding to designate the department's professional fees that have been designated for academic improvement for the division or individual or if the department is temporarily using compensation plan funds pending approved contracts and grants. A pop-up box will appear for selection of type of use, such as, "pending contracts and grants", "start-up", "retention", or use of "individual reserves". Use of this code will create a report detailing the identified categories.

CPL_03 Prof Fees-Salary Savings-Fund Source Exchange

Optionally, use this coding to designate the department's professional fees that will be received in exchange for other sources. Example, faculty in the Department of Psychiatry receive 19900 funds for service on committees that are exchanged with professional fees from the Dean's Office. Use of this source code will create a revenue entry (Inter fund Recharge/Transfer) as well as expense.

CPL_04 Prof Fees-Med Ctr Rchg-Medical Directorships (3901)

Use this coding to designate professional fees generated by recharging the UCLA Medical Center for faculty services provided for administrative functions and patient care services, such as Primary Care and Emergency. Use of this source code will create a revenue entry (Medical Center Recharges/Transfers) as well as expense.

CPL_05 Prof Fees-Med Ctr Support

Use this coding to designate support for faculty salaries from the UCLA Medical Center. Use of this source will create a revenue entry (Medical Center Recharges/Transfers) as well as expense.

CPL_06 Prof Fees-Community Physician/Specialty Care Network

Use this coding to designate professional fees generated by recharging the UCLA Community Physician Network or Specialty Care Network for faculty services provided for administrative functions and patient care services. Use of this source code will create a revenue entry (CPN/SCN Recharges) as well as expense. It is expected that this may be phasing out as the CPN has its own fund number and faculty now have staff physician titles. Also, the SCNs are transitioning back to departments.

CPL_07 Prof Fees-NPH-Admin & Pat Care

Use this coding to designate professional fees generated by recharging the Neuropsychiatric Hospital for faculty services provided for administrative functions and patient care services. Use of this source code will create a revenue entry (Medical Directorships) as well as expense.

DEAN'S OFFICE SOURCES

DEAN_XX Dean's Office Support-Unassigned

Departments will only be able to use this coding to designate Dean's Office Sources. Use of this code will generate expenses to the department's professional fees and a corresponding revenue amount (Support from Dean's Office) to department's professional fees. The Dean's Office will be assigning one of the other Dean's Office codes as funding becomes approved. Explanations and descriptions will be required.

DEAN_01 Dean's Office Support

The Dean's Office will select this code if the source is to be one of the Dean's Office compensation plan professional fee sources. Use of this code will generate expenses to professional fees and a corresponding revenue transfer (Support from Dean's Office) in to professional fees. Explanations and descriptions will be required.

DEAN_02 Student Fees-Dean's Office -20226

Use this source code to designate support from the Dean's Office from fund 20226, Student Fees. Use of this code will generate expenses to the professional fee portion of the budget and a corresponding revenue amount (Support from Dean's Office). Explanations and descriptions will be required.

DEAN_03 State Funds-199XX-Dean's Office (Fund Source Exchange)

The Dean's Office will select this code to designate use of temporary 19900 funds that will be made available to the department in exchange of a transfer from the department of professional fee funds. Use of this code will not affect plan faculty expenses to the department's professional fee funds, but will generate a negative Interfund Transfer, ie. "Transfer Out."

DEAN_04 State Funds 199XX-Dean's Temp.

Use this source code to designate those 19900 funds that are to be provided as support from the Dean's Office salary savings on a temporary basis. Use of this code will not affect plan faculty expenses or revenues to the department's professional fee funds. Explanations and descriptions will be required.

DEAN_05 Other-Dean's Office

The Dean's Office will select this code to designate funds requested from the Dean's Office that may be from Dean's grants, gifts, endowments, including Dean's Opportunity Funds 09549 and Dean's Federal Contract & Grant Overhead fund 69749 & 69750, and so forth. This code will be used for any funding from the Provost Office. Use of this code will not affect

plan faculty expenses or revenues to the department's professional fee funds. Explanations and descriptions will be required.
DEAN_06 Other-Dean's Office/Geffen Fund

- **OTHER UCLA SOURCES**

For budgeting purposes we have designated the following two Sources:

ICR_01 Opportunity Funds-Department

Use this source code to designate a new source code for Departmental Opportunity Funds for fund number 09549. If the Opportunity Funds are from the Dean's Office, however, use source code DEAN_XX, as that source code generates the menu for extra information required for Dean's Office Funding Requests.

SNS_01 Other-6XXXX

These are funds assigned in the fund blocking 60000-69999 but are not the Professional Fee (plan) funds. Generally these are other revenue-generating activities of a department. If these sources are supporting a material part of a Plan member's salary due to her providing ongoing services to their operations, then the department should not directly charge the 6XXXX source. The salary should instead be charged to the professional fee fund (see CPL_01 to CPL_07 above) and the revenue-generating activity should be recharged for the salary cost.

- **DIRECT PAY**

These are amounts paid directly to the individual and are included as part of the total negotiated salary. For budgeting purposes we have designated the following nine Sources:

DP_01 Direct Pay-Vet Admin-Wadsworth

DP_02 Direct Pay-Vet Admin-Sepulveda

DP_03 Direct Pay- Vet Admin-Brentwood

Use these source codes for direct salary payments to the individual from the Veterans' Administration Hospital- Wadsworth, Sepulveda, and Brentwood, respectively.

DP_04 Direct Pay Los Angeles County-Harbor

DP_05 Direct Pay Los Angeles County-Ol Vw

DP_06 Direct Pay Los Angeles County-MLK

Use these source codes for direct salary payments to the individual from the Los Angeles County locations of Harbor, Olive View, and Martin Luther King, respectively. See also LCG_01 and LCG_02 for faculty salaries charged to the UCLA-L.A. County Operating Agreement fund.

DP_07 Direct Pay-Oth Affil Hosp

Use this source code for direct salary payments to the individual from other affiliated hospitals, such as Cedars-Sinai Medical Center. Annotate source description in the notes area for the individual.

DP_08 Direct Pay- HHMI

Use this source code for direct salary payments to individuals who are Howard Hughes Medical Investigators (HHMI).

DP_09 Direct Pay-Other

Use this source code for direct payments by any other agency, such as Rand. Annotate source description in the notes area for the individual.

Salary Cap Summary (FY 1990 - FY 2006)

Updated: January 12, 2006 (http://grants.nih.gov/grants/policy/salcap_summary.htm)

FY 2006 Awards (Executive Level I)

October 1, 2005 through December 31, 2005	\$180,100
January 1, 2006 through December 31, 2006	\$183,500

FY 2005 Awards (Executive Level I)

October 1, 2004 through December 31, 2004	\$175,700
January 1, 2005 through December 31, 2005	\$180,100

FY 2004 Awards (Executive Level I)

October 1, 2003 through December 31, 2003	\$171,900
January 1, 2004 through December 31, 2004	\$175,700 *

* \$174,500 used for calculation from 1/1/04 - 3/2/04; \$175,700 approved 3/3/2004.

FY 2003 Awards (Executive Level I)

October 1, 2002 through December 31, 2002	\$166,700
January 1, 2003 through December 31, 2003	\$171,900

FY 2002 Awards (Executive Level I)

October 1, 2001 through December 31, 2001	\$161,200
January 1, 2002 through December 31, 2002	\$166,700

FY 2001 Awards (Executive Level I)

October 1, 2000 through December 31, 2000	\$157,000
January 1, 2001 through December 31, 2001	\$161,200
January 1, 2002 through December 31, 2002	\$166,700

FY 2000 Awards (Executive Level II)

October 1, 1999 through December 31, 1999	\$136,700
January 1, 2000 through December 31, 2000	\$141,300
January 1, 2001 through December 31, 2001	\$145,100

FY 1999 Awards (Executive Level III)

October 1, 1998 through December 31, 1999	\$125,900
January 1, 2000 through December 31, 2000	\$130,200

FY 1992 - FY 1998 Awards (\$125,000 - Not Tied to Executive Level)

October 1, 1991 through September 30, 1998	\$125,000
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FY 1990 - FY 1991 Awards (\$120,000 - Not Tied to Executive Level)

October 1, 1989 through September 30, 1991	\$120,000
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DOS Codes for Faculty

DOS	SEQ.			RATE/	ACTIVE/	RETIRE-	SEV.	
CODE	NO.	DESCRIPTION	PLAN	BYA	SUSPENSE	MENT	PAY	NSETC
PERMANENT FUNDING- ROSTER FACULTY/RECORD PURPOSE ONLY								
BRS	106	HS-SL-BASE-11MO-T-SUS		RATE	Suspense	Covered	No	S/T
BBS	280	HS-SL-ADL BSE TO.3-T-SUS	MCP	BYA	Suspense	Covered	No	S/T
HSS	275	HS-SL-ADDL BASE>.3-T-SUS	MCP	BYA	Suspense	Covered	No	S/T
DRS	249	HS-SL-DELTA-RET-T-SUS	BSCP	BYA	Suspense	Covered	No	S/T
NNS	282	HS-SL-DELTA-SEV-N-SUS		BYA	Suspense	Not Covered	Yes	N
NRS	122	HS-SL-DELTA-N-SUS		BYA	Suspense	Not Covered	No	N
DTS	126	HS-SL-DELTA-SFT-T-SUS	SFT	BYA	Suspense	Covered	No	S/T
NON -ROSTER FACULTY /RECORD PURPOSE ONLY								
BRX	283	HS-RP-BASE-11MO-T		RATE	Not For Pay	Covered	No	S/T
BBX	284	HS-RP-ADDL BASE TO.3-T	MCP	BYA	Not For Pay	Covered	No	S/T
HSX	285	HS-RP-ADDL BASE>.3-T	MCP	BYA	Not For Pay	Covered	No	N
DRY	286	HS-RP-DELTA-RET-T	BSCP	BYA	Not For Pay	Covered	No	
NNY	287	HS-RP-DELTA-SEV-N		BYA	Not For Pay	Not Covered	Yes	
NRY	288	HS-RP-DELTA-N		BYA	Not For Pay	Not Covered	No	
TEMPORARY FUNDING-ALL FACULTY/ FOR PAYMENT								
TRT	138	HS-TEMP-BASE-11MO-T		RATE	Regular Pay	Covered	No	S/T
TXT	289	HS-TEMP-ADDL BASE TO.3-T	MCP	RATE	Regular Pay	Covered	No	S/T
HSD	273	HS-TEMP-ADDL BASE>.3-T	MCP	RATE	Regular Pay	Covered	No	S
TYT	250	HS-TEMP-DELTA-RET-T	BSCP	RATE	Regular Pay	Covered	No	S/T
TNN	290	HS-TEMP-DELTA-SEV-N		RATE	Regular Pay	Not Covered	Yes	E
TRN	144	HS-TEMP-DELTA-N		RATE	Regular Pay	Not Covered	No	E
TDT	147	HS-TEMP-DELTA-SFT-T	SFT	RATE	Regular Pay	Covered	No	S/T
TBR	291	HS-TEMP-BASE-RT-N		RATE	Regular Pay	Not Covered	No	N
TBB	292	HS-TEMP-BASE-BYA-N		BYA	Regular Pay	Not Covered	No	N
TBN	146	HS-TEMP-DELTA-BYA-N		BYA	Regular Pay	Not Covered	No	N
ZNN	293	HS-DELTA-Z-SEV-N	MCP	BYA	Incentive Pay	Not Covered	Yes	N

ZDN	190	HS-DELTA-Z-N	MCP	BYA	Incentive Pay	Not Covered	No	N
ALL FACULTY/SALARY DISCLOSURE CODES								
SRT	128	HS-SAL DISCL-BASE-RT-T		RATE	Outside Salary	Covered	No	S/T
SBN	132	HS-SAL DISCL-BASE-BYA-N		BYA	Outside Salary	Not Covered	Yes	N
SRN	129	HS-SAL DISCL-DELTA-RT-N		RATE	Outside Salary	Not Covered	Yes	E
SYN	294	HS-SAL DISCL-DLT-SEV-BYA-N		BYA	Outside Salary	Not Covered	Yes	N
SDN	134	HS-SAL DISC-DELTA-BYA-N		BYA	Outside Salary	Not Covered	No	N

LEAVE CODES

SABBATICAL LEAVES-FULL PAY / IN RESIDENCE & LEAVES WITH PAY

Use Temporary Funding DOS Codes- TRT, etc

SABBATICAL LEAVES-PARTIAL PAYMENT

LTT	156	HS-SB LV-PT-BASE 11MO-T		Rate	Regular Pay	Covered	No	S/T
LXT	295	HS-SB LV-PT-ADL BSE TO.3T	MCP	Rate	Regular Pay	Covered	No	S/T
LXX	296	HS-SB LV-PT-ADDL BASE>.3T	MCP	Rate	Regular Pay	Covered	No	S/T
LYT	253	HS-SB LV-PT-DELTA-RET-T	BSCP	Rate	Regular Pay	Covered	No	S/T
LYN	297	HS-SB LV-PT-DELTA-SEV-N		Rate	Regular Pay	Not Covered	Yes	E
LTN	160	HS-SB LV-PT-DELTA-N		Rate	Regular Pay	Not Covered	No	E

SABBATICAL LEAVES-SUPPLEMENTAL PAYMENT

SLS	047	SABBATICAL LV SUPPLEMENT		Rate	Regular Pay	Covered	No	S/T
SXS	298	HS-SB LV-SUP-ADL BSE .3-T	MCP	Rate	Regular Pay	Covered	No	S/T
SXX	299	HS-SB LV-SUP-ADL BSE>.3-T	MCP	Rate	Regular Pay	Covered	No	S/T
SYS	256	HS-SB LV-SUP-DELTA-RET-T	BSCP	Rate	Regular Pay	Covered	Yes	S/T
SSD	300	HS-SB LV-SUP-DELTA-SEV-N		Rate	Regular Pay	Not Covered	Yes	N
SLN	044	HS-SB LV-SUP-DELTA-N		Rate	Regular Pay	Not Covered	No	N

OTHER LEAVES

LNP	038	LEAVE WITHOUT PAY		----	No Pay			
VLN	073	VACATION LEAVE TRANSFER		Rate	Regular Pay	Covered	No	S/T
TVP	072	TERMINAL VACATION PAY		Rate	Terminal Pay	Not Covered	No	N

MISCELLANEOUS CODES

HVN	184	HARBOR-OV/VA-N		BYA	Stipend Pay	Not Covered	No	E
SVM	244	SEVERANCE-HLTH SCI COMP		BYA	Severance Pay	Not Covered	-----	N
SVX	276	SEVERANCE-HS		BYA	Severance Pay	Not Covered	-----	N
OEN	186	ONE-ELEVENTH PAYMENT		Rate	Regular Pay	Not Covered	No	N
WOS	037	WITHOUT SALARY		-----	No Pay	Not Covered	No	N
REG	018	REGULAR PAY		Rate	Regular Pay	Covered	No	S/T

Title Codes for Faculty

TITLE CODES FOR SCHOOL OF MEDICINE COMPENSATION PLANS FOR 1/12TH & 1/11TH PAYMENTS

Plan: Scales:						Object Code
	MCP		PCCP		ILP	Grouping
	A		B		FY	Abbrev*
TITLE/SERIES						
REG.ASST. PROF.	1717		1757		1777	FLAD
REG.ASSOC. PROF.	1719		1759		1779	FLAD
REG. PROFESSOR	1721		1761		1781	FLAD
RECALL____(plan/scale)	1701		1702		1705	FTEM
ACT.ASST.PROFESSOR	1718		1758		1778	FLAD
ACT.ASSOC. PROFESSOR	1720		1760		1780	FLAD
ACT. PROFESSOR	1722		1762		1782	FLAD
ASST PROF IN RSDN	1724		1764		1784	FTEM
ASSOC PROF IN RSDN	1725		1765		1785	FTEM
PROF IN RSDN	1726		1766		1786	FTEM
ADJUNCT INSTRUCTOR	1727		1767		1787	FTEM
ADJ.ASST.PROFESSOR	1728		1768		1788	FTEM
ADJ.ASSOC.PROFESSOR	1729		1769		1789	FTEM
ADJ.PROFESSOR	1730		1770		1790	FTEM
CLINICAL (COMP.)INSTR	1731		1771		1803	FTEM
CLIN (COMP) ASST PROF	1732		1772		1804	FTEM
CLIN (COMP) ASSOC PROF	1733		1773		1805	FTEM
CLIN (COMP) PROFESSOR	1734		1774		1806	FTEM
ASST PROF OF CLIN "X"	1455		1461		1467	FTEM
ASSOC PROF OF CLIN "X"	1454		1460		1466	FTEM
PROF OF CLIN "X"	1453		1459		1465	FTEM
VST ASST PROFESSOR	1712		1712		1712	FTEM
VST ASSOC PROFESSOR	1713		1713		1713	FTEM
VST PROFESSOR	1714		1714		1714	FTEM

DSN: MCPTITLE 01/21/05

*FLAD- Faculty Ladder

FTEM- Faculty Temporary

**TITLE CODES FOR SCHOOL OF MEDICINE COMPENSATION PLANS
FOR 1/12 & 1/11 PAYMENTS**

PLANS: SCALES:	GENCOMP			SFT	Object Code
	BSCP			PRECLINICAL	Grouping
	B	FY			Abbrev.*
TITLE/SERIES					
REG.ASST. PROF.	1737			1877	FLAD
REG.ASSOC. PROF.	1739			1879	FLAD
REG. PROFESSOR	1741			1881	FLAD
RECALL____(plan/scale)	1703				FTEM
____RECALL-VERIP		1114			FTEM
ACT.ASST.PROFESSOR	1738			1878	FLAD
ACT.ASSOC. PROFESSOR	1740			1880	FLAD
ACT. PROFESSOR	1742			1882	FLAD
ASST PROF IN RSDN	1744			1884	FTEM
ASSOC PROF IN RSDN	1745			1885	FTEM
PROF IN RSDN	1746			1886	FTEM
ADJUNCT INSTRUCTOR	1747			1887	FTEM
ADJ.ASST.PROFESSOR	1748			1888	FTEM
ADJ.ASSOC.PROFESSOR	1749			1889	FTEM
ADJ.PROFESSOR	1750			1890	FTEM
CLINICAL (COMP.)INSTR					
CLIN (COMP) ASST PROF					
CLIN (COMP) ASSOC PROF					
CLIN (COMP) PROFESSOR					
ASST PROF OF CLIN "X"					
ASSOC PROF OF CLIN "X"					
PROF OF CLIN "X"					
VST ASST PROFESSOR	1433				FTEM
VST ASSOC PROFESSOR	1432				FTEM
VST PROFESSOR	1431				FTEM

DSN:BSTITLE 01/19/05

*FLAD - Faculty Ladder

FTEM- Faculty Temporary